# Infection Prevention and Control Assessment Tool for Acute Care Hospitals

This tool is intended to assist in the assessment of infection control programs and practices in acute care hospitals. If feasible, direct observations of infection control practices are encouraged. To facilitate the assessment, health departments are encouraged to share this tool with hospitals in advance of their visit.

**Overview**

**Section 1: Facility Demographics**

**Section 2: Infection Control Program and Infrastructure**

**Section 3: Direct Observation of Facility Practices (optional)**

**Section 4: Infection Control Guidelines and Other Resources**

**Infection Control Domains for Gap Assessment**

1. Infection Control Program and Infrastructure
2. Infection Control Training, Competency, and Implementation of Policies and Practices
	1. Hand Hygiene
	2. Personal Protective Equipment (PPE)
	3. Prevention of Catheter-associated Urinary Tract Infection (CAUTI)
	4. Prevention of Central Line-associated Bloodstream Infection (CLABSI)
	5. Prevention of Ventilator-associated Event (VAE)
	6. Injection Safety
	7. Prevention of Surgical Site Infection
	8. Prevention of *Clostridium difficile* Infection (CDI)
	9. Environmental Cleaning
	10. Device Reprocessing
3. Systems to Detect, Prevent, and Respond to Healthcare-Associated Infections and Multidrug-Resistant Organisms (MDROs)

**v1-3-2**

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| **Section 1. Facility Demographics**  |
| Facility Name (for health department use only) | Click here to enter text. |
| NHSN Facility Organization ID (for health department use only) | Click here to enter text. |
| State-assigned Unique ID | Click here to enter text. |
| Date of Assessment | Click here to enter a date. |
| Type of Assessment | [ ]  On-site [ ]  Other (specify): Click here to enter text. |
| Rationale for Assessment (Select all that apply) | [ ]  Outbreak [ ]  Input from accrediting organization or state survey agency [ ]  NHSN data If YES, specify: [ ]  CAUTI [ ]  CLABSI [ ]  SSI [ ]  CDI [ ]  Other (specify): Click here to enter text.[ ]  Collaborative (specify partner[s]): Click here to enter text.[ ]  Other (specify): Click here to enter text. |
| Facility type | [ ]  Acute Care Hospital [ ]  Critical Access Hospital [ ]  Long-term Acute Care Hospital (LTACH) [ ]  Other (specify): Click here to enter text. |
| Number of Licensed Beds | Click here to enter text. |
| Number of Infection Preventionist Full-Time Equivalents | Click here to enter text. |

**Section 2: Infection Control Program and Infrastructure**

| 1. Infection Control Program and Infrastructure
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| **Elements to be assessed** | **Assessment** | **Notes/Areas for Improvement** |
| 1. Hospital provides fiscal and human resource support for maintaining the infection prevention and control program.
 | [ ]  Yes [ ]  No | Click here to enter text. |
| 1. The person(s) charged with directing the infection prevention and control program at the hospital is/are qualified and trained in infection control.
 | [ ]  Yes [ ]  No | Click here to enter text. |
| Verify qualifications, which should include: (Check all that apply) [ ]  Successful completion of initial and recertification exams developed by the Certification Board for Infection Control & Epidemiology (CIC)AND/OR  [ ]  Participation in infection control courses organized by recognized professional societies (e.g., APIC, SHEA)  |  | Click here to enter text. |
| 1. Infection prevention and control program performs an annual facility infection risk assessment that evaluates and prioritizes potential risks for infections, contamination, and exposures and the program’s preparedness to eliminate or mitigate such risks.

*Note: Example of Facility Infection Risk Assessment Report and Plan is available in Section 4.*  | [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Written infection control policies and procedures are available, current, and based on evidence-based guidelines (e.g., CDC/HICPAC), regulations, or standards.
 | [ ]  Yes [ ]  No | Click here to enter text. |
| Verify the following:a. Respondent can describe the process for reviewing and updating policies (e.g., policies are dated and reviewed annually and when new guidelines are issued) | a. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Infection prevention and control program provides infection prevention education to patients, family members, and other caregivers.
 | [ ]  Yes [ ]  No | Click here to enter text. |
| Verify the following:* 1. Respondent can describe how this education is provided (e.g., information included in the admission or discharge packet, videos, signage, in-person training)
 | a. [ ]  Yes [ ]  No | Click here to enter text. |

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| 1. Infection Control Training, Competency, and Implementation of Policies and Procedures
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| **Elements to be assessed** | **Assessment** | **Notes/Areas for Improvement** |
| 1. Hand Hygiene
 |
| 1. Hospital has a competency-based training program for hand hygiene.
 | [ ]  Yes [ ]  No | Click here to enter text. |
| Verify the following:1. Training is provided to all healthcare personnel, including all ancillary personnel not directly involved in patient care but potentially exposed to infectious agents (e.g., food tray handlers, housekeeping, and volunteer personnel).
 | a. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Training is provided upon hire, prior to provision of care at this hospital.
 | b. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Training is provided at least annually.
 | c. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Personnel are required to demonstrate competency with hand hygiene following each training.
 | d. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Hospital maintains current documentation of hand hygiene competency for all personnel.
 | e. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Hospital routinely audits (monitors and documents) adherence to hand hygiene.
 | [ ]  Yes [ ]  No | Click here to enter text. |
| Verify the following:1. Respondent can describe process used for audits.
 | a. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Respondent can describe frequency of audits.
 | b. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Respondent can describe process for improvement when non-adherence is observed.
 | c. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Hospital provides feedback from audits to personnel regarding their hand hygiene performance.
 | [ ]  Yes [ ]  No | Click here to enter text. |
| Verify the following:1. Respondent can describe how feedback is provided.
 | a. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Respondent can describe frequency of feedback.
 | b. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Supplies necessary for adherence to hand hygiene (e.g., soap, water, paper towels, alcohol-based hand rub) are readily accessible in patient care areas.
 | [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Hand hygiene policies promote preferential use of alcohol-based hand rub (ABHR) over soap and water in most clinical situations.

*Note:* *Soap and water should be used when hands are visibly soiled (e.g., blood, body fluids) and is also preferred after caring for a patient with known or suspected C. difficile or norovirus during an outbreak or if rates of C. difficile infection (CDI) in the facility are persistently high.* | [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Infection Control Training, Competency, and Implementation of Policies and Procedures
 |
| **Elements to be assessed** | **Assessment** | **Notes/Areas for Improvement** |
| 1. Personal Protective Equipment (PPE)
 |
| 1. Hospital has a competency-based training program for use of personal protective equipment (PPE).
 | [ ]  Yes [ ]  No | Click here to enter text. |
| Verify the following:1. Training is provided to all personnel who use PPE.
 | a. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Training is provided upon hire, prior to provision of care at this hospital.
 | b. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Training is provided at least annually.
 | c. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Training is provided when new equipment or protocols are introduced.
 | d. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Training includes 1) appropriate indications for specific PPE components, 2) proper donning, doffing, adjustment, and wear of PPE, and 3) proper care, maintenance, useful life, and disposal of PPE.
 | e. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Personnel are required to demonstrate competency with selection and use of PPE (i.e., correct technique is observed by trainer) following each training.
 | f. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Hospital maintains current documentation of PPE competency for all personnel who use PPE.
 | g. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Hospital routinely audits (monitors and documents) adherence to proper PPE selection and use, including donning and doffing.
 | [ ]  Yes [ ]  No | Click here to enter text. |
| Verify the following:1. Respondent can describe process used for audits.
 | a. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Respondent can describe frequency of audits.
 | b. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Respondent can describe process for improvement when non-adherence is observed.
 | c. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Hospital provides feedback to personnel regarding their performance with selection and use of PPE.
 | [ ]  Yes [ ]  No | Click here to enter text. |
| Verify the following:1. Respondent can describe how feedback is provided.
 | a. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Respondent can describe frequency of feedback.
 | b. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Supplies necessary for adherence to personal protective equipment recommendations specified under Standard and Transmission-based Precautions (e.g., gloves, gowns, mouth, eye, nose, and face protection) are available and located near point of use.
 | [ ]  Yes [ ]  No | Click here to enter text. |
| 1. The facility respiratory protection program provides employees protection from recognized hazards.

Verify the following: | [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Annual fit testing of respirators is provided for all personnel who are anticipated to require respiratory protection.
 | a. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Supplies of respiratory protection devices (e.g., powered air purifying respirators) are maintained for those who cannot be fitted.
 | b. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Employees are educated about conditions that may compromise proper fit and function of respiratory devices (e.g., weight gain/loss, facial hair).
 | c. [ ]  Yes [ ]  No | Click here to enter text. |

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| 1. Infection Control Training, Competency, and Implementation of Policies and Procedures
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| **Elements to be assessed** | **Assessment** | **Notes/Areas for Improvement** |
| 1. Prevention of Catheter-associated Urinary Tract Infection (CAUTI)
 |
| 1. Hospital has physician and/or nurse champions for CAUTI prevention activities.
 | [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Hospital has a competency-based training program for **insertion** of urinary catheters.
 | [ ]  Yes [ ]  No | Click here to enter text. |
| Verify the following:* 1. Training is provided to all personnel who are given responsibility for insertion of urinary catheters. *Personnel* may include, but are not limited to, nurses, nursing assistants, medical assistants, technicians, and physicians.
 | a. [ ]  Yes [ ]  No  | Click here to enter text. |
| 1. Training is provided upon hire, prior to being allowed to perform urinary catheter insertion.
 | b. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Training is provided at least annually.
 | c. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Training is provided when new equipment or protocols are introduced.
 | d. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Personnel are required to demonstrate competency with insertion (i.e., correct technique is observed by trainer) following each training.
 | e. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Hospital maintains current documentation of competency with urinary catheter insertion for all personnel who insert urinary catheters.
 | f. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Hospital routinely audits (monitors and documents) adherence to recommended practices for **insertion** of urinary catheters.
 | [ ]  Yes [ ]  No | Click here to enter text. |
| Verify the following:* 1. Respondent can describe process used for audits.
 | a. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Respondent can describe frequency of audits.
 | b. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Respondent can describe process for improvement when non-adherence is observed.
 | c. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Hospital provides feedback from audits to personnel regarding their performance for **insertion** of urinary catheters.
 | [ ]  Yes [ ]  No | Click here to enter text. |
| Verify the following:1. Respondent can describe how feedback is provided.
 | a. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Respondent can describe frequency of feedback.
 | b. [ ]  Yes [ ]  No | Click here to enter text. |

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| 1. Infection Control Training, Competency, and Implementation of Policies and Procedures
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| **Elements to be assessed** | **Assessment** | **Notes/Areas for Improvement** |
| 1. Prevention of Catheter-associated Urinary Tract Infection (CAUTI), continued
 |
| 1. Hospital has a competency-based training program for **maintenance** of urinary catheters.
 | [ ]  Yes [ ]  No | Click here to enter text. |
| Verify the following:1. Training is provided to all personnel who are given responsibility for urinary catheter maintenance (e.g., perineal care, emptying the drainage bag aseptically, maintaining the closed drainage system, maintaining unobstructed urine flow). Personnel may include, but are not limited to, nurses, nursing assistants, medical assistants, technicians, and transport personnel.
 | a. [ ]  Yes [ ]  No  | Click here to enter text. |
| 1. Training is provided upon hire, prior to being allowed to perform urinary catheter maintenance.
 | b. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Training is provided at least annually.
 | c. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Training is provided when new equipment or protocols are introduced.
 | d. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Personnel are required to demonstrate competency with catheter maintenance (i.e., correct technique is observed by trainer) following each training.
 | e. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Hospital maintains current documentation of competency with urinary catheter maintenance for all personnel who maintain urinary catheters.
 | f. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Hospital routinely audits (monitors and documents) adherence to recommended practices for **maintenance** of urinary catheters.
 | [ ]  Yes [ ]  No | Click here to enter text. |
| Verify the following:1. Respondent can describe process used for audits.
 | a. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Respondent can describe frequency of audits.
 | b. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Respondent can describe process for improvement when non-adherence is observed.
 | c. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Hospital provides feedback from audits to personnel regarding their performance for **maintenance** of urinary catheters.
 | [ ]  Yes [ ]  No | Click here to enter text. |
| Verify the following:1. Respondent can describe how feedback is provided.
 | a. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Respondent can describe frequency of feedback.
 | b. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Patients with urinary catheters are assessed, at least daily, for continued need for the catheter.
 | [ ]  Yes [ ]  No | Click here to enter text. |
| Verify the following: 1. Respondent can describe methods used to trigger the daily assessments (e.g., patient safety checklist, daily rounds, nurse directed protocol, reminders, or stop orders).
 | a. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Hospital routinely audits adherence to daily assessment of urinary catheter need.
 | b. [ ]  Yes [ ]  No | Click here to enter text. |

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| II. Infection Control Training, Competency, and Implementation of Policies and Procedures |
| **Elements to be assessed** | **Assessment** | **Notes/Areas for Improvement** |
| 1. Prevention of Catheter-associated Urinary Tract Infection (CAUTI), continued
 |
| 1. Hospital monitors CAUTI data and uses it to direct prevention activities.
 | [ ]  Yes [ ]  No | Click here to enter text. |
| Verify the following:1. Respondent is familiar with National Healthcare Safety Network (NHSN) CAUTI data.
 | a. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Respondent can describe how CAUTI data are used to direct prevention activities.
 | b. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Hospital provides feedback of CAUTI data to frontline personnel.
 | [ ]  Yes [ ]  No | Click here to enter text. |
| Verify the following:1. Respondent can describe how feedback is provided.
 | a. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Respondent can describe frequency of feedback.
 | b. [ ]  Yes [ ]  No | Click here to enter text. |

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| 1. Infection Control Training, Competency, and Implementation of Policies and Procedures
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| **Elements to be assessed** | **Assessment** | **Notes/Areas for Improvement** |
| 1. Prevention of Central line-associated Bloodstream Infection (CLABSI)
 |
| 1. Hospital has physician and/or nurse champions for CLABSI prevention activities.
 | [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Hospital has a competency-based training program for **insertion** of central venous catheters.
 | [ ]  Yes [ ]  No[ ]  Not Applicable (Check if hospital does not insert central lines and move to #5) | Click here to enter text. |
| Verify the following:1. Training is provided to all personnel who are given responsibility for insertion of central venous catheters. Personnel may include, but are not limited to, physicians, physician assistants, and members of line insertion teams.
 | a. [ ]  Yes [ ]  No  | Click here to enter text. |
| 1. Training is provided upon hire, prior to being allowed to perform central venous catheter insertion.
 | b. [ ]  Yes [ ]  No  | Click here to enter text. |
| 1. Training is provided at least annually.
 | c. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Training is provided when new equipment or protocols are introduced.
 | d. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Personnel are required to demonstrate competency with insertion (i.e., correct technique is observed by trainer) following each training.
 | e. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Hospital maintains current documentation of competency with central venous catheter insertion for all personnel who insert central venous catheters.
 | f. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Hospital routinely audits (monitors and documents) adherence to recommended practices for **insertion** of central venous catheters.
 | [ ]  Yes [ ]  No | Click here to enter text. |
| Verify the following:1. Respondent can describe process used for audits.
 | a. [ ]  Yes [ ]  No  | Click here to enter text. |
| 1. Respondent can describe frequency of audits.
 | b. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Respondent can describe process for improvement when non-adherence is observed.
 | c. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Hospital provides feedback from audits to personnel regarding their performance for **insertion** of central venous catheters.
 | [ ]  Yes [ ]  No | Click here to enter text. |
| Verify the following:1. Respondent can describe how feedback is provided.
 | a. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Respondent can describe frequency of feedback.
 | b. [ ]  Yes [ ]  No | Click here to enter text. |

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| 1. Infection Control Training, Competency, and Implementation of Policies and Procedures
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| **Elements to be assessed** | **Assessment** | **Notes/Areas for Improvement** |
| 1. Prevention of Central line-associated Bloodstream Infection (CLABSI), continued
 |
| 1. Hospital has a competency-based training program for **maintenance** of central venous catheters.
 | [ ]  Yes [ ]  No | Click here to enter text. |
| Verify the following:1. Training is provided to all personnel who maintain central venous catheters (e.g., scrub the hub, accessing the catheter, dressing changes). Personnel may include, but are not limited to, nurses, nursing assistants, physicians, and physician assistants.
 | a. [ ]  Yes [ ]  No  | Click here to enter text. |
| 1. Training is provided upon hire, prior to being allowed to perform central venous catheter maintenance.
 | b. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Training is provided at least annually.
 | c. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Training is provided when new equipment or protocols are introduced.
 | d. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Personnel are required to demonstrate competency with maintenance (i.e., correct technique is observed by trainer) following each training.
 | e. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Hospital maintains current documentation of competency with central venous catheter maintenance for all personnel who maintain central venous catheters.
 | f. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Hospital routinely audits (monitors and documents) adherence to recommended practices for **maintenance** of central venous catheters.
 | [ ]  Yes [ ]  No | Click here to enter text. |
| Verify the following:1. Respondent can describe process used for audits.
 | a. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Respondent can describe frequency of audits.
 | b. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Respondent can describe process for improvement when non-adherence is observed.
 | c. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Hospital provides feedback from audits to personnel regarding their performance for **maintenance** of central venous catheters.
 | [ ]  Yes [ ]  No | Click here to enter text. |
| Verify the following:1. Respondent can describe how feedback is provided.
 | a. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Respondent can describe frequency of feedback.
 | b. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Patients with central venous catheters are assessed, at least daily, for continued need for the catheter.
 | [ ]  Yes [ ]  No | Click here to enter text. |
| Verify the following: 1. Respondent can describe methods used to trigger the daily assessments (e.g., patient safety checklist, daily rounds, and reminders).
 | a. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Hospital routinely audits adherence to daily assessment of central venous catheter need.
 | b. [ ]  Yes [ ]  No | Click here to enter text. |

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| II. Infection Control Training, Competency, and Implementation of Policies and Procedures |
| **Elements to be assessed** | **Assessment** | **Notes/Areas for Improvement** |
| D. Prevention of Central line-associated Bloodstream Infection (CLABSI), continued |
| 1. Hospital monitors CLABSI data and uses it to direct prevention activities.
 | [ ]  Yes [ ]  No | Click here to enter text. |
| Verify the following:1. Respondent is familiar with National Healthcare Safety network (NHSN) CLABSI data.
 | a. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Respondent can describe how CLABSI data are used to direct prevention activities.
 | b. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Hospital provides feedback of CLABSI data to frontline personnel.
 | [ ]  Yes [ ]  No | Click here to enter text. |
| Verify the following:1. Respondent can describe how feedback is provided.
 | a. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Respondent can describe frequency of feedback.
 | b. [ ]  Yes [ ]  No | Click here to enter text. |

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| 1. Infection Control Training, Competency, and Implementation of Policies and Procedures
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| **Elements to be assessed** | **Assessment** | **Notes/Areas for Improvement** |
| E. Prevention of Ventilator-associated Event (VAE) |
| 1. Hospital has physician and/or nurse champions for VAE prevention activities.
 | [ ]  Yes [ ]  No[ ]  Not Applicable (Check if facility does not provide care to ventilated patients and move to item F. Injection Safety) | Click here to enter text. |
| 1. Hospital has a competency-based training program addressing prevention of VAEs.
 | [ ]  Yes [ ]  No | Click here to enter text. |
| Verify the following:1. Training is provided to all personnel who provide respiratory therapy for ventilated patients (e.g., suctioning, administration of aerosolized medications). Personnel may include, but are not limited to, respiratory therapists and nurses.
 | a. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Training is provided upon hire, prior to being allowed to provide respiratory therapy for ventilated patients.
 | b. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Training is provided at least annually.
 | c. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Training is provided when new equipment or protocols are introduced.
 | d. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Personnel are required to demonstrate competency with respiratory therapy practices (i.e., correct technique is observed by trainer) following each training.
 | e. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Hospital maintains current documentation of competency with respiratory practices for all personnel who provide respiratory therapy for ventilated patients.
 | f. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Hospital routinely audits (monitors and documents) adherence to recommended practices for management of ventilated patients (e.g., suctioning, administration of aerosolized medications).
 | [ ]  Yes [ ]  No | Click here to enter text. |
| Verify the following:1. Respondent can describe process used for audits.
 | a. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Respondent can describe frequency of audits.
 | b. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Respondent can describe process for improvement when non-adherence is observed.
 | c. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Hospital provides feedback from audits to personnel regarding their performance for management of ventilated patients.
 | [ ]  Yes [ ]  No | Click here to enter text. |
| Verify the following:1. Respondent can describe how feedback is provided.
 | a. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Respondent can describe frequency of feedback.
 | b. [ ]  Yes [ ]  No | Click here to enter text. |

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| 1. Infection Control Training, Competency, and Implementation of Policies and Procedures
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| **Elements to be assessed** | **Assessment** | **Notes/Areas for Improvement** |
| E. Prevention of Ventilator-associated Event (VAE), continued |
| 1. Patients requiring invasive ventilation are assessed, at least daily, for continued need for the ventilator.
 | [ ]  Yes [ ]  No | Click here to enter text. |
| Verify the following: 1. Respondent can describe methods used to trigger the daily assessments (e.g., patient safety checklist, daily rounds, reminders)
 | a. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Hospital routinely audits (monitors and documents) adherence to daily assessment of ventilator need.
 | b. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Hospital has a program that includes daily spontaneous breathing trials and lightening of sedation in eligible patient.
 | [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Hospital has an oral-hygiene program.
 | [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Hospital monitors VAE data and uses it to direct prevention activities.
 | [ ]  Yes [ ]  No | Click here to enter text. |
| Verify the following:1. Respondent can describe how VAE data are used to direct prevention activities.
 | a. [ ]  Yes [ ]  No | Click here to enter text. |
| If the hospital reports VAE data to NHSN, verify the following:1. Respondent is familiar with NHSN VAE data.
 | b. [ ]  Yes [ ]  NoNot Applicable [ ]  | Click here to enter text. |
| If the hospital does not report VAE data to NHSN, verify the following:1. Respondent can describe how VAE data are collected.
 | c. [ ]  Yes [ ]  NoNot Applicable [ ]  | Click here to enter text. |
| 1. Hospital provides feedback of VAE data to frontline personnel.
 | [ ]  Yes [ ]  No | Click here to enter text. |
| Verify the following:1. Respondent can describe how feedback is provided.
 | a. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Respondent can describe frequency of feedback.
 | b. [ ]  Yes [ ]  No | Click here to enter text. |

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| 1. Infection Control Training, Competency, and Implementation of Policies and Procedures
 |
| **Elements to be assessed** | **Assessment** | **Notes/Areas for Improvement** |
| 1. Injection Safety (This element does not include assessment of pharmacy practices)
 |
| 1. Hospital has a competency-based training program for preparation and administration of parenteral medications (e.g., SQ, IM, and IV) outside of the pharmacy.
 | [ ]  Yes [ ]  No | Click here to enter text. |
| Verify the following:1. Training is provided to all personnel who prepare and/or administer injections and parenteral infusions.
 | a. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Training is provided upon hire, prior to being allowed to prepare and/or administer injections and parenteral infusions.
 | b. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Training is provided at least annually.
 | c. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Training is provided when new equipment or protocols are introduced.
 | d. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Personnel are required to demonstrate competency with preparation and/or administration of injections and parenteral infusions following each training.
 | e. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Hospital maintains current documentation of competency with preparation and/or administration procedures for all personnel who prepare and/or administer injections and parenteral infusions.
 | f. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Hospital routinely audits (monitors and documents) adherence to safe injection practices.
 | [ ]  Yes [ ]  No | Click here to enter text. |
| Verify the following:1. Respondent can describe process used for audits.
 | a. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Respondent can describe frequency of audits.
 | b. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Respondent can describe process for improvement when non-adherence is observed.
 | c. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Hospital provides feedback from audits to personnel regarding their adherence to safe injection practices.
 | [ ]  Yes [ ]  No | Click here to enter text. |
| Verify the following:1. Respondent can describe how feedback is provided.
 | a. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Respondent can describe frequency of feedback.
 | b. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Hospital has a drug diversion prevention program that includes consultation with the IP program when drug tampering (involving alteration or substitution) is suspected or identified to assess patient safety risks.
 | [ ]  Yes [ ]  No | Click here to enter text. |
| Verify the following: 1. Respondent can describe how the hospital would assess risk to patients if tampering is suspected or identified.
 | a. [ ]  Yes [ ]  No | Click here to enter text. |

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| 1. Infection Control Training, Competency, and Implementation of Policies and Procedures
 |
| **Elements to be assessed** | **Assessment** | **Notes/Areas for Improvement** |
| 1. Prevention of Surgical Site Infection (SSI)
 |
| Hospital has a program to improve surgical care. | [ ]  Yes [ ]  No[ ]  Not Applicable(Check if facilitydoes not perform surgeries and move to item H. *Clostridium difficile* Infection) | Click here to enter text. |
| Verify the following:The program to improve surgical care addresses appropriate prophylactic antibiotic use including:Preoperative timing of prophylactic antibiotic administration (within 1 hour prior to incision or 2 hours for vancomycin or fluoroquinolones).  | a. [ ]  Yes [ ]  No  | Click here to enter text. |
| Appropriate prophylactic antibiotic selection based on procedure type.  | b. [ ]  Yes [ ]  No  | Click here to enter text. |
| Discontinuation of prophylactic antibiotics within 24 hours (48 hours for CABG or other cardiac surgery) after surgical end time.  | c. [ ]  Yes [ ]  No | Click here to enter text. |
| The program to improve surgical care addresses prompt removal of urinary catheter on post-op day 1 or 2, unless there is a documented appropriate reason for continued use.  | d. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Hospital routinely audits (monitors and documents) adherence to elements of program to improve surgical care.
 | [ ]  Yes [ ]  No | Click here to enter text. |
| Verify the following:1. Respondent can describe process used for audits.
 | a. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Respondent can describe frequency of audits.
 | b. [ ]  Yes [ ]  No  | Click here to enter text. |
| 1. Respondent can describe process for improvement when non-adherence is observed.
 | c. [ ]  Yes [ ]  No | Click here to enter text. |
| 3. Hospital provides feedback from audits to personnel regarding their adherence to elements of the program to improve surgical care.  | [ ]  Yes [ ]  No | Click here to enter text. |
| Verify the following:1. Respondent can describe how feedback is provided.
 | a. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Respondent can describe frequency of feedback.
 | b. [ ]  Yes [ ]  No | Click here to enter text. |

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| II. Infection Control Training, Competency, and Implementation of Policies and Procedures |
| **Elements to be assessed** | **Assessment** | **Notes/Areas for Improvement** |
| G. Prevention of Surgical Site Infection (SSI) , continued |
| 1. Hospital routinely audits (monitors and documents) adherence to recommended infection control practices for SSI prevention.
 | [ ]  Yes [ ]  No | Click here to enter text. |
| Verify the following:Auditing includes:1. Adherence to preoperative surgical scrub and hand hygiene
 | a. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Appropriate use of surgical attire and drapes
 | b. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Adherence to aseptic technique and sterile field
 | c. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Proper ventilation requirements in surgical suites
 | d. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Minimization of traffic in the operating room
 | e. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Adherence to cleaning and disinfection of environmental surfaces
 | f. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Respondent can describe process used for audits.
 | g. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Respondent can describe frequency of audits.
 | h. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Respondent can describe process for improvement when non-adherence is observed.
 | i. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Hospital provides feedback from audits to personnel regarding their adherence to surgical infection control practices.
 | [ ]  Yes [ ]  No | Click here to enter text. |
| Verify the following:1. Respondent can describe how feedback is provided.
 | a. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Respondent can describe frequency of feedback.
 | b. [ ]  Yes [ ]  No | Click here to enter text. |
| Hospital monitors SSI data and uses it to direct prevention activities.  | [ ]  Yes [ ]  No | Click here to enter text. |
| Verify the following:1. Respondent is familiar with NHSN SSI data.
 | a. [ ]  Yes [ ]  No | Click here to enter text. |
| Respondent can describe how SSI data are used to direct prevention activities. | b. [ ]  Yes [ ]  No | Click here to enter text. |
| Hospital provides feedback of SSI data to surgeons and other surgical personnel. | [ ]  Yes [ ]  No | Click here to enter text. |
| Verify the following:Respondent can describe how feedback is provided. | a. [ ]  Yes [ ]  No | Click here to enter text. |
| Respondent can describe frequency of feedback. | b. [ ]  Yes [ ]  No | Click here to enter text. |

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| 1. Infection Control Training, Competency, and Implementation of Policies and Procedures
 |
| **Elements to be assessed** | **Assessment** | **Notes/Areas for Improvement** |
| 1. Prevention of *Clostridium difficile* Infection (CDI)
 |
| 1. Hospital has physician and/or nurse champions for CDI prevention activities.
 | [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Hospital routinely audits (monitors and documents) adherence to recommended infection control practices for CDI prevention.
 | [ ]  Yes [ ]  No | Click here to enter text. |
| Verify the following:Auditing includes:1. Adherence to hand hygiene
 | a. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Appropriate use of PPE
 | b. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Compliance with Contact Precautions, including use of dedicated or disposable equipment
 | c. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Adherence to cleaning and disinfection procedures, including use of sporicidal disinfectants if part of hospital policy
 | d. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Respondent can describe process used for audits.
 | e. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Respondent can describe frequency of audits.
 | f. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Respondent can describe process for improvement when non-adherence is observed.
 | g. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Hospital provides feedback from audits to personnel regarding their adherence to recommended infection control practices for CDI prevention.
 | [ ]  Yes [ ]  No | Click here to enter text. |
| Verify the following:1. Respondent can describe how feedback is provided.
 | a. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Respondent can describe frequency of feedback.
 | b. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Hospital has specific antibiotic stewardship strategies in place to reduce CDI.

*Note: Please see section III.8 for full assessment of antibiotic stewardship program.* | [ ]  Yes [ ]  No | Click here to enter text. |
| Verify the following:1. Hospital has strategies to reduce unnecessary use of antibiotics that are high-risk for CDI (e.g., fluoroquinolones, 3rd/4th generation cephalosporins).
 | a. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Hospital reviews appropriateness of antibiotics prescribed for treatment of other conditions (e.g., urinary tract infection) for patients with new or recent CDI diagnosis.
 | b. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Hospital educates providers about the risk of CDI with antibiotics.
 | c. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Hospital educates patients and family members about the risk of CDI with antibiotics.
 | d. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Hospital monitors CDI data and uses it to direct prevention activities.

Verify the following: | [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Respondent is familiar with NHSN CDI data.
 | a. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Respondent can describe how CDI data are used to direct prevention activities.
 | b. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Hospital provides feedback of CDI data to frontline personnel.
 | [ ]  Yes [ ]  No | Click here to enter text. |
| Verify the following:1. Respondent can describe how feedback is provided.
 | a. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Respondent can describe frequency of feedback.
 | b. [ ]  Yes [ ]  No | Click here to enter text. |

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| 1. Infection Control Training, Competency, and Implementation of Policies and Procedures
 |
| **Elements to be assessed** | **Assessment** | **Notes/Areas for Improvement** |
| 1. Environmental Cleaning
 |
| 1. Hospital has a competency-based training program for environmental cleaning.
 | [ ]  Yes [ ]  No | Click here to enter text. |
| Verify the following:1. Training is provided to all personnel who clean and disinfect patient care areas. Personnel may include, but are not limited to, environmental services staff, nurses, nursing assistants, and technicians.
 | a. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Training is provided upon hire, prior to being allowed to perform environmental cleaning.
 | b. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Training is provided at least annually.
 | c. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Training is provided when new equipment or protocols are introduced.
 | d. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Personnel are required to demonstrate competency with environmental cleaning (i.e., correct technique is observed by trainer) following each training.
 | e. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Hospital maintains current documentation of competency with environmental cleaning procedures for all personnel who clean and disinfect patient care areas.
 | f. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. If the hospital contracts environmental services, the contractor has a comparable training program.
 | g. [ ]  Yes [ ]  NoNot Applicable [ ]  | Click here to enter text. |
| 1. Hospital has policies that clearly define responsibilities for cleaning and disinfection of non-critical equipment, mobile devices, and other electronics (e.g., ICU monitors, ventilator surfaces, bar code scanners, point-of-care devices, mobile work stations, code carts, airway boxes).
 | [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Hospital has protocols to ensure that healthcare personnel can readily identify equipment that has been properly cleaned and disinfected and is ready for patient use (e.g., tagging system, placement in dedicated clean area).
 | [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Hospital routinely audits (monitors and documents) adherence to cleaning and disinfection procedures, including use of products in accordance with manufacturers’ instructions (e.g., dilution, storage, shelf-life, contact time).
 | [ ]  Yes [ ]  No | Click here to enter text. |
| Verify the following:1. Respondent can describe process used for audits (e.g., monitoring technology, direct observation).
 | a. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Respondent can describe frequency of audits.
 | b. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Respondent can describe process for improvement when non-adherence is observed.
 | c. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Hospital provides feedback from audits to personnel regarding their adherence to cleaning and disinfection procedures.
 | [ ]  Yes [ ]  No | Click here to enter text. |
| Verify the following:1. Respondent can describe how feedback is provided.
 | a. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Respondent can describe frequency of feedback.
 | b. [ ]  Yes [ ]  No | Click here to enter text. |

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| 1. Infection Control Training, Competency, and Implementation of Policies and Procedures
 |
| **Elements to be assessed** | **Assessment** | **Notes/Areas for Improvement** |
| 1. Device Reprocessing

This section refers to all medical devices that may be reused in the hospital. Device categories include:* Critical items (e.g., surgical instruments) are objects that enter sterile tissue or the vascular system and must be sterile prior to use.
* Semi-critical items (e.g., endoscopes for upper endoscopy and colonoscopy, laryngoscope blades) are objects that contact mucous membranes or non-intact skin and require, at a minimum, high-level disinfection prior to reuse.
* Non-critical items (e.g., blood pressure cuffs, point-of-care devices) are objects that may come in contact with intact skin but not mucous membranes and should undergo cleaning and low- or intermediate-level disinfection depending on the nature and degree of contamination (See Environmental Cleaning Section I. above).

Single-use devices (SUDs) are labeled by the manufacturer for a single use and do not have reprocessing instructions. They may not be reused unless they have been reprocessed for reuse by entities which have complied with FDA regulatory requirements and have received FDA clearance to reprocess specific SUDs. |
| 1. Hospital has a competency-based training program for reprocessing of **critical** devices.
 | [ ]  Yes [ ]  No [ ]  Not Applicable **(Check if facility does not reprocess critical devices and move to #4)** | Click here to enter text. |
| Verify the following:1. Training is provided to all personnel who reprocess critical devices.
 | a. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Training is provided upon hire, prior to being allowed to reprocess critical devices.
 | b. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Training is provided at least annually.
 | c. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Training is provided when new devices or protocols are introduced.
 | d. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Personnel are required to demonstrate competency with device reprocessing (i.e., correct technique is observed by trainer) following each training.
 | e. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Hospital maintains current documentation of competency with reprocessing procedures for all personnel who reprocess critical devices.
 | f. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. If the hospital contracts reprocessing of critical devices, the contractor has a comparable training program which includes the specific devices used by the hospital.
 | g. [ ]  Yes [ ]  NoNot Applicable [ ]  | Click here to enter text. |
| 1. Hospital routinely audits (monitors and documents) adherence to reprocessing procedures for **critical** devices.
 | [ ]  Yes [ ]  No | Click here to enter text. |
| Verify the following:1. Respondent can describe process used for audits.
 | a. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Respondent can describe frequency of audits.
 | b. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Audits occur in all locations where critical devices are reprocessed (e.g., central sterile reprocessing, operating suites), including locations where initial cleaning steps are performed (e.g., point of use).
 | c. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Respondent can describe process for improvement when non-adherence is observed.
 | d. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Infection Control Training, Competency, and Implementation of Policies and Procedures
 |
| **Elements to be assessed** | **Assessment** | **Notes/Areas for Improvement** |
| 1. Device Reprocessing, continued
 |
| 1. Hospital provides feedback from audits to personnel regarding their adherence to reprocessing procedures for **critical** devices.
 | [ ]  Yes [ ]  No | Click here to enter text. |
| Verify the following:1. Respondent can describe how feedback is provided.
 | a. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Respondent can describe frequency of feedback.
 | b. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Hospital has a competency-based training program for reprocessing of **semi-critical** devices.
 | [ ]  Yes [ ]  No [ ]  Not Applicable(Check if facility does not reprocess semi-critical devices and move to #7) | Click here to enter text. |
| Verify the following:1. Training is provided to all personnel who reprocess semi-critical devices.
 | a. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Training is provided upon hire, prior to being allowed to reprocess semi-critical devices.
 | b. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Training is provided at least annually.
 | c. [ ]  Yes [ ]  No  | Click here to enter text. |
| 1. Training is provided when new devices or protocols are introduced.
 | d. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Personnel are required to demonstrate competency with device reprocessing (i.e., correct technique is observed by trainer) following each training.
 | e. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Hospital maintains current documentation of competency with reprocessing procedures for all personnel who reprocess semi-critical devices.
 | f. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. If the hospital contracts reprocessing of semi-critical devices, the contractor has a comparable training program which includes the specific devices used by the hospital.
 | g. [ ]  Yes [ ]  NoNot Applicable [ ]  | Click here to enter text. |
| 1. Hospital routinely audits (monitors and documents) adherence to reprocessing procedures for **semi-critical** devices.
 | [ ]  Yes [ ]  No | Click here to enter text. |
| Verify the following:1. Respondent can describe process used for audits.
 | a. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Respondent can describe frequency of audits.
 | b. [ ]  Yes [ ]  No  | Click here to enter text. |
| 1. Audits occur in all locations where semi-critical devices are reprocessed (e.g., central sterile reprocessing, endoscopy suites), including locations where initial cleaning steps are performed (e.g., point of use).
 | c. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Respondent can describe process for improvement when non-adherence is observed.
 | d. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Hospital provides feedback from audits to personnel regarding their adherence to reprocessing procedures for **semi-critical** devices.
 | [ ]  Yes [ ]  No | Click here to enter text. |
| Verify the following:1. Respondent can describe how feedback is provided.
 | a. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Respondent can describe frequency of feedback.
 | b. [ ]  Yes [ ]  No | Click here to enter text. |

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| II. Infection Control Training, Competency, and Implementation of Policies and Procedures |
| **Elements to be assessed** | **Assessment** | **Notes/Areas for Improvement** |
| J. Device Reprocessing, continued |
| 1. If hospital reuses **single-use devices**, the devices are reprocessed by an FDA-approved entity.
 | [ ]  Yes [ ]  No[ ]  Not Applicable (Check if hospital does not reuse single-use devices) | Click here to enter text. |
| 1. Hospital maintains documentation of reprocessing activities.
 | [ ]  Yes [ ]  No | Click here to enter text. |
| Verify the following:1. Hospital maintains logs for each sterilizer cycle that include the results from each load.
 | a. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Hospital has documentation that the chemicals used for high-level disinfection are routinely tested for appropriate concentration and replaced appropriately.
 | b. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Hospital maintains documentation of reprocessing activities.
 | c. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Hospital allows adequate time for reprocessing to ensure adherence to all steps recommended by the device manufacturer, including drying and proper storage.
 | [ ]  Yes [ ]  No | Click here to enter text. |
| Verify the following:1. Hospital has an adequate supply of instruments for the volume of procedures performed to allow sufficient time for all reprocessing steps.
 | a. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Scheduling of procedures allows sufficient time for all reprocessing steps.
 | b. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Hospital does not routinely use immediate-use steam sterilization (IUSS).
 | c. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. IP program is consulted whenever new devices or products will be purchased or introduced to ensure implementation of appropriate reprocessing policies and procedures.
 | [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Hospital has policies and procedures outlining hospital response (i.e., risk assessment and recall of device) in the event of a reprocessing error or failure.
 | [ ]  Yes [ ]  No | Click here to enter text. |
| Verify the following: 1. The IP can describe how the risk assessment would be performed including how the hospital would identify which patients may have been exposed to an improperly reprocessed device.
 | a. [ ]  Yes [ ]  No | Click here to enter text. |

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| 1. Systems to Detect, Prevent, and Respond to Healthcare-Associated Infections and Multidrug-Resistant Organisms (MDROs)
 |
| **Elements to be assessed** | **Assessment** | **Notes/Areas for Improvement** |
| 1. Hospital has system in place for early detection and management of potentially infectious persons **at initial points of entry to the hospital**, including rapid isolation as appropriate.
 | [ ]  Yes [ ]  No | Click here to enter text. |
| Verify the following: 1. Travel and occupational history is included as part of admission and triage protocols.
 | a. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Hospital has system to identify (flag) patients with targeted MDROs upon readmission so appropriate precautions can be applied.
 | b. [ ]  Yes [ ]  No | Click here to enter text. |
| The hospital has a respiratory/hygiene cough etiquette program that includes:1. Posting signs at entrances
 | c. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Providing tissues and no-touch receptacles for disposal of tissues
 | d. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Providing hand hygiene supplies in or near waiting areas
 | e. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Offering facemasks to coughing patients and other symptomatic individuals upon entry to the facility
 | f. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Providing space in patient waiting areas (e.g., ED waiting room) and encouraging individuals with symptoms of respiratory infections to sit as far away from others as possible
 | g. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Hospital has systems in place for early detection and isolation of infectious patients identified **during the hospital stay,** including rapid isolation of patients as appropriate.
 | [ ]  Yes [ ]  No | Click here to enter text. |
| Verify the following:1. There is a mechanism for prompt notification of the IP by the clinical microbiology laboratory when novel resistance patterns and/or targeted antimicrobial-resistant pathogens are detected.
 | a. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Hospital has system in place for **INTER-facility** communication of infectious status and isolation needs of patients **prior to transfer to** other facilities.
 | [ ]  Yes [ ]  No | Click here to enter text. |
| Verify the following: 1. Respondent can describe methods employed to ensure infectious status and isolation needs are communicated with receiving facilities.
 | a. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. The hospital has system to notify receiving facilities of microbiological tests (e.g., cultures) that are pending at the time of transfer.
 | b. [ ]  Yes [ ]  No | Click here to enter text. |

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| 1. Systems to Detect, Prevent, and Respond to Healthcare-Associated Infections and Multidrug-Resistant Organisms (MDROs), continued
 |
| **Elements to be assessed** | **Assessment** | **Notes/Areas for Improvement** |
| 1. Hospital has system in place for **INTER-facility** communication to identify infectious status and isolation needs of patients **prior to accepting patients from** other facilities.
 | [ ]  Yes [ ]  No | Click here to enter text. |
| Verify the following: 1. Respondent can describe methods employed to ensure infectious status and isolation needs are obtained from transferring facilities.
 | a. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. The hospital has system to follow-up on microbiological results (e.g., cultures) that are pending at the time of transfer.
 | b. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. If the hospital identifies an infection that may be related to care provided at another facility (e.g., hospital, nursing home, clinic), the facility is notified.
 | c. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Hospital has system in place for **INTRA-facility** communication to identify infectious status and isolation needs of patients prior to transfer to other units or shared spaces (e.g., radiology, physical therapy, emergency department) within the hospital.
 | [ ]  Yes [ ]  No | Click here to enter text. |
| Verify the following: 1. Respondent can describe methods employed to ensure infectious status and isolation needs are communicated with receiving units.
 | a. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Hospital has a surveillance program to monitor incidence of epidemiologically-important organisms (e.g., CRE) and targeted healthcare-associated infections.
 | [ ]  Yes [ ]  No | Click here to enter text. |
|  Verify the following: 1. Respondent can describe how the hospital determines which organisms and HAIs to track.
 | a. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Hospital uses surveillance data to implement corrective actions rapidly when transmission of epidemiologically-important organisms (e.g., CRE) or increased rates or persistently elevated rates of healthcare-associated infections are detected.
 | [ ]  Yes [ ]  No | Click here to enter text. |
| Verify the following: 1. Data collection method allows for timely response to identified problems.
 | a. [ ]  Yes [ ]  No | Click here to enter text. |

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| 1. Systems to Detect, Prevent, and Respond to Healthcare-Associated Infections and Multidrug-Resistant Organisms (MDROs), continued
 |
| **Elements to be assessed** | **Assessment** | **Notes/Areas for Improvement** |
| 1. Hospital has an antibiotic stewardship program that meets the 7 CDC core elements listed below (a – g).

*Note: The antibiotic stewardship program should be assessed in consultation with personnel knowledgeable about antibiotic stewardship activities (e.g., physician or pharmacist stewardship lead). Responses can be obtained from or cross-checked with the NHSN Annual Hospital Survey Antibiotic Stewardship Practice questions (Q 23 – 34) if available.* | [ ]  Yes [ ]  No | Click here to enter text. |
| Verify the following: 1. Hospital leadership commitment
	* Hospital has a written statement of support from leadership that supports efforts to improve antibiotic use (antibiotic stewardship) AND/OR
	* Hospital provides salary support for dedicated time for antibiotic stewardship activities.
 | a. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Program leadership (accountability)
	* There is a leader responsible for outcomes of stewardship activities at the hospital.
 | b. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Drug expertise
	* There is at least one pharmacist responsible for improving antibiotic use at the hospital.
 | c. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Act (at least one prescribing improvement action below)
* Hospital has a policy that requires prescribers to document an indication for all antibiotics in the medical record or during order entry.
	+ Hospital has hospital-specific treatment recommendations, based on national guidelines and local susceptibility, to assist with antibiotic selection for common clinical conditions.
	+ There is a formal procedure for all clinicians to review the appropriateness of all antibiotics at or after 48 hours from the initial orders (e.g., antibiotic time out).
	+ Hospital has specified antibiotic agents that need to be approved by a physician or pharmacist prior to dispensing at the hospital.
	+ Physician or pharmacist reviews courses of therapy for specified antibiotic agents and communicates results with prescribers.
 | d. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Track
	* Hospital monitors antibiotic use (consumption).
 | e. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Report
	* Prescribers receive feedback by the stewardship program about how they can improve their antibiotic prescribing.
 | f. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Educate
* Stewardship program provides education to clinicians and other relevant staff on improving antibiotic use.
 | g. [ ]  Yes [ ]  No | Click here to enter text. |

|  |
| --- |
| III. Systems to Detect, Prevent, and Respond to Healthcare-Associated Infections and Multidrug-Resistant Organisms  (MDROs), continued |
| **Elements to be assessed** | **Assessment** | **Notes/Areas for Improvement** |
| 1. Hospital has occupational health program that, in addition to complying with state and federal requirements (e.g., OSHA), has policies regarding contact of personnel with patients when personnel have potentially transmissible conditions.
 | [ ]  Yes [ ]  No | Click here to enter text. |
| Verify the following:1. The program has work-exclusion policies that encourage reporting of illnesses and do not penalize with loss of wages, benefits or job status.
 | a. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Personnel are educated regarding prompt reporting of illness to their supervisor and the occupational health programs.
 | b. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Hospital follows recommendations of the Advisory Committee on Immunization Practices (ACIP) for immunization of healthcare personnel, including offering Hepatitis B and influenza vaccination.
 | [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Hospital is compliant with mandatory reporting requirements for notifiable diseases, healthcare-associated infections (as appropriate), and potential outbreaks.
 | [ ]  Yes [ ]  No | Click here to enter text. |
| Verify the following: 1. Hospital can identify point(s) of contact at the local or state health department for HAI concerns.
 | a. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. Hospital implements infection control measures relevant to construction, renovation, demolition, and repairs including performance of an infection control risk assessment (ICRA) before a project gets underway.
 | [ ]  Yes [ ]  No | Click here to enter text. |
| Verify the following:1. IP program is consulted anytime construction, renovation, demolition, or repairs will be performed.
 | a. [ ]  Yes [ ]  No | Click here to enter text. |
| 1. ICRA elements are included in all contracts related to construction, renovation, demolition, and repairs.
 | b. [ ]  Yes [ ]  No | Click here to enter text. |

**Section 3: Direct Observation of Facility Practices (optional)**

Certain infection control lapses (e.g., reuse of syringes on more than one patient or to access a medication container that is used for subsequent patients; reuse of lancets) can result in bloodborne pathogen transmission and should be halted immediately. Identification of such lapses warrants appropriate notification and testing of potentially affected patients.

Examples of Auditing Tools for Direct Observations:

* **General Infection Control**

Centers for Medicare & Medicaid Services Hospital Infection Control Worksheet: <http://www.cms.gov/Medicare/Provider-Enrollment-and-Certification/SurveyCertificationGenInfo/Downloads/Survey-and-Cert-Letter-15-12-Attachment-1.pdf>

Auditing checklists available for observations of:

[ ]  Hand hygiene

[ ]  Personal protective equipment use

[ ]  Indwelling urinary catheter insertion and maintenance

[ ]  Central venous catheter insertion and maintenance

[ ]  Injection safety

[ ]  Environmental services

[ ]  Equipment reprocessing (non-critical, semi-critical, critical reusable and single-use devices)

[ ]  Ventilator/respiratory therapy

[ ]  Spinal injection procedures

[ ]  Point of care devices

[ ]  Transmission-based precautions (Contact, Droplet, Airborne)

[ ]  Surgical procedures

* **Hand Hygiene Auditing Tools**

[ ]  Measuring Hand Hygiene Adherence: Overcoming the Challenges: <http://www.jointcommission.org/assets/1/18/hh_monograph.pdf>

[ ]  iScrub: <http://compepi.cs.uiowa.edu/index.php/Research/IScrub>

* **Personal Protective Equipment (PPE) Donning and Doffing**

[ ]  CDC Sequence for Donning and Removing Personal Protective Equipment <http://www.cdc.gov/hai/pdfs/ppe/PPE-Sequence.pdf>

* **Urinary Catheter Appropriate Use, Insertion, and Maintenance**

[ ]  American Nurses Association CAUTI Prevention Tool: <http://nursingworld.org/CAUTI-Tool>

[ ]  CDC TAP CAUTI Toolkit Implementation Guide: <http://www.cdc.gov/hai/prevent/tap/resources.html>

* **Central Venous Catheter Appropriate Use, Insertion, and Maintenance**

[ ]  CDC Checklist for Prevention of Central Line-Associated Blood Stream Infections: <http://www.cdc.gov/HAI/pdfs/bsi/checklist-for-CLABSI.pdf>

[ ]  AHRQ Tools for Reducing CLABSI: <http://www.ahrq.gov/professionals/education/curriculum-tools/clabsitools/index.html>

* **Safe Injection Practices**

[ ]  Injection Safety Checklist: <http://www.oneandonlycampaign.org/sites/default/files/upload/pdf/Injection%20Safety%20Checklist-508.pdf>

* **Environmental Infection Control**

[ ]  CDC Environmental Checklist for Monitoring Terminal Cleaning: <http://www.cdc.gov/HAI/toolkits/Environmental-Cleaning-Checklist-10-6-2010.pdf>

[ ]  CDC Environmental Cleaning Evaluation Worksheet: <http://www.cdc.gov/HAI/toolkits/Evaluating-Environmental-Cleaning.html>

[ ]  Infection Control Risk Assessment (ICRA) during Construction and Renovation:

<http://www.nebraskamed.com/App_Files/doc/icap/Basic-ICRA-with-Matrix.pdf>

**Section 4: Infection Control Guidelines and Other Resources**

* **General Infection Prevention**

[ ]  CDC/HICPAC Guidelines and recommendations: <http://www.cdc.gov/HAI/prevent/prevent_pubs.html>

* **Facility Infection Risk Assessment**

[ ]  Infection Control Risk Assessment Analysis (Excel Document): <https://higherlogicdownload.s3.amazonaws.com/APIC/eb3f0499-9134-44a4-9b14-f1d9f3915c3f/UploadedImages/ICRiskAssessmentAnalysis.xls>

* **Hand Hygiene**

[ ]  Guideline for Hand Hygiene in Healthcare Settings: <http://www.cdc.gov/mmwr/PDF/rr/rr5116.pdf>

[ ]  Hand Hygiene in Healthcare Settings: <http://www.cdc.gov/handhygiene>

* **Personal Protective Equipment**

[ ]  2007 Guidelines for Isolation Precautions: Preventing Transmission of Infectious Agents in Healthcare Settings: <http://www.cdc.gov/hicpac/pdf/isolation2007.pdf>

[ ]  Guidance for the Selection and Use of Personal Protective Equipment in Healthcare Settings: <http://www.cdc.gov/HAI/prevent/ppe.html>

* **Catheter-associated Urinary Tract Infection (CAUTI)**

[ ]  Guideline for Prevention of Catheter-associated Urinary Tract Infections, 2009: <http://www.cdc.gov/hicpac/pdf/CAUTI/CAUTIguideline2009final.pdf>

* **Central line-associated Bloodstream Infection (CLABSI)**

[ ]  Guideline for Prevention of Intravascular Catheter-related Infections, 2011: <http://www.cdc.gov/hicpac/pdf/guidelines/bsi-guidelines-2011.pdf>

* **Ventilator-associated Event (VAE)**

[ ]  Guidelines for Preventing Healthcare-associated Pneumonia, 2003: <http://www.cdc.gov/hicpac/pdf/guidelines/CDCpneumo_guidelines.pdf>

* **Surgical Site Infection (SSI)**

[ ]  Guidelines for the Prevention of Surgical Site Infection, 1999: <http://www.cdc.gov/hicpac/pdf/guidelines/SSI_1999.pdf>

* **Safe Injection Practices**

[ ]  2007 Guidelines for Isolation Precautions: Preventing Transmission of Infectious Agents in Healthcare Settings: <http://www.cdc.gov/hicpac/pdf/isolation/Isolation2007.pdf>

[ ]  CDC Injection Safety Web Materials: <http://www.cdc.gov/injectionsafety>

[ ]  CDC training video and related Safe Injection Practices Campaign materials: <http://oneandonlycampaign.org>

* ***Clostridium difficile* Infection (CDI) and Multidrug-Resistant Organisms (MDRO), including antimicrobial stewardship**

[ ]  2007 Guidelines for Isolation Precautions: Preventing Transmission of Infectious Agents in Healthcare Settings: <http://www.cdc.gov/hicpac/pdf/isolation/Isolation2007.pdf>

[ ]  Management of Multi-Drug Resistant Organisms in Healthcare Settings, 2006: <http://www.cdc.gov/hicpac/pdf/guidelines/MDROGuideline2006.pdf>

[ ]  SHEA-IDSA Strategies to Prevention *Clostridium difficile* Infections in Acute Care Hospitals: 2014 Update: <http://www.jstor.org/stable/10.1086/676023>

[ ]  SHEA-IDSA Guideline: <http://www.cdc.gov/HAI/pdfs/cdiff/Cohen-IDSA-SHEA-CDI-guidelines-2010.pdf>

[ ]  CDC’s Core Elements of Hospital Antibiotic Stewardship Program: <http://www.cdc.gov/getsmart/healthcare/implementation/core-elements.html>

[ ]  CDC Implementation Resources for Antibiotic Stewardship: <http://www.cdc.gov/getsmart/healthcare/implementation.html>

[ ]  EPA Listing of disinfectant products with sporicidal activity against *C. difficile*: <https://www.epa.gov/pesticide-registration/list-k-epas-registered-antimicrobial-products-effective-against-clostridium>

* **Environmental Infection Control, including Infection Control Risk Assessment (ICRA)**

[ ]  Guidelines for Environmental Infection Control in Healthcare Facilities: <http://www.cdc.gov/hicpac/pdf/guidelines/eic_in_HCF_03.pdf>

* **Equipment Reprocessing**

[ ]  Guideline for Disinfection and Sterilization in Healthcare Facilities: <http://www.cdc.gov/hicpac/pdf/guidelines/Disinfection_Nov_2008.pdf>

[ ]  FDA regulations on reprocessing of single-use devices: <http://www.fda.gov/MedicalDevices/DeviceRegulationandGuidance/GuidanceDocuments/ucm071434>

* **Point-of-Care Testing**

[ ]  Infection Prevention during Blood Glucose Monitoring and Insulin Administration:  <http://www.cdc.gov/injectionsafety/blood-glucose-monitoring.html>

[ ]  Frequently Asked Questions (FAQs) regarding Assisted Blood Glucose Monitoring and Insulin Administration:  <http://www.cdc.gov/injectionsafety/providers/blood-glucose-monitoring_faqs.html>

* **Respiratory Hygiene/Cough Etiquette**

[ ]  2007 Guidelines for Isolation Precautions:  Preventing Transmission of Infectious Agents in Healthcare Settings:  <http://www.cdc.gov/hicpac/pdf/isolation/Isolation2007.pdf>

[ ]  Recommendations for Preventing the Spread of Influenza:  <http://www.cdc.gov/flu/professionals/infectioncontrol/>

* **Healthcare Personnel Safety**

[ ]  Guideline for Infection Control in Healthcare Personnel: <http://www.cdc.gov/hicpac/pdf/InfectControl98.pdf>

[ ]  Immunization of Healthcare Personnel: <http://www.cdc.gov/vaccines/adults/rec-vac/hcw.html>

[ ]  Occupational Safety & Health Administration (OSHA) Bloodborne Pathogen and Needlestick Prevention Standard: <https://www.osha.gov/SLTC/bloodbornepathogens/index.html>

[ ]  Hospital Respiratory Protection Program Toolkit: <http://www.cdc.gov/niosh/docs/2015-117/pdfs/2015-117.pdf>

* **Resources to assist with evaluation and response to breaches in infection control**

[ ]  Patel PR, Srinivasan A, Perz JF. Developing a broader approach to management of infection control breaches in health care settings. Am J Infect Control 2008; 36(10):685-90. [http://www.ajicjournal.org/article/S0196-6553(08)00683-4/abstract](http://www.ajicjournal.org/article/S0196-6553%2808%2900683-4/abstract)

[ ]  Steps for Evaluating an Infection Control Breach: <http://www.cdc.gov/hai/outbreaks/steps_for_eval_IC_breach.html>

[ ]  Patient Notification Toolkit:  <http://www.cdc.gov/injectionsafety/pntoolkit/index.html>

 Assessment Summary

   

| **I. Infection Control Program and Infrastructure** |
| --- |
| Incomplete Elements |
| * + - 1. Hospital provides fiscal and human resource support for maintaining the infection prevention and control program.
 |
| * + - 1. The person(s) charged with directing the infection prevention and control program at the hospital is/are qualified and trained in infection control.
 |
| * + - 1. Infection prevention and control program performs an annual facility infection risk assessment that evaluates and prioritizes potential risks for infections, contamination, and exposures and the program’s preparedness to eliminate or mitigate such risks.
 |
| * + - 1. Written infection control policies and procedures are available, current, and based on evidence-based guidelines (e.g., CDC/HICPAC), regulations, or standards.
 |
| * 1. Respondent can describe the process for reviewing and updating policies (e.g., policies are dated and reviewed annually and when new guidelines are issued)
 |
| * + - 1. Infection prevention and control program provides infection prevention education to patients, family members, and other caregivers.
 |
| * 1. Respondent can describe how this education is provided (e.g., information included in the admission or discharge packet, videos, signage, in-person training)
 |
| Summary of ‘No’ Responses |
| 1. Hospital provides fiscal and human resource support for maintaining the infection prevention and control program.
 |
| 1. The person(s) charged with directing the infection prevention and control program at the hospital is/are qualified and trained in infection control.
 |
| 1. Infection prevention and control program performs an annual facility infection risk assessment that evaluates and prioritizes potential risks for infections, contamination, and exposures and the program’s preparedness to eliminate or mitigate such risks.
 |
| 1. Written infection control policies and procedures are available, current, and based on evidence-based guidelines (e.g., CDC/HICPAC), regulations, or standards.
 |
| 1. Respondent can describe the process for reviewing and updating policies (e.g., policies are dated and reviewed annually and when new guidelines are issued)
 |
| 1. Infection prevention and control program provides infection prevention education to patients, family members, and other caregivers.
 |
| 1. Respondent can describe how this education is provided (e.g., information included in the admission or discharge packet, videos, signage, in-person training)
 |
| Action Items:[ ]  Facility will conduct additional training for healthcare personnel (e.g., to correct a problem observed) [ ]  Facility will improve regular training program, including incorporation of competency assessments [ ]  Facility will initiate feedback program [ ]  Facility will initiate auditing program[ ]  Health dept. provided recommendation(s) at time of visit [ ]  Facility will develop/update policies and procedures[ ]  Health dept. provided resource(s)/tool(s) at time of visit [ ]  Other (specify): my test Facility Response:[ ]  Facility agrees with assessment in this domain [ ]  Facility plans to take action to mitigate[ ]  Other (specify): Click here to enter text. |
| All Items Confirmed |
| Notes/Recommendations: Click here to enter text. |

| **A. Hand Hygiene** |
| --- |
| Incomplete Elements |
| * + 1. Hospital has a competency-based training program for hand hygiene.
 |
| 1. Training is provided to all healthcare personnel, including all ancillary personnel not directly involved in patient care but potentially exposed to infectious agents (e.g., food tray handlers, housekeeping, and volunteer personnel).
 |
| 1. Training is provided upon hire, prior to provision of care at this hospital.
 |
| 1. Training is provided at least annually.
 |
| 1. Personnel are required to demonstrate competency with hand hygiene following each training.
 |
| 1. Hospital maintains current documentation of hand hygiene competency for all personnel.
 |
| * + 1. Hospital routinely audits (monitors and documents) adherence to hand hygiene.
 |
| 1. Respondent can describe process used for audits.
 |
| 1. Respondent can describe frequency of audits.
 |
| 1. Respondent can describe process for improvement when non-adherence is observed.
 |
| * + 1. Hospital provides feedback from audits to personnel regarding their hand hygiene performance.
 |
| * 1. Respondent can describe how feedback is provided.
 |
| * 1. Respondent can describe frequency of feedback.
 |
| 1. Supplies necessary for adherence to hand hygiene (e.g., soap, water, paper towels, alcohol-based hand rub) are readily accessible in patient care areas.
 |
| 1. Hand hygiene policies promote preferential use of alcohol-based hand rub (ABHR) over soap and water in most clinical situations.
 |
| Summary of ‘No’ Responses |
| 1. Hospital has a competency-based training program for hand hygiene.
 |
| 1. Training is provided to all healthcare personnel, including all ancillary personnel not directly involved in patient care but potentially exposed to infectious agents (e.g., food tray handlers, housekeeping, and volunteer personnel).
 |
| 1. Training is provided upon hire, prior to provision of care at this hospital.
 |
| 1. Training is provided at least annually.
 |
| 1. Personnel are required to demonstrate competency with hand hygiene following each training.
 |
| 1. Hospital maintains current documentation of hand hygiene competency for all personnel.
 |
| 1. Hospital routinely audits (monitors and documents) adherence to hand hygiene.
 |
| 1. Respondent can describe process used for audits.
 |
| 1. Respondent can describe frequency of audits.
 |
| 1. Respondent can describe process for improvement when non-adherence is observed.
 |
| 1. Hospital provides feedback from audits to personnel regarding their hand hygiene performance.
 |
| * 1. Respondent can describe how feedback is provided.
 |
| * 1. Respondent can describe frequency of feedback.
 |
| 1. Supplies necessary for adherence to hand hygiene (e.g., soap, water, paper towels, alcohol-based hand rub) are readily accessible in patient care areas.
 |
| 1. Hand hygiene policies promote preferential use of alcohol-based hand rub (ABHR) over soap and water in most clinical situations.
 |
| Action Items:[ ]  Facility will conduct additional training for healthcare personnel (e.g., to correct a problem observed) [ ]  Facility will improve regular training program, including incorporation of competency assessments [ ]  Facility will initiate feedback program [ ]  Facility will initiate auditing program[ ]  Health dept. provided recommendation(s) at time of visit [ ]  Facility will develop/update policies and procedures[ ]  Health dept. provided resource(s)/tool(s) at time of visit [ ]  Other (specify): Click here to enter text. Facility Response:[ ]  Facility agrees with assessment in this domain [ ]  Facility plans to take action to mitigate[ ]  Other (specify): Click here to enter text. |
| All Items Confirmed |
| Notes/Recommendations: Click here to enter text. |

| **B. Personal Protective Equipment (PPE)** |
| --- |
| Incomplete Elements |
| * + 1. Hospital has a competency-based training program for use of personal protective equipment (PPE).
 |
| 1. Training is provided to all personnel who use PPE.
 |
| 1. Training is provided upon hire, prior to provision of care at this hospital.
 |
| 1. Training is provided at least annually.
 |
| 1. Training is provided when new equipment or protocols are introduced.
 |
| 1. Training includes 1) appropriate indications for specific PPE components, 2) proper donning, doffing, adjustment, and wear of PPE, and 3) proper care, maintenance, useful life, and disposal of PPE.
 |
| 1. Personnel are required to demonstrate competency with selection and use of PPE (i.e., correct technique is observed by trainer) following each training.
 |
| 1. Hospital maintains current documentation of PPE competency for all personnel who use PPE.
 |
| * + 1. Hospital routinely audits (monitors and documents) adherence to proper PPE selection and use, including donning and doffing.
 |
| 1. Respondent can describe process used for audits.
 |
| 1. Respondent can describe frequency of audits.
 |
| 1. Respondent can describe process for improvement when non-adherence is observed.
 |
| * + 1. Hospital provides feedback from audits to personnel regarding their performance with selection and use of PPE.
 |
| * 1. Respondent can describe how feedback is provided.
 |
| * 1. Respondent can describe frequency of feedback.
 |
| * + 1. Supplies necessary for adherence to personal protective equipment recommendations specified under Standard and Transmission-based Precautions (e.g., gloves, gowns, mouth, eye, nose, and face protection) are available and located near point of use.
 |
| 5. The facility respiratory protection program provides employees protection from recognized hazards. |
| 1. Annual fit testing of respirators is provided for all personnel who are anticipated to require respiratory protection.
 |
| 1. Supplies of respiratory protection devices (e.g., powered air purifying respirators) are maintained for those who cannot be fitted.
 |
| 1. Employees are educated about conditions that may compromise proper fit and function of respiratory devices (e.g., weight gain/loss, facial hair).
 |
| Summary of ‘No’ Responses |
| 1. Hospital has a competency-based training program for use of personal protective equipment (PPE).
 |
| 1. Training is provided to all personnel who use PPE.
 |
| 1. Training is provided upon hire, prior to provision of care at this hospital.
 |
| 1. Training is provided at least annually.
 |
| 1. Training is provided when new equipment or protocols are introduced.
 |
| 1. Training includes 1) appropriate indications for specific PPE components, 2) proper donning, doffing, adjustment, and wear of PPE, and 3) proper care, maintenance, useful life, and disposal of PPE.
 |
| 1. Personnel are required to demonstrate competency with selection and use of PPE (i.e., correct technique is observed by trainer) following each training.
 |
| 1. Hospital maintains current documentation of PPE competency for all personnel who use PPE.
 |
| 1. Hospital routinely audits (monitors and documents) adherence to proper PPE selection and use, including donning and doffing.
 |
| 1. Respondent can describe process used for audits.
 |
| 1. Respondent can describe frequency of audits.
 |
| 1. Respondent can describe process for improvement when non-adherence is observed.
 |
| 1. Hospital provides feedback from audits to personnel regarding their performance with selection and use of PPE.
 |
| * 1. Respondent can describe how feedback is provided.
 |
| * 1. Respondent can describe frequency of feedback.
 |
| 1. Supplies necessary for adherence to personal protective equipment recommendations specified under Standard and Transmission-based Precautions (e.g., gloves, gowns, mouth, eye, nose, and face protection) are available and located near point of use.
 |
| 5. The facility respiratory protection program provides employees protection from recognized hazards. |
| 1. Annual fit testing of respirators is provided for all personnel who are anticipated to require respiratory protection.
 |
| 1. Supplies of respiratory protection devices (e.g., powered air purifying respirators) are maintained for those who cannot be fitted.
 |
| 1. Employees are educated about conditions that may compromise proper fit and function of respiratory devices (e.g., weight gain/loss, facial hair).
 |
| Action Items:[ ]  Facility will conduct additional training for healthcare personnel (e.g., to correct a problem observed) [ ]  Facility will improve regular training program, including incorporation of competency assessments [ ]  Facility will initiate feedback program [ ]  Facility will initiate auditing program[ ]  Health dept. provided recommendation(s) at time of visit [ ]  Facility will develop/update policies and procedures[ ]  Health dept. provided resource(s)/tool(s) at time of visit [ ]  Other (specify): Click here to enter text. Facility Response:[ ]  Facility agrees with assessment in this domain [ ]  Facility plans to take action to mitigate[ ]  Other (specify): Click here to enter text. |
| All Items Confirmed |
| Notes/Recommendations: Click here to enter text. |

| **C. Prevention of Catheter-associated Urinary Tract Infection (CAUTI)** |
| --- |
| Incomplete Elements |
| 1. Hospital has physician and/or nurse champions for CAUTI prevention activities.
 |
| 1. Hospital has a competency-based training program for **insertion** of urinary catheters.
 |
| * 1. Training is provided to all personnel who are given responsibility for insertion of urinary catheters. Personnel may include, but are not limited to, nurses, nursing assistants, medical assistants, technicians, and physicians.
 |
| * 1. Training is provided upon hire, prior to being allowed to perform urinary catheter insertion.
 |
| * 1. Training is provided at least annually.
 |
| * 1. Training is provided when new equipment or protocols are introduced.
 |
| * 1. Personnel are required to demonstrate competency with insertion (i.e., correct technique is observed by trainer) following each training.
 |
| * 1. Hospital maintains current documentation of competency with urinary catheter insertion for all personnel who insert urinary catheters.
 |
| 1. Hospital routinely audits (monitors and documents) adherence to recommended practices for **insertion** of urinary catheters.
 |
| 1. Respondent can describe process used for audits.
 |
| 1. Respondent can describe frequency of audits.
 |
| 1. Respondent can describe process for improvement when non-adherence is observed.
 |
| 1. Hospital provides feedback from audits to personnel regarding their performance for **insertion** of urinary catheters.
 |
| * 1. Respondent can describe how feedback is provided.
 |
| * 1. Respondent can describe frequency of feedback.
 |
| 1. Hospital has a competency-based training program for **maintenance** of urinary catheters.
 |
| 1. Training is provided to all personnel who are given responsibility for urinary catheter maintenance (e.g., perineal care, emptying the drainage bag aseptically, maintaining the closed drainage system, maintaining unobstructed urine flow). Personnel may include, but are not limited to, nurses, nursing assistants, medical assistants, technicians, and transport personnel.
 |
| 1. Training is provided upon hire, prior to being allowed to perform urinary catheter maintenance.
 |
| 1. Training is provided at least annually.
 |
| 1. Training is provided when new equipment or protocols are introduced.
 |
| 1. Personnel are required to demonstrate competency with catheter maintenance (i.e., correct technique is observed by trainer) following each training.
 |
| 1. Hospital maintains current documentation of competency with urinary catheter maintenance for all personnel who maintain urinary catheters.
 |
| 1. Hospital routinely audits (monitors and documents) adherence to recommended practices for **maintenance** of urinary catheters.
 |
| * 1. Respondent can describe process used for audits.
 |
| * 1. Respondent can describe frequency of audits.
 |
| * 1. Respondent can describe process for improvement when non-adherence is observed.
 |
| 1. Hospital provides feedback from audits to personnel regarding their performance for **maintenance** of urinary catheters.
 |
| * 1. Respondent can describe how feedback is provided.
 |
| * 1. Respondent can describe frequency of feedback.
 |
| 1. Patients with urinary catheters are assessed, at least daily, for continued need for the catheter.
 |
| 1. Respondent can describe methods used to trigger the daily assessments (e.g., patient safety checklist, daily rounds, nurse directed protocol, reminders, or stop orders).
 |
| 1. Hospital routinely audits adherence to daily assessment of urinary catheter need.
 |
| 1. Hospital monitors CAUTI data and uses it to direct prevention activities.
 |
| * 1. Respondent is familiar with National Healthcare Safety Network (NHSN) CAUTI data.
 |
| * 1. Respondent can describe how CAUTI data are used to direct prevention activities.
 |
| 1. Hospital provides feedback of CAUTI data to frontline personnel.
 |
| * 1. Respondent can describe how feedback is provided.
 |
| * 1. Respondent can describe frequency of feedback.
 |
| Summary of ‘No’ Responses |
| 1. Hospital has physician and/or nurse champions for CAUTI prevention activities.
 |
| 1. Hospital has a competency-based training program for **insertion** of urinary catheters.
 |
| 1. Training is provided to all personnel who are given responsibility for insertion of urinary catheters. Personnel may include, but are not limited to, nurses, nursing assistants, medical assistants, technicians, and physicians.
 |
| 1. Training is provided upon hire, prior to being allowed to perform urinary catheter insertion.
 |
| 1. Training is provided at least annually.
 |
| 1. Training is provided when new equipment or protocols are introduced.
 |
| 1. Personnel are required to demonstrate competency with insertion (i.e., correct technique is observed by trainer) following each training.
 |
| 1. Hospital maintains current documentation of competency with urinary catheter insertion for all personnel who insert urinary catheters.
 |
| 1. Hospital routinely audits (monitors and documents) adherence to recommended practices for **insertion** of urinary catheters.
 |
| 1. Respondent can describe process used for audits.
 |
| 1. Respondent can describe frequency of audits.
 |
| 1. Respondent can describe process for improvement when non-adherence is observed.
 |
| 1. Hospital provides feedback from audits to personnel regarding their performance for **insertion** of urinary catheters.
 |
| 1. Respondent can describe how feedback is provided.
 |
| 1. Respondent can describe frequency of feedback.
 |
| 1. Hospital has a competency-based training program for **maintenance** of urinary catheters.
 |
| 1. Training is provided to all personnel who are given responsibility for urinary catheter maintenance (e.g., perineal care, emptying the drainage bag aseptically, maintaining the closed drainage system, maintaining unobstructed urine flow). Personnel may include, but are not limited to, nurses, nursing assistants, medical assistants, technicians, and transport personnel.
 |
| 1. Training is provided upon hire, prior to being allowed to perform urinary catheter maintenance.
 |
| 1. Training is provided at least annually.
 |
| 1. Training is provided when new equipment or protocols are introduced.
 |
| 1. Personnel are required to demonstrate competency with catheter maintenance (i.e., correct technique is observed by trainer) following each training.
 |
| 1. Hospital maintains current documentation of competency with urinary catheter maintenance for all personnel who maintain urinary catheters.
 |
| 1. Hospital routinely audits (monitors and documents) adherence to recommended practices for **maintenance** of urinary catheters.
 |
| * 1. Respondent can describe process used for audits.
 |
| * 1. Respondent can describe frequency of audits.
 |
| * 1. Respondent can describe process for improvement when non-adherence is observed.
 |
| 1. Hospital provides feedback from audits to personnel regarding their performance for **maintenance** of urinary catheters.
 |
| 1. Respondent can describe how feedback is provided.
 |
| 1. Respondent can describe frequency of feedback.
 |
| 1. Patients with urinary catheters are assessed, at least daily, for continued need for the catheter.
 |
| 1. Respondent can describe methods used to trigger the daily assessments (e.g., patient safety checklist, daily rounds, nurse directed protocol, reminders, or stop orders).
 |
| 1. Hospital routinely audits adherence to daily assessment of urinary catheter need.
 |
| 1. Hospital monitors CAUTI data and uses it to direct prevention activities.
 |
| 1. Respondent is familiar with National Healthcare Safety Network (NHSN) CAUTI data.
 |
| 1. Respondent can describe how CAUTI data are used to direct prevention activities.
 |
| 1. Hospital provides feedback of CAUTI data to frontline personnel.
 |
| 1. Respondent can describe how feedback is provided.
 |
| 1. Respondent can describe frequency of feedback.
 |
| Action Items:[ ]  Facility will conduct additional training for healthcare personnel (e.g., to correct a problem observed) [ ]  Facility will improve regular training program, including incorporation of competency assessments [ ]  Facility will initiate feedback program [ ]  Facility will initiate auditing program[ ]  Health dept. provided recommendation(s) at time of visit [ ]  Facility will develop/update policies and procedures[ ]  Health dept. provided resource(s)/tool(s) at time of visit [ ]  Other (specify): Click here to enter text. Facility Response:[ ]  Facility agrees with assessment in this domain [ ]  Facility plans to take action to mitigate[ ]  Other (specify): Click here to enter text. |
| All Items Confirmed |
| Notes/Recommendations: Click here to enter text. |

| **D. Prevention of Central line-associated Bloodstream Infection (CLABSI)** |
| --- |
| Incomplete Elements |
| 1. Hospital has physician and/or nurse champions for CLABSI prevention activities.
 |
| 1. Hospital has a competency-based training program for **insertion** of central venous catheters.
 |
| * 1. Training is provided to all personnel who are given responsibility for insertion of central venous catheters. Personnel may include, but are not limited to, physicians, physician assistants, and members of line insertion teams.
 |
| * 1. Training is provided upon hire, prior to being allowed to perform central venous catheter insertion.
 |
| * 1. Training is provided at least annually.
 |
| * 1. Training is provided when new equipment or protocols are introduced.
 |
| * 1. Personnel are required to demonstrate competency with insertion (i.e., correct technique is observed by trainer) following each training.
 |
| * 1. Hospital maintains current documentation of competency with central venous catheter insertion for all personnel who insert central venous catheters.
 |
| 1. Hospital routinely audits (monitors and documents) adherence to recommended practices for **insertion** of central venous catheters.
 |
| * 1. Respondent can describe process used for audits.
 |
| * 1. Respondent can describe frequency of audits.
 |
| * 1. Respondent can describe process for improvement when non-adherence is observed.
 |
| 1. Hospital provides feedback from audits to personnel regarding their performance for **insertion** of central venous catheters.
 |
| * 1. Respondent can describe how feedback is provided.
 |
| * 1. Respondent can describe frequency of feedback.
 |
| 1. Hospital has a competency-based training program for **maintenance** of central venous catheters.
 |
| 1. Training is provided to all personnel who maintain central venous catheters (e.g., scrub the hub, accessing the catheter, dressing changes). Personnel may include, but are not limited to, nurses, nursing assistants, physicians, and physician assistants.
 |
| 1. Training is provided upon hire, prior to being allowed to perform central venous catheter maintenance.
 |
| 1. Training is provided at least annually.
 |
| 1. Training is provided when new equipment or protocols are introduced.
 |
| 1. Personnel are required to demonstrate competency with maintenance (i.e., correct technique is observed by trainer) following each training.
 |
| 1. Hospital maintains current documentation of competency with central venous catheter maintenance for all personnel who maintain central venous catheters.
 |
| 1. Hospital routinely audits (monitors and documents) adherence to recommended practices for **maintenance** of central venous catheters.
 |
| 1. Respondent can describe process used for audits.
 |
| 1. Respondent can describe frequency of audits.
 |
| 1. Respondent can describe process for improvement when non-adherence is observed.
 |
| 1. Hospital provides feedback from audits to personnel regarding their performance for **maintenance** of central venous catheters.
 |
| * 1. Respondent can describe how feedback is provided.
 |
| * 1. Respondent can describe frequency of feedback.
 |
| 1. Patients with central venous catheters are assessed, at least daily, for continued need for the catheter.
 |
| * 1. Respondent can describe methods used to trigger the daily assessments (e.g., patient safety checklist, daily rounds, and reminders).
 |
| 1. Hospital routinely audits adherence to daily assessment of central venous catheter need.
 |
| 1. Hospital monitors CLABSI data and uses it to direct prevention activities.
 |
| * 1. Respondent is familiar with National Healthcare Safety Network (NHSN) CLABSI data.
 |
| * 1. Respondent can describe how CLABSI data are used to direct prevention activities.
 |
| 1. Hospital provides feedback of CLABSI data to frontline personnel.
 |
| 1. Respondent can describe how feedback is provided.
 |
| 1. Respondent can describe frequency of feedback.
 |
| Summary of ‘No’ Responses |
| 1. Hospital has physician and/or nurse champions for CLABSI prevention activities.
 |
| 1. Hospital has a competency-based training program for **insertion** of central venous catheters.
 |
| * 1. Training is provided to all personnel who are given responsibility for insertion of central venous catheters. Personnel may include, but are not limited to, physicians, physician assistants, and members of line insertion teams.
 |
| * 1. Training is provided upon hire, prior to being allowed to perform central venous catheter insertion.
 |
| * 1. Training is provided at least annually.
 |
| * 1. Training is provided when new equipment or protocols are introduced.
 |
| * 1. Personnel are required to demonstrate competency with insertion (i.e., correct technique is observed by trainer) following each training.
 |
| * 1. Hospital maintains current documentation of competency with central venous catheter insertion for all personnel who insert central venous catheters.
 |
| 1. Hospital routinely audits (monitors and documents) adherence to recommended practices for **insertion** of central venous catheters.
 |
| * 1. Respondent can describe process used for audits.
 |
| * 1. Respondent can describe frequency of audits.
 |
| * 1. Respondent can describe process for improvement when non-adherence is observed.
 |
| 1. Hospital provides feedback from audits to personnel regarding their performance for **insertion** of central venous catheters.
 |
| * 1. Respondent can describe how feedback is provided.
 |
| * 1. Respondent can describe frequency of feedback.
 |
| 1. Hospital has a competency-based training program for **maintenance** of central venous catheters.
 |
| 1. Training is provided to all personnel who maintain central venous catheters (e.g., scrub the hub, accessing the catheter, dressing changes). Personnel may include, but are not limited to, nurses, nursing assistants, physicians, and physician assistants.
 |
| 1. Training is provided upon hire, prior to being allowed to perform central venous catheter maintenance.
 |
| 1. Training is provided at least annually.
 |
| 1. Training is provided when new equipment or protocols are introduced.
 |
| 1. Personnel are required to demonstrate competency with maintenance (i.e., correct technique is observed by trainer) following each training.
 |
| 1. Hospital maintains current documentation of competency with central venous catheter maintenance for all personnel who maintain central venous catheters.
 |
| 1. Hospital routinely audits (monitors and documents) adherence to recommended practices for **maintenance** of central venous catheters.
 |
| 1. Respondent can describe process used for audits.
 |
| 1. Respondent can describe frequency of audits.
 |
| 1. Respondent can describe process for improvement when non-adherence is observed.
 |
| 1. Hospital provides feedback from audits to personnel regarding their performance for **maintenance** of central venous catheters.
 |
| * 1. Respondent can describe how feedback is provided.
 |
| * 1. Respondent can describe frequency of feedback.
 |
| 1. Patients with central venous catheters are assessed, at least daily, for continued need for the catheter.
 |
| * 1. Respondent can describe methods used to trigger the daily assessments (e.g., patient safety checklist, daily rounds, and reminders).
 |
| 1. Hospital routinely audits adherence to daily assessment of central venous catheter need.
 |
| 1. Hospital monitors CLABSI data and uses it to direct prevention activities.
 |
| * 1. Respondent is familiar with National Healthcare Safety Network (NHSN) CLABSI data.
 |
| * 1. Respondent can describe how CLABSI data are used to direct prevention activities.
 |
| 1. Hospital provides feedback of CLABSI data to frontline personnel.
 |
| 1. Respondent can describe how feedback is provided.
 |
| 1. Respondent can describe frequency of feedback.
 |
| Action Items:[ ]  Facility will conduct additional training for healthcare personnel (e.g., to correct a problem observed) [ ]  Facility will improve regular training program, including incorporation of competency assessments [ ]  Facility will initiate feedback program [ ]  Facility will initiate auditing program[ ]  Health dept. provided recommendation(s) at time of visit [ ]  Facility will develop/update policies and procedures[ ]  Health dept. provided resource(s)/tool(s) at time of visit [ ]  Other (specify): Click here to enter text. Facility Response:[ ]  Facility agrees with assessment in this domain [ ]  Facility plans to take action to mitigate[ ]  Other (specify): Click here to enter text. |
| All Items Confirmed |
| Notes/Recommendations: Click here to enter text. |

| **E. Prevention of Ventilator-associated Event (VAE)** |
| --- |
| Incomplete Elements |
| * + 1. Hospital has physician and/or nurse champions for VAE prevention activities.
 |
| * + 1. Hospital has a competency-based training program addressing prevention of VAEs.
 |
| 1. Training is provided to all personnel who provide respiratory therapy for ventilated patients (e.g., suctioning, administration of aerosolized medications). Personnel may include, but are not limited to, respiratory therapists and nurses.
 |
| 1. Training is provided upon hire, prior to being allowed to provide respiratory therapy for ventilated patients.
 |
| 1. Training is provided at least annually.
 |
| 1. Training is provided when new equipment or protocols are introduced.
 |
| 1. Personnel are required to demonstrate competency with respiratory therapy practices (i.e., correct technique is observed by trainer) following each training.
 |
| 1. Hospital maintains current documentation of competency with respiratory practices for all personnel who provide respiratory therapy for ventilated patients.
 |
| * + 1. Hospital routinely audits (monitors and documents) adherence to recommended practices for management of ventilated patients (e.g., suctioning, administration of aerosolized medications).
 |
| 1. Respondent can describe process used for audits.
 |
| 1. Respondent can describe frequency of audits.
 |
| 1. Respondent can describe process for improvement when non-adherence is observed.
 |
| * + 1. Hospital provides feedback from audits to personnel regarding their performance for management of ventilated patients.
 |
| 1. Respondent can describe how feedback is provided.
 |
| 1. Respondent can describe frequency of feedback.
 |
| * + 1. Patients requiring invasive ventilation are assessed, at least daily, for continued need for the ventilator.
 |
| 1. Respondent can describe methods used to trigger the daily assessments (e.g., patient safety checklist, daily rounds, and reminders).
 |
| 1. Hospital routinely audits (monitors and documents) adherence to daily assessment of ventilator need.
 |
| * + 1. Hospital has a program that includes daily spontaneous breathing trials and lightening of sedation in eligible patient.
 |
| * + 1. Hospital has an oral-hygiene program.
 |
| * + 1. Hospital monitors VAE data and uses it to direct prevention activities.
 |
| 1. Respondent can describe how VAE data are used to direct prevention activities.
 |
|  If the hospital reports VAE data to NHSN, verify the following:1. Respondent is familiar with NHSN VAE data.
 |
|  If the hospital does not report VAE data to NHSN, verify the following:1. Respondent can describe how VAE data are collected
 |
| * + 1. Hospital provides feedback of VAE data to frontline personnel.
 |
| 1. Respondent can describe how feedback is provided.
 |
| 1. Respondent can describe frequency of feedback.
 |
| Summary of ‘No’ Responses |
| 1. Hospital has physician and/or nurse champions for VAE prevention activities.
 |
| 1. Hospital has a competency-based training program addressing prevention of VAEs.
 |
| 1. Training is provided to all personnel who provide respiratory therapy for ventilated patients (e.g., suctioning, administration of aerosolized medications). Personnel may include, but are not limited to, respiratory therapists and nurses.
 |
| 1. Training is provided upon hire, prior to being allowed to provide respiratory therapy for ventilated patients.
 |
| 1. Training is provided at least annually.
 |
| 1. Training is provided when new equipment or protocols are introduced.
 |
| 1. Personnel are required to demonstrate competency with respiratory therapy practices (i.e., correct technique is observed by trainer) following each training.
 |
| 1. Hospital maintains current documentation of competency with respiratory practices for all personnel who provide respiratory therapy for ventilated patients.
 |
| 1. Hospital routinely audits (monitors and documents) adherence to recommended practices for management of ventilated patients (e.g., suctioning, administration of aerosolized medications).
 |
| 1. Respondent can describe process used for audits.
 |
| 1. Respondent can describe frequency of audits.
 |
| 1. Respondent can describe process for improvement when non-adherence is observed.
 |
| 1. Hospital provides feedback from audits to personnel regarding their performance for management of ventilated patients.
 |
| 1. Respondent can describe how feedback is provided.
 |
| 1. Respondent can describe frequency of feedback.
 |
| 1. Patients requiring invasive ventilation are assessed, at least daily, for continued need for the ventilator.
 |
| 1. Respondent can describe methods used to trigger the daily assessments (e.g., patient safety checklist, daily rounds, and reminders).
 |
| 1. Hospital routinely audits (monitors and documents) adherence to daily assessment of ventilator need.
 |
| 1. Hospital has a program that includes daily spontaneous breathing trials and lightening of sedation in eligible patient.
 |
| 1. Hospital has an oral-hygiene program.
 |
| 1. Hospital monitors VAE data and uses it to direct prevention activities.
 |
| 1. Respondent can describe how VAE data are used to direct prevention activities.
 |
|  If the hospital reports VAE data to NHSN, verify the following:1. Respondent is familiar with NHSN VAE data.
 |
|  If the hospital does not report VAE data to NHSN, verify the following:1. Respondent can describe how VAE data are collected
 |
| 1. Hospital provides feedback of VAE data to frontline personnel.
 |
| 1. Respondent can describe how feedback is provided.
 |
| 1. Respondent can describe frequency of feedback.
 |
| Action Items:[ ]  Facility will conduct additional training for healthcare personnel (e.g., to correct a problem observed) [ ]  Facility will improve regular training program, including incorporation of competency assessments [ ]  Facility will initiate feedback program [ ]  Facility will initiate auditing program[ ]  Health dept. provided recommendation(s) at time of visit [ ]  Facility will develop/update policies and procedures[ ]  Health dept. provided resource(s)/tool(s) at time of visit [ ]  Other (specify): Click here to enter text. Facility Response:[ ]  Facility agrees with assessment in this domain [ ]  Facility plans to take action to mitigate[ ]  Other (specify): Click here to enter text. |
| All Items Confirmed |
| Notes/Recommendations: Click here to enter text. |

| **F. Injection Safety** |
| --- |
| Incomplete Elements |
| * + 1. Hospital has a competency-based training program for preparation and administration of parenteral medications outside of the pharmacy.
 |
| 1. Training is provided to all personnel who prepare and administer injections and parenteral infusions.
 |
| 1. Training is provided upon hire, prior to being allowed to prepare and administer injections and parenteral infusions.
 |
| 1. Training is provided at least annually.
 |
| 1. Training is provided when new equipment or protocols are introduced.
 |
| 1. Personnel are required to demonstrate competency with preparation and administration of injections and parenteral infusions following each training.
 |
| 1. Hospital maintains current documentation of competency with preparation and administration procedures for all personnel who prepare and administer injections and parenteral infusions.
 |
| 1. Hospital routinely audits (monitors and documents) adherence to safe injection practices.
 |
| 1. Respondent can describe process used for audits.
 |
| 1. Respondent can describe frequency of audits.
 |
| 1. Respondent can describe process for improvement when non-adherence is observed.
 |
| 1. Hospital provides feedback from audits to personnel regarding their adherence to safe injection practices.
 |
| * 1. Respondent can describe how feedback is provided.
 |
| * 1. Respondent can describe frequency of feedback.
 |
| 1. Hospital has a drug diversion prevention program that includes consultation with the IP program when drug tampering (involving alteration or substitution) is suspected or identified to assess patient safety risks.
 |
| * + - * 1. Respondent can describe how the hospital would assess risk to patients if tampering is suspected or identified.
 |
| Summary of ‘No’ Responses |
| 1. Hospital has a competency-based training program for preparation and administration of parenteral medications outside of the pharmacy.
 |
| 1. Training is provided to all personnel who prepare and administer injections and parenteral infusions.
 |
| 1. Training is provided upon hire, prior to being allowed to prepare and administer injections and parenteral infusions.
 |
| 1. Training is provided at least annually.
 |
| 1. Training is provided when new equipment or protocols are introduced.
 |
| 1. Personnel are required to demonstrate competency with preparation and administration of injections and parenteral infusions following each training.
 |
| 1. Hospital maintains current documentation of competency with preparation and administration procedures for all personnel who prepare and administer injections and parenteral infusions.
 |
| 1. Hospital routinely audits (monitors and documents) adherence to safe injection practices.
 |
| 1. Respondent can describe process used for audits.
 |
| 1. Respondent can describe frequency of audits.
 |
| 1. Respondent can describe process for improvement when non-adherence is observed.
 |
| 1. Hospital provides feedback from audits to personnel regarding their adherence to safe injection practices.
 |
| 1. Respondent can describe how feedback is provided.
 |
| 1. Respondent can describe frequency of feedback.
 |
| 1. Hospital has a drug diversion prevention program that includes consultation with the IP program when drug tampering (involving alteration or substitution) is suspected or identified to assess patient safety risks.
 |
| 1. Respondent can describe how the hospital would assess risk to patients if tampering is suspected or identified.
 |
| Action Items:[ ]  Facility will conduct additional training for healthcare personnel (e.g., to correct a problem observed) [ ]  Facility will improve regular training program, including incorporation of competency assessments [ ]  Facility will initiate feedback program [ ]  Facility will initiate auditing program[ ]  Health dept. provided recommendation(s) at time of visit [ ]  Facility will develop/update policies and procedures[ ]  Health dept. provided resource(s)/tool(s) at time of visit [ ]  Other (specify): Click here to enter text. Facility Response:[ ]  Facility agrees with assessment in this domain [ ]  Facility plans to take action to mitigate[ ]  Other (specify): Click here to enter text. |
| All Items Confirmed |
| Notes/Recommendations: Click here to enter text. |

| **G. Prevention of Surgical Site Infection** |
| --- |
| Incomplete Elements |
| Hospital has a surgical care improvement program. |
| Preoperative timing of prophylactic antibiotic administration (within 1 hour of incision or 2 hours for vancomycin or fluoroquinolones).  |
| Appropriate prophylactic antibiotic selection based on procedure type.  |
| Discontinuation of prophylactic antibiotics within 24 hours (48 hours for cardiovascular surgery) after surgical end time.  |
| 1. The surgical care improvement program addresses prompt removal of urinary catheter on post-op day 1 or 2, unless there is a documented appropriate reason for continued use.
 |
| 1. Hospital routinely audits (monitors and documents) adherence to elements of surgical care improvement program.
 |
| * 1. Respondent can describe process used for audits.
 |
| * 1. Respondent can describe frequency of audits.
 |
| * 1. Respondent can describe process for improvement when non-adherence is observed.
 |
| 1. Hospital provides feedback from audits to personnel regarding their adherence to elements of the surgical care improvement program.
 |
| * 1. Respondent can describe how feedback is provided.
 |
| * 1. Respondent can describe frequency of feedback.
 |
| 1. Hospital routinely audits (monitors and documents) adherence to recommended infection control practices for SSI prevention.
 |
| 1. Adherence to preoperative surgical scrub and hand hygiene
 |
| 1. Appropriate use of surgical attire and drapes
 |
| 1. Adherence to aseptic technique and sterile field
 |
| 1. Proper ventilation requirements
 |
| 1. Minimization of traffic in the operating room
 |
| 1. Adherence to cleaning and disinfection of environmental surfaces
 |
| 1. Respondent can describe process used for audits.
 |
| Respondent can describe frequency of audits.  |
| Respondent can describe process for improvement when non-adherence is observed. |
| 1. Hospital provides feedback from audits to personnel regarding their adherence to surgical infection control practices.
 |
| * 1. Respondent can describe how feedback is provided.
 |
| 1. Respondent can describe frequency of feedback.
 |
| Hospital monitors SSI data and uses it to direct prevention activities.  |
| * 1. Respondent is familiar with NHSN SSI data.
 |
| * 1. Respondent can describe how SSI data are used to direct prevention activities.
 |
| 1. Hospital provides feedback of SSI data to surgeons.
 |
| 1. Respondent can describe how feedback is provided.
 |
| 1. Respondent can describe frequency of feedback.
 |
| Summary of ‘No’ Responses |
| Hospital has a surgical care improvement program. |
| Preoperative timing of prophylactic antibiotic administration (within 1 hour of incision or 2 hours for vancomycin or fluoroquinolones).  |
| Appropriate prophylactic antibiotic selection based on procedure type.  |
| Discontinuation of prophylactic antibiotics within 24 hours (48 hours for cardiovascular surgery) after surgical end time.  |
| 1. The surgical care improvement program addresses prompt removal of urinary catheter on post-op day 1 or 2, unless there is a documented appropriate reason for continued use.
 |
| 1. Hospital routinely audits (monitors and documents) adherence to elements of surgical care improvement program.
 |
| 1. Respondent can describe process used for audits.
 |
| 1. Respondent can describe frequency of audits.
 |
| 1. Respondent can describe process for improvement when non-adherence is observed.
 |
| 1. Hospital provides feedback from audits to personnel regarding their adherence to elements of the surgical care improvement program.
 |
| * 1. Respondent can describe how feedback is provided.
 |
| * 1. Respondent can describe frequency of feedback.
 |
| 1. Hospital routinely audits (monitors and documents) adherence to recommended infection control practices for SSI prevention.
 |
| 1. Adherence to preoperative surgical scrub and hand hygiene
 |
| 1. Appropriate use of surgical attire and drapes
 |
| 1. Adherence to aseptic technique and sterile field
 |
| 1. Proper ventilation requirements
 |
| 1. Minimization of traffic in the operating room
 |
| 1. Adherence to cleaning and disinfection of environmental surfaces
 |
| 1. Respondent can describe process used for audits.
 |
| Respondent can describe frequency of audits.  |
| Respondent can describe process for improvement when non-adherence is observed. |
| 1. Hospital provides feedback from audits to personnel regarding their adherence to surgical infection control practices.
 |
| * 1. Respondent can describe how feedback is provided.
 |
| * 1. Respondent can describe frequency of feedback.
 |
| Hospital monitors SSI data and uses it to direct prevention activities.  |
| 1. Respondent is familiar with NHSN SSI data.
 |
| 1. Respondent can describe how SSI data are used to direct prevention activities.
 |
| 1. Hospital provides feedback of SSI data to surgeons.
 |
| 1. Respondent can describe how feedback is provided.
 |
| 1. Respondent can describe frequency of feedback.
 |
| Action Items:[ ]  Facility will conduct additional training for healthcare personnel (e.g., to correct a problem observed) [ ]  Facility will improve regular training program, including incorporation of competency assessments [ ]  Facility will initiate feedback program [ ]  Facility will initiate auditing program[ ]  Health dept. provided recommendation(s) at time of visit [ ]  Facility will develop/update policies and procedures[ ]  Health dept. provided resource(s)/tool(s) at time of visit [ ]  Other (specify): Click here to enter text. Facility Response:[ ]  Facility agrees with assessment in this domain [ ]  Facility plans to take action to mitigate[ ]  Other (specify): Click here to enter text. |
| All Items Confirmed |
| Notes/Recommendations: Click here to enter text. |

| **H. Prevention of *Clostridium difficile* Infection (CDI)** |
| --- |
| Incomplete Elements |
| 1. Hospital has physician and/or nurse champions for CDI prevention activities.
 |
| 1. Hospital routinely audits (monitors and documents) adherence to recommended infection control practices for CDI prevention.
 |
| * 1. Adherence to hand hygiene
 |
| 1. Appropriate use of PPE
 |
| 1. Compliance with Contact Precautions, including use of dedicated or disposable equipment
 |
| 1. Adherence to cleaning and disinfection procedures, including use of sporicidal disinfectants if part of hospital policy
 |
| 1. Respondent can describe process used for audits.
 |
| 1. Respondent can describe frequency of audits.
 |
| 1. Respondent can describe process for improvement when non-adherence is observed.
 |
| 1. Hospital provides feedback from audits to personnel regarding their adherence to recommended infection control practices for CDI prevention.
 |
| 1. Respondent can describe how feedback is provided.
 |
| 1. Respondent can describe frequency of feedback.
 |
| 1. Hospital has specific antibiotic stewardship strategies in place to reduce CDI.
 |
| 1. Hospital has strategies to reduce unnecessary use of antibiotics that are high-risk for CDI (e.g., fluoroquinolones, 3rd/4th generation cephalosporins).
 |
| 1. Hospital reviews appropriateness of antibiotics prescribed for treatment of other conditions (e.g., urinary tract infection) for patients with new or recent CDI diagnosis.
 |
| 1. Hospital educates providers about the risk of CDI with antibiotics.
 |
| 1. Hospital educates patients and family members about the risk of CDI with antibiotics.
 |
| 1. Hospital monitors CDI data and uses it to direct prevention activities.
 |
| * 1. Respondent is familiar with NHSN CDI data.
 |
| * 1. Respondent can describe how CDI data are used to direct prevention activities.
 |
| 1. Hospital provides feedback of CDI data to frontline personnel.
 |
| 1. Respondent can describe how feedback is provided.
 |
| 1. Respondent can describe frequency of feedback.
 |
| Summary of ‘No’ Responses |
| 1. Hospital has physician and/or nurse champions for CDI prevention activities.
 |
| 1. Hospital routinely audits (monitors and documents) adherence to recommended infection control practices for CDI prevention.
 |
| 1. Adherence to hand hygiene
 |
| 1. Appropriate use of PPE
 |
| 1. Compliance with Contact Precautions, including use of dedicated or disposable equipment
 |
| 1. Adherence to cleaning and disinfection procedures, including use of sporicidal disinfectants if part of hospital policy
 |
| 1. Respondent can describe process used for audits.
 |
| 1. Respondent can describe frequency of audits.
 |
| 1. Respondent can describe process for improvement when non-adherence is observed.
 |
| 1. Hospital provides feedback from audits to personnel regarding their adherence to recommended infection control practices for CDI prevention.
 |
| 1. Respondent can describe how feedback is provided.
 |
| 1. Respondent can describe frequency of feedback.
 |
| 1. Hospital has specific antibiotic stewardship strategies in place to reduce CDI.
 |
| 1. Hospital has strategies to reduce unnecessary use of antibiotics that are high-risk for CDI (e.g., fluoroquinolones, 3rd/4th generation cephalosporins).
 |
| 1. Hospital reviews appropriateness of antibiotics prescribed for treatment of other conditions (e.g., urinary tract infection) for patients with new or recent CDI diagnosis.
 |
| 1. Hospital educates providers about the risk of CDI with antibiotics.
 |
| 1. Hospital educates patients and family members about the risk of CDI with antibiotics.
 |
| 1. Hospital monitors CDI data and uses it to direct prevention activities.
 |
| * 1. Respondent is familiar with NHSN CDI data.
 |
| * 1. Respondent can describe how CDI data are used to direct prevention activities.
 |
| 1. Hospital provides feedback of CDI data to frontline personnel.
 |
| 1. Respondent can describe how feedback is provided.
 |
| 1. Respondent can describe frequency of feedback.
 |
| Action Items:[ ]  Facility will conduct additional training for healthcare personnel (e.g., to correct a problem observed) [ ]  Facility will improve regular training program, including incorporation of competency assessments [ ]  Facility will initiate feedback program [ ]  Facility will initiate auditing program[ ]  Health dept. provided recommendation(s) at time of visit [ ]  Facility will develop/update policies and procedures[ ]  Health dept. provided resource(s)/tool(s) at time of visit [ ]  Other (specify): Click here to enter text. Facility Response:[ ]  Facility agrees with assessment in this domain [ ]  Facility plans to take action to mitigate[ ]  Other (specify): Click here to enter text. |
| All Items Confirmed |
| Notes/Recommendations: Click here to enter text. |

| **I. Environmental Cleaning** |
| --- |
| Incomplete Elements |
| 1. Hospital has a competency-based training program for environmental cleaning.
 |
| 1. Training is provided to all personnel who clean and disinfect patient care areas. Personnel may include, but are not limited to, environmental services staff, nurses, nursing assistants, and technicians.
 |
| 1. Training is provided upon hire, prior to being allowed to perform environmental cleaning.
 |
| 1. Training is provided at least annually.
 |
| 1. Training is provided when new equipment or protocols are introduced.
 |
| 1. Personnel are required to demonstrate competency with environmental cleaning (i.e., correct technique is observed by trainer) following each training.
 |
| 1. Hospital maintains current documentation of competency with environmental cleaning procedures for all personnel who clean and disinfect patient care areas.
 |
| 1. If the hospital contracts environmental services, the contractor has a comparable training program.
 |
| 1. Hospital has policies that clearly define responsibilities for cleaning and disinfection of non-critical equipment, mobile devices, and other electronics (e.g., ICU monitors, ventilator surfaces, bar code scanners, point-of-care devices, mobile work stations, code carts, airway boxes).
 |
| 1. Hospital has protocols to ensure that healthcare personnel can readily identify equipment that has been properly cleaned and disinfected and is ready for patient use (e.g., tagging system, placement in dedicated clean area).
 |
| 1. Hospital routinely audits (monitors and documents) adherence to cleaning and disinfection procedures, including use of products in accordance with manufacturers’ instructions (e.g., dilution, storage, shelf-life, contact time).
 |
| 1. Respondent can describe process used for audits (e.g., monitoring technology, direct observation).
 |
| 1. Respondent can describe frequency of audits.
 |
| 1. Respondent can describe process for improvement when non-adherence is observed.
 |
| 1. Hospital provides feedback from audits to personnel regarding their adherence to cleaning and disinfection procedures.
 |
| 1. Respondent can describe how feedback is provided.
 |
| 1. Respondent can describe frequency of feedback.
 |
| Summary of ‘No’ Responses |
| 1. Hospital has a competency-based training program for environmental cleaning.
 |
| 1. Training is provided to all personnel who clean and disinfect patient care areas. Personnel may include, but are not limited to, environmental services staff, nurses, nursing assistants, and technicians.
 |
| 1. Training is provided upon hire, prior to being allowed to perform environmental cleaning.
 |
| 1. Training is provided at least annually.
 |
| 1. Training is provided when new equipment or protocols are introduced.
 |
| 1. Personnel are required to demonstrate competency with environmental cleaning (i.e., correct technique is observed by trainer) following each training.
 |
| 1. Hospital maintains current documentation of competency with environmental cleaning procedures for all personnel who clean and disinfect patient care areas.
 |
| 1. If the hospital contracts environmental services, the contractor has a comparable training program.
 |
| 1. Hospital has policies that clearly define responsibilities for cleaning and disinfection of non-critical equipment, mobile devices, and other electronics (e.g., ICU monitors, ventilator surfaces, bar code scanners, point-of-care devices, mobile work stations, code carts, airway boxes).
 |
| 1. Hospital has protocols to ensure that healthcare personnel can readily identify equipment that has been properly cleaned and disinfected and is ready for patient use (e.g., tagging system, placement in dedicated clean area).
 |
| 1. Hospital routinely audits (monitors and documents) adherence to cleaning and disinfection procedures, including use of products in accordance with manufacturers’ instructions (e.g., dilution, storage, shelf-life, contact time).
 |
| 1. Respondent can describe process used for audits (e.g., monitoring technology, direct observation).
 |
| 1. Respondent can describe frequency of audits.
 |
| 1. Respondent can describe process for improvement when non-adherence is observed.
 |
| 1. Hospital provides feedback from audits to personnel regarding their adherence to cleaning and disinfection procedures.
 |
| 1. Respondent can describe how feedback is provided.
 |
| 1. Respondent can describe frequency of feedback.
 |
| Action Items:[ ]  Facility will conduct additional training for healthcare personnel (e.g., to correct a problem observed) [ ]  Facility will improve regular training program, including incorporation of competency assessments [ ]  Facility will initiate feedback program [ ]  Facility will initiate auditing program[ ]  Health dept. provided recommendation(s) at time of visit [ ]  Facility will develop/update policies and procedures[ ]  Health dept. provided resource(s)/tool(s) at time of visit [ ]  Other (specify): Click here to enter text. Facility Response:[ ]  Facility agrees with assessment in this domain [ ]  Facility plans to take action to mitigate[ ]  Other (specify): Click here to enter text. |
| All Items Confirmed |
| Notes/Recommendations: Click here to enter text. |

| **J. Device Reprocessing** |
| --- |
| Incomplete Elements |
| * + 1. Hospital has a competency-based training program for reprocessing of **critical** devices.
 |
| 1. Training is provided to all personnel who reprocess critical equipment.
 |
| 1. Training is provided upon hire, prior to being allowed to reprocess critical equipment.
 |
| 1. Training is provided at least annually.
 |
| 1. Training is provided when new devices or protocols are introduced.
 |
| 1. Personnel are required to demonstrate competency with device reprocessing (i.e., correct technique is observed by trainer) following each training.
 |
| 1. Hospital maintains current documentation of competency with reprocessing procedures for all personnel who reprocess critical devices.
 |
| 1. If the hospital contracts reprocessing of critical devices, the contractor has a comparable training program which includes the specific devices used by the hospital.
 |
| * + 1. Hospital routinely audits (monitors and documents) adherence to reprocessing procedures for **critical** devices.
 |
| 1. Respondent can describe process used for audits.
 |
| 1. Respondent can describe frequency of audits.
 |
| 1. Audits occur in all locations where critical devices are reprocessed (e.g., central sterile reprocessing, operating suites), including locations where initial cleaning steps are performed (e.g., point of use).
 |
| 1. Respondent can describe process for improvement when non-adherence is observed.
 |
| * + 1. Hospital provides feedback from audits to personnel regarding their adherence to reprocessing procedures for **critical** devices.
 |
| 1. Respondent can describe how feedback is provided.
 |
| 1. Respondent can describe frequency of feedback.
 |
| * + 1. Hospital has a competency-based training program for reprocessing of **semi-critical** equipment.
 |
| 1. Training is provided to all personnel who reprocess semi-critical devices.
 |
| 1. Training is provided upon hire, prior to being allowed to reprocess semi-critical devices.
 |
| 1. Training is provided at least annually.
 |
| 1. Training is provided when new devices or protocols are introduced.
 |
| 1. Personnel are required to demonstrate competency with device reprocessing (i.e., correct technique is observed by trainer) following each training.
 |
| 1. Hospital maintains current documentation of competency with reprocessing procedures for all personnel who reprocess semi-critical devices.
 |
| 1. If the hospital contracts reprocessing of semi-critical equipment, the contractor has a comparable training program which includes the specific equipment used by the hospital.
 |
| * + 1. Hospital routinely audits (monitors and documents) adherence to reprocessing procedures for **semi-critical** equipment.
 |
| 1. Respondent can describe process used for audits.
 |
| 1. Respondent can describe frequency of audits.
 |
| 1. Audits occur in all locations where semi-critical equipment is reprocessed (e.g., central sterile reprocessing, endoscopy suites), including locations where initial cleaning steps are performed (e.g., point of use).
 |
| 1. Respondent can describe process for improvement when non-adherence is observed.
 |
| * + 1. Hospital provides feedback from audits to personnel regarding their adherence to reprocessing procedures for **semi-critical** equipment.
 |
| 1. Respondent can describe how feedback is provided.
 |
| 1. Respondent can describe frequency of feedback.
 |
| * + 1. If hospital reuses **single-use devices**, the devices are reprocessed by an FDA-approved entity.
 |
| * + 1. Hospital maintains documentation of reprocessing activities.
 |
| 1. Hospital maintains logs for each sterilizer cycle that include the results from each load.
 |
| 1. Hospital has documentation that the chemicals used for high-level disinfection are routinely tested for appropriate concentration and replaced appropriately.
 |
| 1. Hospital maintains documentation of reprocessing activities.
 |
| * + 1. Hospital allows adequate time for reprocessing to ensure adherence to all steps recommended by the device manufacturer, including drying and proper storage.
 |
| 1. Hospital has an adequate supply of instruments for the volume of procedures performed to allow sufficient time for all reprocessing steps
 |
| 1. Scheduling of procedures allows sufficient time for all reprocessing steps
 |
| 1. Hospital does not routinely use immediate-use steam sterilization (IUSS).
 |
| 1. IP program is consulted whenever new equipment or products will be purchased or introduced to ensure implementation of appropriate reprocessing policies and procedures.
 |
| 1. Hospital has policies and procedures outlining hospital response (i.e., risk assessment and recall of device) in the event of a reprocessing error or failure.
 |
| 1. The IP can describe how the risk assessment would be performed including how the hospital would identify which patients may have been exposed to an improperly reprocessed instrument.
 |
| Summary of ‘No’ Responses |
| 1. Hospital has a competency-based training program for reprocessing of **critical** devices.
 |
| 1. Training is provided to all personnel who reprocess critical equipment.
 |
| 1. Training is provided upon hire, prior to being allowed to reprocess critical equipment.
 |
| 1. Training is provided at least annually.
 |
| 1. Training is provided when new devices or protocols are introduced.
 |
| 1. Personnel are required to demonstrate competency with device reprocessing (i.e., correct technique is observed by trainer) following each training.
 |
| 1. Hospital maintains current documentation of competency with reprocessing procedures for all personnel who reprocess critical devices.
 |
| 1. If the hospital contracts reprocessing of critical devices, the contractor has a comparable training program which includes the specific devices used by the hospital.
 |
| 1. Hospital routinely audits (monitors and documents) adherence to reprocessing procedures for **critical** devices.
 |
| 1. Respondent can describe process used for audits.
 |
| 1. Respondent can describe frequency of audits.
 |
| 1. Audits occur in all locations where critical devices are reprocessed (e.g., central sterile reprocessing, operating suites), including locations where initial cleaning steps are performed (e.g., point of use).
 |
| 1. Respondent can describe process for improvement when non-adherence is observed.
 |
| 1. Hospital provides feedback from audits to personnel regarding their adherence to reprocessing procedures for **critical** devices.
 |
| 1. Respondent can describe how feedback is provided.
 |
| 1. Respondent can describe frequency of feedback.
 |
| 1. Hospital has a competency-based training program for reprocessing of **semi-critical** equipment.
 |
| 1. Training is provided to all personnel who reprocess semi-critical devices.
 |
| 1. Training is provided upon hire, prior to being allowed to reprocess semi-critical devices.
 |
| 1. Training is provided at least annually.
 |
| 1. Training is provided when new devices or protocols are introduced.
 |
| 1. Personnel are required to demonstrate competency with device reprocessing (i.e., correct technique is observed by trainer) following each training.
 |
| 1. Hospital maintains current documentation of competency with reprocessing procedures for all personnel who reprocess semi-critical devices.
 |
| 1. If the hospital contracts reprocessing of semi-critical equipment, the contractor has a comparable training program which includes the specific equipment used by the hospital.
 |
| 1. Hospital routinely audits (monitors and documents) adherence to reprocessing procedures for **semi-critical** equipment.
 |
| 1. Respondent can describe process used for audits.
 |
| 1. Respondent can describe frequency of audits.
 |
| 1. Audits occur in all locations where semi-critical equipment is reprocessed (e.g., central sterile reprocessing, endoscopy suites), including locations where initial cleaning steps are performed (e.g., point of use).
 |
| 1. Respondent can describe process for improvement when non-adherence is observed.
 |
| 1. Hospital provides feedback from audits to personnel regarding their adherence to reprocessing procedures for **semi-critical** equipment.
 |
| 1. Respondent can describe how feedback is provided.
 |
| 1. Respondent can describe frequency of feedback.
 |
| 1. If hospital reuses **single-use devices**, the devices are reprocessed by an FDA-approved entity.
 |
| 1. Hospital maintains documentation of reprocessing activities.
 |
| 1. Hospital maintains logs for each sterilizer cycle that include the results from each load.
 |
| 1. Hospital has documentation that the chemicals used for high-level disinfection are routinely tested for appropriate concentration and replaced appropriately.
 |
| 1. Hospital maintains documentation of reprocessing activities.
 |
| 1. Hospital allows adequate time for reprocessing to ensure adherence to all steps recommended by the device manufacturer, including drying and proper storage.
 |
| 1. Hospital has an adequate supply of instruments for the volume of procedures performed to allow sufficient time for all reprocessing steps
 |
| 1. Scheduling of procedures allows sufficient time for all reprocessing steps
 |
| 1. Hospital does not routinely use immediate-use steam sterilization (IUSS).
 |
| 1. IP program is consulted whenever new equipment or products will be purchased or introduced to ensure implementation of appropriate reprocessing policies and procedures.
 |
| 1. Hospital has policies and procedures outlining hospital response (i.e., risk assessment and recall of device) in the event of a reprocessing error or failure.
 |
| 1. The IP can describe how the risk assessment would be performed including how the hospital would identify which patients may have been exposed to an improperly reprocessed instrument.
 |
| Action Items:[ ]  Facility will conduct additional training for healthcare personnel (e.g., to correct a problem observed) [ ]  Facility will improve regular training program, including incorporation of competency assessments [ ]  Facility will initiate feedback program [ ]  Facility will initiate auditing program[ ]  Health dept. provided recommendation(s) at time of visit [ ]  Facility will develop/update policies and procedures[ ]  Health dept. provided resource(s)/tool(s) at time of visit [ ]  Other (specify): Click here to enter text. Facility Response:[ ]  Facility agrees with assessment in this domain [ ]  Facility plans to take action to mitigate[ ]  Other (specify): Click here to enter text. |
| All Items Confirmed |
| Notes/Recommendations: Click here to enter text. |

| **III. Systems for Healthcare Associated Infections and Multidrug-Resistant Organisms (MDROs)** |
| --- |
| Incomplete Elements |
| 1. Hospital has system in place for early detection and management of potentially infectious persons **at initial points of entry to the hospital**, including rapid isolation as appropriate.
 |
| 1. Travel and occupational history is included as part of triage protocols.
 |
| 1. Hospital has system to identify (flag) patients with targeted MDROs upon readmission so appropriate precautions can be applied.
 |
| 1. Posting signs at entrances
 |
| 1. Providing tissues and no-touch receptacles for disposal of tissues
 |
| 1. Providing hand hygiene supplies in or near waiting areas
 |
| 1. Offering facemasks to coughing patients and other symptomatic individuals upon entry to the facility
 |
| 1. Providing space in patient waiting areas (e.g., ED waiting room) and encouraging individuals with symptoms of respiratory infections to sit as far away from others as possible
 |
| 1. Hospital has systems in place for early detection and isolation of infectious patients identified **during the hospital stay,** including rapid isolation of patients as appropriate.
 |
| 1. There is a mechanism for prompt notification of the IP by the clinical microbiology laboratory when novel resistance patterns and/or targeted antimicrobial-resistant pathogens are detected.
 |
| 1. Hospital has system in place for **INTER-facility** communication of infectious status and isolation needs of patients **prior to transfer to** other facilities.
 |
| 1. Respondent can describe methods employed to ensure infectious status and isolation needs are communicated with receiving facilities.
 |
| 1. The hospital has system to notify receiving facilities of microbiological tests (e.g., cultures) that are pending at the time of transfer.
 |
| 1. Hospital has system in place for **INTER-facility** communication to identify infectious status and isolation needs of patients **prior to accepting patients from** other facilities.
 |
| 1. Respondent can describe methods employed to ensure infectious status and isolation needs are obtained from transferring facilities.
 |
| 1. The hospital has system to follow-up on microbiological results (e.g., cultures) that are pending at the time of transfer.
 |
| 1. If the hospital identifies an infection that may be related to care provided at another facility (e.g., hospital, nursing home, clinic), the facility is notified.
 |
| 1. Hospital has system in place for **INTRA-facility** communication to identify infectious status and isolation needs of patients prior to transfer to other units or shared spaces (e.g., radiology, physical therapy, emergency department) within the hospital.
 |
| * 1. Respondent can describe methods employed to ensure infectious status and isolation needs are communicated with receiving units.
 |
| 1. Hospital has a surveillance program to monitor incidence of epidemiologically-important organisms (e.g., CRE) and targeted healthcare-associated infections.
 |
| 1. Respondent can describe how the hospital determines which organisms and HAIs to track.
 |
| 1. Hospital uses surveillance data to implement corrective actions rapidly when transmission of epidemiologically-important organisms (e.g., CRE) or increased rates or persistently elevated rates of healthcare-associated infections are detected.
 |
| 1. Data collection method allows for timely response to identified problems.
 |
| 1. Hospital has an antibiotic stewardship program that meets the 7 CDC core elements listed below (a – g).
 |
| 1. Hospital leadership commitment
* Hospital has a written statement of support from leadership that supports efforts to improve antibiotic use (antibiotic stewardship) AND/OR
* Hospital provides salary support for dedicated time for antibiotic stewardship activities.
 |
| 1. Program leadership (accountability)
	* There is a leader responsible for outcomes of stewardship activities at the hospital.
 |
| 1. Drug expertise
	* There is at least one pharmacist responsible for improving antibiotic use at the hospital.
 |
| 1. Act (at least one prescribing improvement action below)
* Hospital has a policy that requires prescribers to document an indication for all antibiotics in the medical record or during order entry.
	1. Hospital has hospital-specific treatment recommendations, based on national guidelines and local susceptibility, to assist with antibiotic selection for common clinical conditions.
	2. There is a formal procedure for all clinicians to review the appropriateness of all antibiotics at or after 48 hours from the initial orders (e.g., antibiotic time out).
	3. Hospital has specified antibiotic agents that need to be approved by a physician or pharmacist prior to dispensing at the hospital.
	4. Physician or pharmacist reviews courses of therapy for specified antibiotic agents and communicates results with prescribers.
 |
| 1. Track
	* Hospital monitors antibiotic use (consumption).
 |
| 1. Report
	* Prescribers receive feedback by the stewardship program about how they can improve their antibiotic prescribing.
 |
| 1. Educate
* Stewardship program provides education to clinicians and other relevant staff on improving antibiotic use.
 |
| 1. Hospital has occupational health program that, in addition to complying with state and federal requirements (e.g., OSHA), has policies regarding contact of personnel with patients when personnel have potentially transmissible conditions.
 |
| 1. The program has work-exclusion policies that encourage reporting of illnesses and do not penalize with loss of wages, benefits or job status.
 |
| 1. Personnel are educated regarding prompt reporting of illness to their supervisor and the occupational health programs.
 |
| 1. Hospital follows recommendations of the Advisory Committee on Immunization Practices (ACIP) for immunization of healthcare personnel, including offering Hepatitis B and influenza vaccination.
 |
| 1. Hospital is compliant with mandatory reporting requirements for notifiable diseases, healthcare-associated infections (as appropriate), and potential outbreaks.
 |
| 1. Hospital can identify point(s) of contact at the local or state health department for HAI concerns.
 |
| 1. Hospital implements infection control measures relevant to construction, renovation, demolition, and repairs including performance of an infection control risk assessment (ICRA) before a project gets underway.
 |
| 1. IP program is consulted anytime construction, renovation, demolition, or repairs will be performed.
 |
| 1. ICRA elements are included in all contracts related to construction, renovation, demolition, and repairs.
 |
| Summary of ‘No’ Responses |
| 1. Hospital has system in place for early detection and management of potentially infectious persons **at initial points of entry to the hospital**, including rapid isolation as appropriate.
 |
| 1. Travel and occupational history is included as part of triage protocols.
 |
| 1. Hospital has system to identify (flag) patients with targeted MDROs upon readmission so appropriate precautions can be applied.
 |
| 1. Posting signs at entrances
 |
| 1. Providing tissues and no-touch receptacles for disposal of tissues
 |
| 1. Providing hand hygiene supplies in or near waiting areas
 |
| 1. Offering facemasks to coughing patients and other symptomatic individuals upon entry to the facility
 |
| 1. Providing space in patient waiting areas (e.g., ED waiting room) and encouraging individuals with symptoms of respiratory infections to sit as far away from others as possible
 |
| 1. Hospital has systems in place for early detection and isolation of infectious patients identified **during the hospital stay,** including rapid isolation of patients as appropriate.
 |
| 1. There is a mechanism for prompt notification of the IP by the clinical microbiology laboratory when novel resistance patterns and/or targeted antimicrobial-resistant pathogens are detected.
 |
| 1. Hospital has system in place for **INTER-facility** communication of infectious status and isolation needs of patients **prior to transfer to** other facilities.
 |
| 1. Respondent can describe methods employed to ensure infectious status and isolation needs are communicated with receiving facilities.
 |
| 1. The hospital has system to notify receiving facilities of microbiological tests (e.g., cultures) that are pending at the time of transfer.
 |
| 1. Hospital has system in place for **INTER-facility** communication to identify infectious status and isolation needs of patients **prior to accepting patients from** other facilities.
 |
| 1. Respondent can describe methods employed to ensure infectious status and isolation needs are obtained from transferring facilities.
 |
| 1. The hospital has system to follow-up on microbiological results (e.g., cultures) that are pending at the time of transfer.
 |
| 1. If the hospital identifies an infection that may be related to care provided at another facility (e.g., hospital, nursing home, clinic), the facility is notified.
 |
| 1. Hospital has system in place for **INTRA-facility** communication to identify infectious status and isolation needs of patients prior to transfer to other units or shared spaces (e.g., radiology, physical therapy, emergency department) within the hospital.
 |
| * 1. Respondent can describe methods employed to ensure infectious status and isolation needs are communicated with receiving units.
 |
| 1. Hospital has a surveillance program to monitor incidence of epidemiologically-important organisms (e.g., CRE) and targeted healthcare-associated infections.
 |
| 1. Respondent can describe how the hospital determines which organisms and HAIs to track.
 |
| 1. Hospital uses surveillance data to implement corrective actions rapidly when transmission of epidemiologically-important organisms (e.g., CRE) or increased rates or persistently elevated rates of healthcare-associated infections are detected.
 |
| 1. Data collection method allows for timely response to identified problems.
 |
| 1. Hospital has an antibiotic stewardship program that meets the 7 CDC core elements listed below (a – g).
 |
| 1. Hospital leadership commitment
* Hospital has a written statement of support from leadership that supports efforts to improve antibiotic use (antibiotic stewardship) AND/OR
* Hospital provides salary support for dedicated time for antibiotic stewardship activities.
 |
| 1. Program leadership (accountability)
	* There is a leader responsible for outcomes of stewardship activities at the hospital.
 |
| 1. Drug expertise
	* There is at least one pharmacist responsible for improving antibiotic use at the hospital.
 |
| 1. Act (at least one prescribing improvement action below)
* Hospital has a policy that requires prescribers to document an indication for all antibiotics in the medical record or during order entry.
	1. Hospital has hospital-specific treatment recommendations, based on national guidelines and local susceptibility, to assist with antibiotic selection for common clinical conditions.
	2. There is a formal procedure for all clinicians to review the appropriateness of all antibiotics at or after 48 hours from the initial orders (e.g., antibiotic time out).
	3. Hospital has specified antibiotic agents that need to be approved by a physician or pharmacist prior to dispensing at the hospital.
	4. Physician or pharmacist reviews courses of therapy for specified antibiotic agents and communicates results with prescribers.
 |
| 1. Track
	* Hospital monitors antibiotic use (consumption).
 |
| 1. Report
	* Prescribers receive feedback by the stewardship program about how they can improve their antibiotic prescribing.
 |
| 1. Educate
* Stewardship program provides education to clinicians and other relevant staff on improving antibiotic use.
 |
| 1. Hospital has occupational health program that, in addition to complying with state and federal requirements (e.g., OSHA), has policies regarding contact of personnel with patients when personnel have potentially transmissible conditions.
 |
| 1. The program has work-exclusion policies that encourage reporting of illnesses and do not penalize with loss of wages, benefits or job status.
 |
| 1. Personnel are educated regarding prompt reporting of illness to their supervisor and the occupational health programs.
 |
| 1. Hospital follows recommendations of the Advisory Committee on Immunization Practices (ACIP) for immunization of healthcare personnel, including offering Hepatitis B and influenza vaccination.
 |
| 1. Hospital is compliant with mandatory reporting requirements for notifiable diseases, healthcare-associated infections (as appropriate), and potential outbreaks.
 |
| 1. Hospital can identify point(s) of contact at the local or state health department for HAI concerns.
 |
| 1. Hospital implements infection control measures relevant to construction, renovation, demolition, and repairs including performance of an infection control risk assessment (ICRA) before a project gets underway.
 |
| 1. IP program is consulted anytime construction, renovation, demolition, or repairs will be performed.
 |
| 1. ICRA elements are included in all contracts related to construction, renovation, demolition, and repairs.
 |
| Action Items:[ ]  Facility will conduct additional training for healthcare personnel (e.g., to correct a problem observed) [ ]  Facility will improve regular training program, including incorporation of competency assessments [ ]  Facility will initiate feedback program [ ]  Facility will initiate auditing program[ ]  Health dept. provided recommendation(s) at time of visit [ ]  Facility will develop/update policies and procedures[ ]  Health dept. provided resource(s)/tool(s) at time of visit [ ]  Other (specify): Click here to enter text. Facility Response:[ ]  Facility agrees with assessment in this domain [ ]  Facility plans to take action to mitigate[ ]  Other (specify): Click here to enter text. |
| All Items Confirmed |
| Notes/Recommendations: Click here to enter text. |

| **Follow Up Activities:** |
| --- |
| [ ]  Repeat on-site assessment planned (date: Click here to enter a date.) |
| [ ]  Repeat remote (phone/email) assessment planned (date: Click here to enter a date.) |
| [ ]  Other (specify): Click here to enter text. |

| **Other Comments:** |
| --- |
| Click here to enter text. |

 

[ ]  IC Program and Infrastructure [ ] Hand Hygiene

 [ ]  PPERE [ ]  CAUTI [ ]  CLABSI [ ] VAE [ ] Injection Safety

 [ ]  SSI [ ]  CDI [ ] Environmental Cleaning

 [ ] Device Reprocessing [ ] MDRO