

Infection Prevention, Outbreaks, and the Role of Public Health

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Objectives

- Describe legal framework for disease surveillance, investigation, and response
- Review historical outbreak surveillance data
- Discuss when to call Public Health
- Discuss role of Public Health in infection prevention and outbreak response
- · Describe two outbreaks in long-term care settings



Legal Framework

Public Health: Legal Framework

- Public Health Laws and Rules:
 - General Statutes
 - NC Administrative Code rules
- Health Director's Authority (State & Local)
 - Surveillance
 - Investigation
 - Control Measures



Public Health Law

General Statutes §130A-144: Investigation and Control Measures

(a) The **local health director shall investigate**... cases of communicable diseases and communicable conditions reported to the local health director

(b) Physicians, persons in charge of medical facilities or laboratories, and other persons shall... permit a local health director or the State Health Director to examine, review, and obtain a copy of medical or other records...

(d) The **attending physician shall give control measures**... to a patient with a communicable disease or communicable condition and to patients reasonably suspected of being infected or exposed to such a disease or condition.

(e) The local health director shall ensure that control measures... have been given to prevent the spread of all reportable communicable diseases or communicable conditions and any other communicable disease or communicable condition that represents a significant threat to the public health.

(f) All **persons shall comply with control measures**, including submission to examinations and tests...



Public Health Law

10A NCAC 41A .0103: Duties of local health director: report communicable diseases

(a) Upon receipt of a report of a communicable disease or condition... the **local health director** shall:

(1) immediately **investigate** the circumstances... [to] include the collection and submission for laboratory examination of specimens necessary to assist in the diagnosis and indicate the duration of control measures;

(2) determine what **control measures** have been given and ensure that proper control measures... have been given and are being complied with;

(c) Whenever an **outbreak of a disease or condition** occurs which is not required to be reported... but **which represents a significant threat to the public health**, the local health director shall give appropriate control measures... and **inform the Division of Public Health**



Public Health Law

10A NCAC 41A .0101: Reportable diseases and conditions

• 70+ reportable diseases and conditions

- Timeline of reporting varies between immediately and within 7 days
- Laboratory reporting requirements

http://reports.oah.state.nc.us/ncac/title%2010a%20-%20health%20and%20human%20services/chapter%2041%20-%20epidemiology%20health/subchapter%20a/10a%20ncac%2041a%20.01 01.html



Public Health Law

• 10A NCAC 41A .0201

General Control Measures

• 10A NCAC 41A .0202 - .0205

· Control Measures for HIV, Hepatitis B, STDs, TB

• 10A NCAC 41A .0206

• Infection Prevention – Health Care Settings; 1992



Outbreak Response

When Should Public Health Be Called?

Reportable diseases / conditions (10A NCAC 41A .0101)
 http://epi.publichealth.nc.gov/cd/docs/dhhs 2124.pdf

- When <u>any</u> disease is above normal baseline (i.e., an "outbreak")
- Report suspected infection prevention breach



When Is It An Outbreak?





When Is It An Outbreak?

- Anything above what is normally seen for any given time period
- If you aren't sure, call Public Health!
- <u>In a facility setting</u>, an outbreak is generally defined as <u>two or more</u> individuals with the same illness
 - Caveat to this rule:
 - One case of certain diseases = Outbreak
 - Disease not normally seen (Avian Flu, SARS, Ebola)



Who Should Be Called?

- Your supervisor/manager
- Local health department
- North Carolina Division of Public Health 24/7 epidemiologist on call: 919-733-3419
- North Carolina Statewide Program for Infection Control and Epidemiology (NC SPICE): spice@unc.edu, 919-966-3242
- Local hospital infection preventionist



Role of Public Health

What Happens After Public Health Is Called?





What Happens After Public Health Is Called?

- Data review
- · Clinical investigation
 - · Case finding looking for others who are or who have been ill
 - Interviews, specimen collection, testing
- Environmental investigation
- Control measures
- Communication
 - · Assist with patient/family/public information if needed

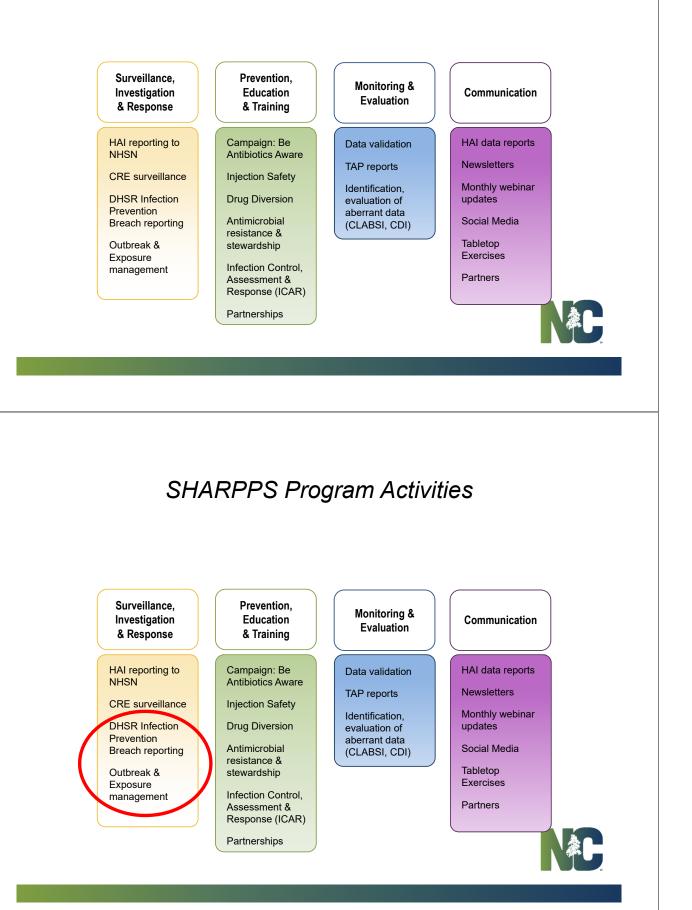


Surveillance for Healthcare Associated and Resistant Pathogens Patient Safety (SHARPPS) Program



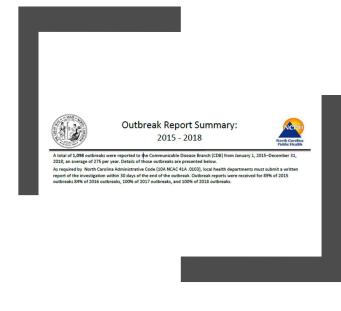


SHARPPS Program Activities



Outbreak Summary

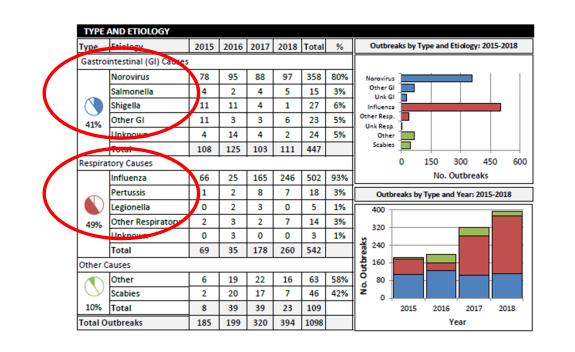
2015-2018 Outbreak Summary



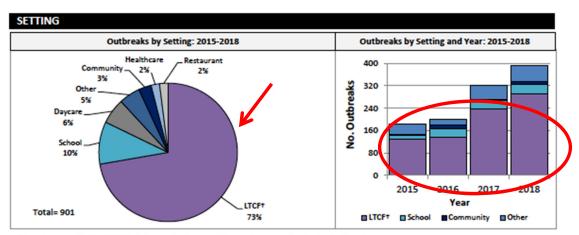
- January 1, 2015 December 31, 2018
 - 1,098 Outbreaks
 - 275 Average/year



2015-2018 Outbreak Summary



2015-2018 Outbreak Summary



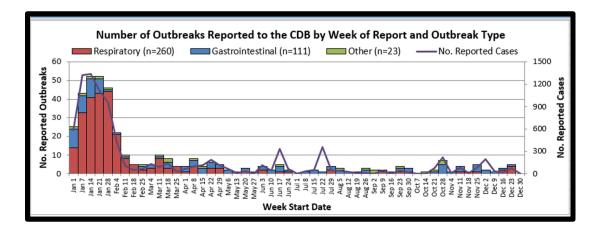
+Long-term care facility (LTCF) includes nursing homes, adult care homes, and assisted living facilities



2019 Outbreak Summary

• 347 outbreaks reported

• 9,028 outbreak-associated cases identified





*Data are preliminary

Safe Injection Practices



Safe Injection Practices

- Measures taken to perform injections in a safe manner for patients and providers
- · Prevent transmission of infectious diseases from
 - · Patient to provider
 - · Provider to patient
 - · Patient to patient
- · Pathogens
 - Bloodborne Hepatitis B (HBV), Hepatitis C (HCV), Human Immunodeficiency Virus (HIV)
 - · Bacterial, fungal

http://www.cdc.gov/injectionsafety/

Public Health Role in Safe Injection Practices

- · Raise awareness about safe injection practices
- · Prevent disease transmission from unsafe injection practices







North Carolina Hepatitis Outbreaks, Non-Hospital Settings

Setting	Year	Туре	No. Incident Infections
Cardiology	2008	HCV	5
ALF	2010	HBV	8
SNF	2010	HBV	6
SNF	2010	HBV	6
Dialysis	2013	HBV	1
Total			26



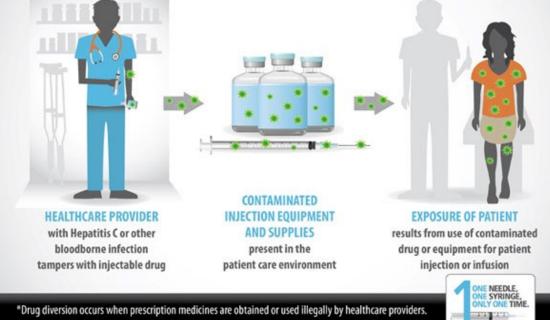
Drug Diversion

- · When prescription medicines are obtained or used illegally
- CDC has formally labeled it an "epidemic"
- 1983–2018
 - 7 HCV outbreaks linked to drug diversion by infected health care providers
 - 6 hospitals and 1 ambulatory surgery center
 - >156 new infections linked to these outbreaks
 - · 6 bacterial outbreaks
 - 74 infections



http://www.cdc.gov/injectionsafety/drugdiversion/

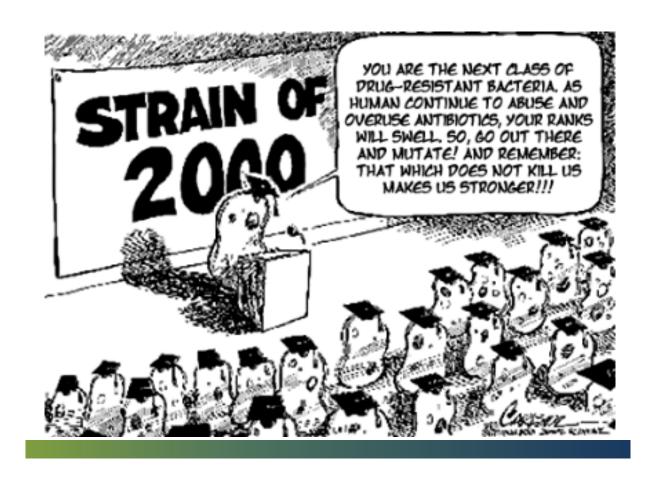
DRUG DIVERSION* SPREADS INFECTION FROM HEALTHCARE PROVIDERS TO PATIENTS



*Drug diversion occurs when prescription medicines are obtained or used illegally by healthcare provide FOR MORE INFORMATION, VISIT CDC.GOV/INJECTIONSAFETY/DRUGDIVERSION

Multidrug-Resistant Organisms (MDROs)





Multidrug-resistant Organisms (MDROs)

- Resistant to multiple types of antibiotics
- Can cause infection in any part of the body
- Intra- and inter-facility spread
- Vulnerable patients at risk for infection
- Infections are difficult to treat and can be associated with high mortality rates
- Examples: MRSA, VRE, CRE



Public Health Significance

- Spread facilitated by interfacility transfer of patients
- Affects vulnerable patient populations
- Difficult to treat
- Improper treatment → some organisms may produce another enzyme that makes it easier to transmit resistance

Cause ~2.8 million infections in United States annually

35,000 deaths

Outbreaks

#1: (Un)Safe Injection Practices





Tuesday, October 12, 2010

- County health department notified by infection preventionist at local hospital
- 4 cases of acute Hepatitis B
- · Residents of the same assisted living facility





Investigation Methods

- Evaluated infection control practices
 - Observations
 - Interviews
- Searched for additional cases
 - Serologic testing of all residents
 - Hospital records, surveillance databases
- Epidemiologic study
 - Potential healthcare exposures, risk factors



HBV Outbreak in Assisted Living Facility

rs



Health Care Exposures

	Attack rate (%)		
Exposure	Exposed	Not exposed	
Assisted BGM	8/15 (53)	0/25 (0)	
Injected medication	4/16 (25)	4/22 (18)	
Phlebotomy	4/25 (16)	4/15 (27)	
Blood transfusion	0/1 (0)	8/38 (21)	
Catheter device	0/3 (0)	8/37 (22)	
Wound care	1/8 (13)	6/28 (21)	

Infection Control Observations

- Glucose meters
 - Used for more than one resident
 - Not disinfected between uses
- Adjustable lancing devices
 - Used for more than one resident





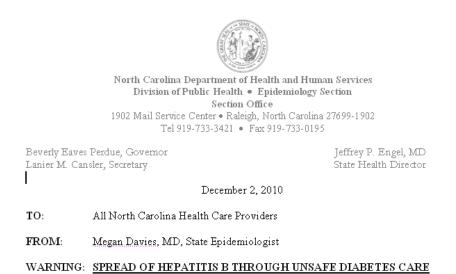
Recommendations to Facility

- Use single-use disposable lancets
- · Purchase and use individual glucose meters for each resident
- · Vaccinate all susceptible residents



Direct Communication to Providers

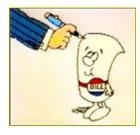
· Sent to all licensed facilities and providers statewide





"Act to Protect Adult Care Home Residents"

- Signed into law May 31st, 2011
- Requires
 - Stronger infection prevention policies
 - · Inspection and monitoring of infection prevention activities
 - · Reporting of suspected outbreaks
 - Increased training and competency evaluation for medication aides, adult care home supervisors





CMS Required Reporting

Center for Clinical Standards and Quality/Survey & Certification Group

State Survey Agency Directors

DATE:	May 30, 2014
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TO:

Ref: S&C: 14-36-All

FROM: Director Survey and Certification Group

SUBJECT: Infection Control Breaches Which Warrant Referral to Public Health Authorities

Memorandum Summary

- Infection Control Breaches Warranting Referral to Public Health Authorities: If State Survey Agencies (SAs) or Accrediting Organizations (AOs) identify any of the breaches of generally accepted infection control standards listed in this memorandum, they should refer them to appropriate State authorities for public health assessment and management.
- Identification of Public Health Contact: SAs should consult with their State's Healthcare Associated Infections (HAI) Prevention Coordinator or State Epidemiologist on the preferred referral process. Since AOs operate in multiple States, they do not have to confer with State public health officials to set up referral processes, but are expected to refer identified breaches to the appropriate State public health contact identified at: http://www.cdc.gov/HAI/state-based/index.html



Surveyors must report to State

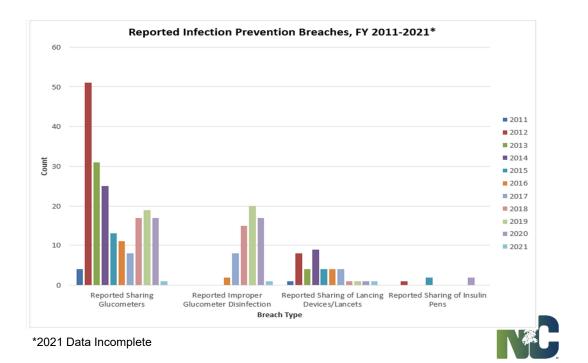
Breaches to Be Referred

When one or more of the following infection control breaches is identified during any survey of a Medicare- and/or Medicaid-certified provider/supplier, the SA or AO should make the appropriate State public health authority aware of the deficient practice:

- Using the same needle for more than one individual;
- Using the same (pre-filled/manufactured/insulin or any other) syringe, pen or injection device for more than one individual;
- Re-using a needle or syringe which has already been used to administer medication to an individual to subsequently enter a medication container (e.g., vial, bag), and then using contents from that medication container for another individual;
- Using the same lancing/fingerstick device for more than one individual, even if the lancet is changed.



Infection Prevention Breaches



#2: Multidrug Resistant Organisms





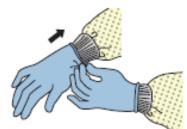
Friday, April 21, 2017

- Notified by LHD on April 21, 2017 (a Friday!)
 - Increase in number infections caused by a specific MDRO among patients admitted to local hospital between October 16, 2016 and April 13, 2017
- Most cases were residents of 3 long-term care facilities (LTCFs)
- Coordinated an investigation to:
 - Assess infection prevention practices among these LTCFs, and
 - Prevent further intra- and inter- facility spread of disease

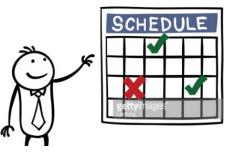
 4 cases were discussed on Friday but > 40 positive labs were waiting for us on Monday morning!



Initial control measures



Gown and gloves







Hand hygiene



Site Visit Findings

- Hand hygiene: inconsistent X
- Wound care: reusing scissors, interruptions in flow from clean to dirty **X**
- **OT/PT:** contact precautions not adequately maintained, lack of dedicated equipment **X**
- Contact precautions: implemented to varying degrees
- Lack of inter-facility notification X
- Outdated policies X

Control Measures

- 1. Staff Education
- 2. Laboratory notification
- 3. Cohort infected residents
- 4. Contact precautions for individuals (colonized and infected) at higher risk for transmission
- 5. Hand Hygiene
- 6. Environmental cleaning
- 7. Communicate CRE status to transferring and receiving facilities
- 8. Review, update infection prevention policies and procedures
- 9. Antimicrobial Stewardship



Communication between Healthcare Facilities

- Useful
 - Patient status/needs
 - Care plan
- Beneficial re: MDROs
 - Protects patients/residents
 - Controls healthcare costs
 - Prevents spread of MDROs
- Required by CMS
 - · Reform of Requirements for Long-Term Care Facilities
 - Revisions to Requirements for Discharge Planning for Hospitals, Critical Access Hospitals, and Home Health Agencies (final rule: September 30, 2019)



TRANSPORT / RECEIVING FACILITY COPY

	Transferring Facility Name*: Transferring Facility Address*: INTERFACILITY TRANSFER FORM
	Transferring Facility Phone:* Fax: Transferred to:' Reason for transfer.'
Sections	Transfer date/time:" / Attending physician:" Phone:"
000010110	Patient/resident demographics and vital signs (date/time taken/
	Last Name:* First Name:* DOB:* MRN: BP:* P:* P:* O2 SAT:* HT(in): WT(lb): Diabetic? Glucose:
 Facility Information 	Language _ english _ Umer:
 Demographics 	Current isolation recautions'/required PPE (Check, if indicated)
Demographie	PPE, specify
Current status	
e an ent etatae	Multi-drug resistant organisms (MDROs) Date Date Date
Medications	Methicillin-resistant Staphylococcus aureus (MRSA)
	Acinetobacter not susceptible to carbapenems Enterobacteriaceae resistant to carbapenems (i.e. CRE)
Vaccination/test hx.	Extended-spectrum beta-lactamase producer (ESBL)
	Other:
Personal items	(e.g. Group A Streptococcus (GAS), lice, scabies, disseminated shingles, norovirus, flu, TB, etc.)
• • • • • •	Currencer recent (last 7 days) symptoms None Yes, specify
 Contact information 	Vomiting Acute diarrhea or incontinent of stool Other:
	Sensory status and activities of daily living Vision Hearing Speech Ambulate Transfer Toileting Meals Hygiene Dressing
	Good Good Self Self Self Self Self
	Poor Difficult Assist Assist Assist Assist Blind Deaf Aphasia Not Incontinent Tube Not Not
	Sfy: Sfy: able able Sfy: Date: able able able
	Current devices / recent (last 90 days) procedures' None Yes, specify Tracheostomy tube Hemodialysis catheter Procedure, specify type and date
	Gastrostomy tube Uninary catheter (date inserted) Central line/PICC (date inserted)
	Current medications" None Ves, refer to attached MAR
	Vaccination / test history" None Ves, specify
	Vaccine/test Influenza (seasonal) Pneumococcal Zoster Td Tdap Tuberculin skin test Date administered
	Self-report vaccine/ Yes Yes Yes Yes Yes Yes Yes Yes No <
	Personal items sent with patient/resident Notes:
	Contact information
	Relative/Guardian/POA Name:* Relationship: Phone:* Notified? Yes No
	Transferring facility representative completing form

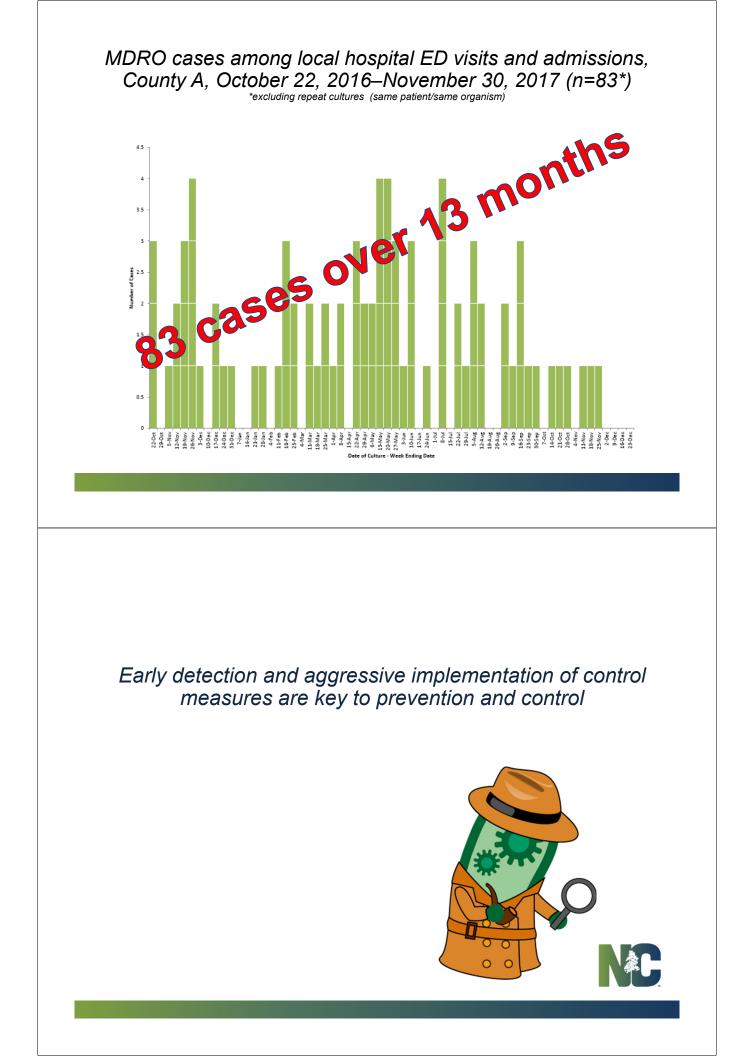
NC DPH - last updated 11/21/17 TRANSFERRING FACILITY COPY

Highlight – Current Isolation/PPE, MDROs

Current isolation precautions*/required PPE (Check, if inc No Yes, specify Contact Droplet	licated) Airborne		
PPE, specify 🗖 🕅] ∎		
Organisms / infections* None Yes, specify type/d Multi-drug resistant organisms (MDROs) Methicillin-resistant Staphylococcus aureus (MRSA) Vancomycin-resistant Enterococci (VRE) Acinetobacter not susceptible to carbapenems Enterobacteriaceae resistant to carbapenems (i.e. CRE Extended-spectrum beta-lactamase producer (ESBL)	Date	Hx/Colonized Date	Pending result Date
Clostridium difficile (C. diff) Other:	isseminated shingles, r	orovirus, flu, TB,	etc.)
NC DPH Interfacil	ity Transfei	r Form	
NC DPH Interfacil Benefits • Standardized format for interfa MDRO status during transfer	•		ent
Benefits • Standardized format for interfa	cility communic	ation of pati	

 http://epi.publichealth.nc.gov/cd/hai/docs/InterfacilityTransferIns tructionsandForm.pdf





Emerging Infections: Coronavirus Disease (COVID-19)





Identify, investigate ill individuals, conduct contact tracing



Develop, disseminate guidance



Rule change to require reporting

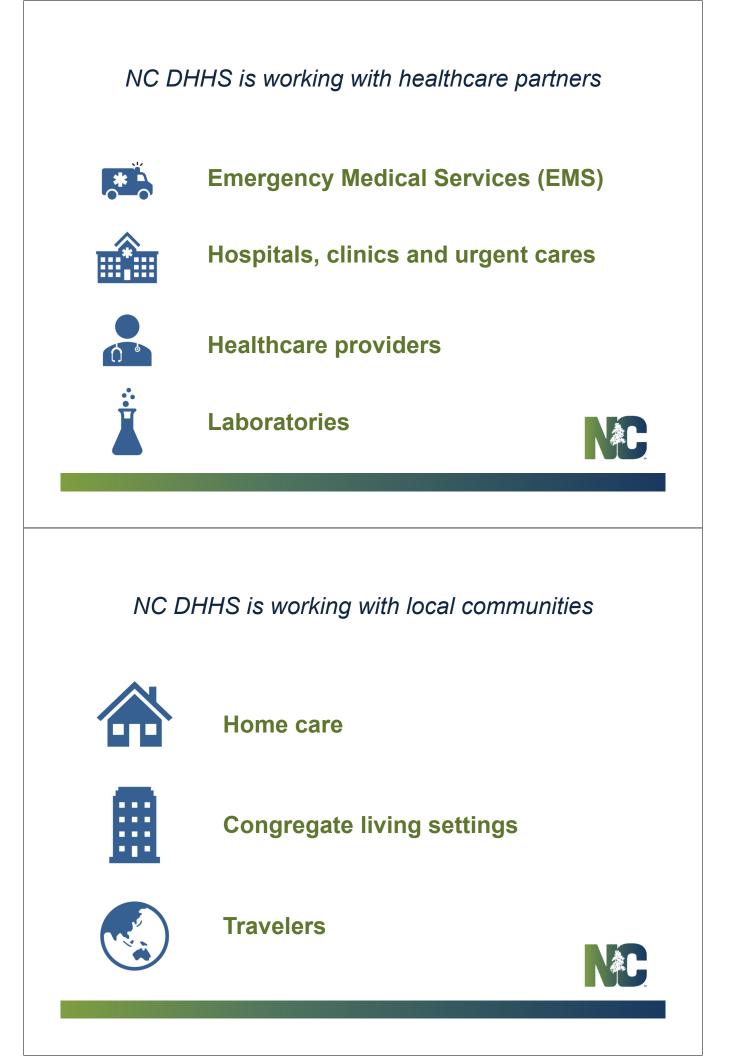


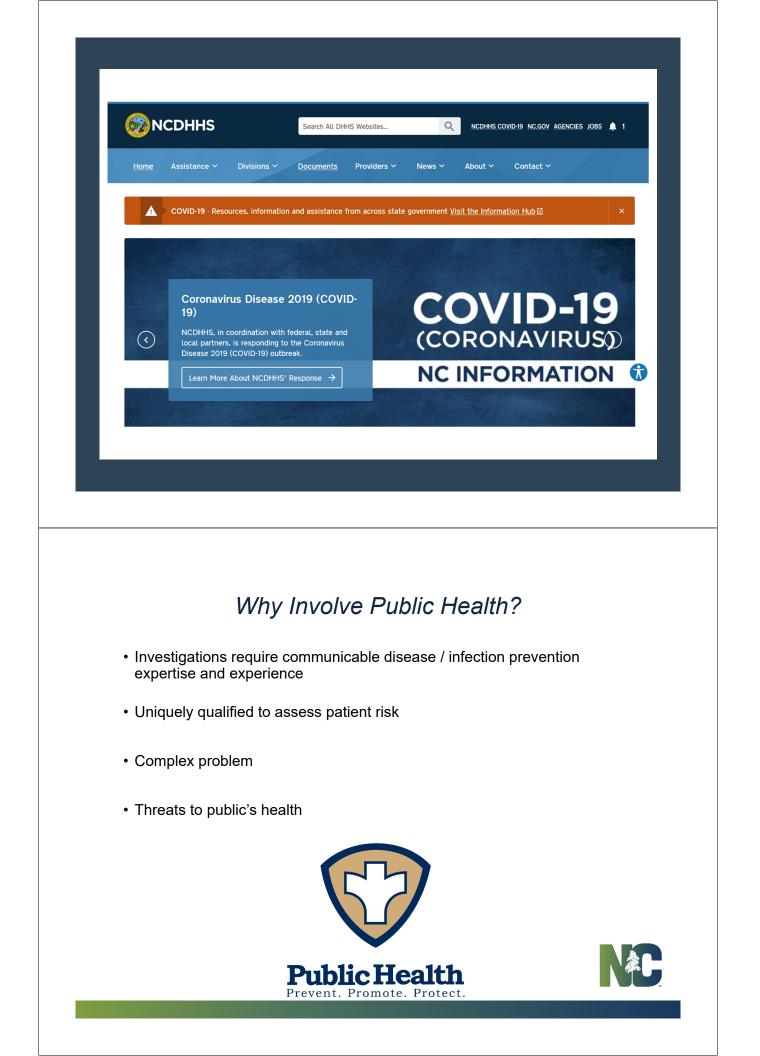
Develop and implement control measures, including self-monitoring with public health supervision, isolation, and quarantine



Develop laboratory testing capacity







Resources

- NC Division of Public Health, SHARPPS Program
 - <u>http://epi.publichealth.nc.gov/cd/diseases/hai.html</u>
- Safe Injection Practices
 - <u>http://www.oneandonlycampaign.org/</u>
 - http://www.oneandonlycampaign.org/partner/north-carolina
 - <u>http://www.cdc.gov/injectionsafety/drugdiversion/index.html</u>
- Exposure Investigations
 - NC ADMINISTRATIVE CODE, TITLE 10A, SUBCHAPTER 41A
 - https://www.cdc.gov/niosh/topics/bbp/guidelines.html
- MDROs
 - Management of Multidrug Resistant Organisms in Healthcare Settings, 2006 <u>https://www.cdc.gov/hicpac/mdro/mdro_toc.html</u> <u>https://www.cdc.gov/infectioncontrol/guidelines/mdro/index.html</u>
 - NC DPH CRE information for Long-Term Care Facilities
 http://epi.publichealth.nc.gov/cd/hai/docs/CREinfoLTCfacilities.pdf
 - NC DPH MDRO Toolkit for Long-Term Care Facilities <u>https://epi.dph.ncdhhs.gov/cd/docs/MDROToolkit_080819.pdf</u>
- Antimicrobial Stewardship
 - http://epi.publichealth.nc.gov/cd/antibiotics/campaign.html



Thank you!

NCHAI@DHHS.NC.GOV

919-733-3419 (24/7 Epidemiologist on Call)

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