

# **Definitions and Surveillance for Healthcare Associated Infections** (HAIs) in Long-term Care

Evelyn Cook, RN, CIC Associate Director SPICE



How confident are you that your facility has a strong infection prevention program that includes all the necessary elements?

If you wanted to compare your IP surveillance

data to another NH in your community that

cared for a similar resident population, how

confident are you that events will be tracked the same way?

D. Not sure if I can compare my own data from

- A. Completely confident
- B. Somewhat confident
- C. Not confident
- D. Have NO idea

A. Very confident

B. Slightly confident C. Not confident at all

one year to the next

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Do you believe you have the skills and the qualifications to oversee the infection prevention program?

- A. Yes
- B. No

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C. No way; No how

What standardized definition does

- your facility use for surveillance? A. National Healthcare Safety Network (NHSN)
- B. Revised McGeer Definitions
- C. Loeb Criteria
- D. When the physician documents an infection
- E. No standardized criteria
- F. A and B

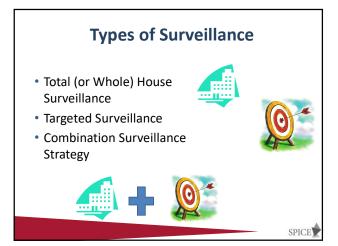
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DESCRIBE **DISCUSS** DISCUSS The Standardized surveillance and apply definitions definitions SPICE

- "Surveillance is a comprehensive method of measuring outcomes and related processes of care, analyzing the data, and pr information to members of the healthcare team to assist in improving those outcomes and processes (APIC Text)

Surveillance · One of the most important aspects of an IP's responsibilities Establish Baseline Data Reduce Infection Rates "Surveillance system must include "routine, ongoing, and systematic collection, analysis, interpretation, and dissemination of surveillance data to identify infections (i.e., HAI and communicable-acquired), infection risks, communicable disease outbreaks and to maintain or improve resident health status:" (CMS 2/23) · Should cover **Detection of Outbreaks** residents, staff, Monitor Effectiveness of contractors (in the Interventions facility) and visitors **Education of HCP** · Include process and outcome measures Required as a Component of Plan SPICE SPICE

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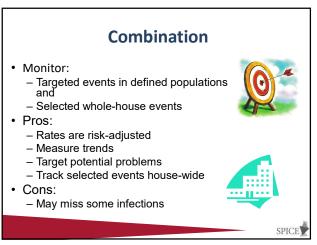
**Total (Whole House)** Monitor: - All infections Monitor all infections Overall rate not sensitive or risk-- Entire population adjusted - All units Include entire No trends or comparison population Labor intense and inefficient use of resources Not based on risk SPICE

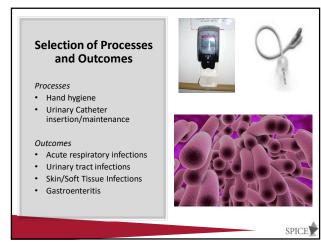
**Rationale for Conducting** 

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**Priority Directed (Targeted)** · Focus on: - Care units - Infections related to devices - Invasive procedures - Significant organisms - epidemiologically important - High-risk, high-volume procedures - Infections having known risk reduction methods SPICE

**Targeted Surveillance** Risk-adjusted rates May miss some infections Can measure trends and make Limited information on endemic rates comparisons More efficient use of resources Can target potential problems Identify performance improvement opportunities Can evaluate effectiveness of prevention SPICE





# **Consideration for Choosing Outcome Measures** • Mandatory/required-Cat 1C

- · \*Frequency (incidence) of the infection
- \*Communicability
- \*System/resident cost (个mortality, hospitalization)
- · \*Early Detection

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\*Based on the Infection Prevention risk assessment

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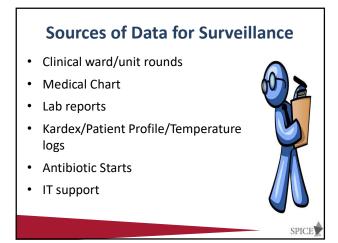
Evidence of transmissibility in a healthcare setting Viral respiratory tract infections, viral GE, and viral conjunctivitis Associated with outbreaks among residents and HCP in LTCFs Should be included in Processes available to prevent acquisition of infection, i.e., HH compliance routine surveillance Clinically significant cause of morbidity Pneumonia, UTI, GI Associated with hospitalization and (including C. difficile) functional decline in and SSTI LTCF residents or mortality Specific pathogens Any invasive group A A single laboratory causing serious outbreaks infection, acute viral should prompt scables, influenza SPICE

Infections that could be included in

routine surveillance Points to Consider Infections Infections with limited Ear and sinus infections, Associated with underlying transmissibility in a fungal oral and skin comorbid conditions and healthcare settings infections and herpetic skin reactivation of endogenous Infections with limited preventability SPICE

Infections for which other accepted definitions should be applied in LTCF surveillance **Points to Consider** Infections Infections with other Surgical site infections, LTCF-specific definitions accepted definitions (may central-line- associated bloodstream infections and were not developed. Refer to the National Healthcare apply to only specific at-risk ventilator-associated Safety Network's criteria pneumonia

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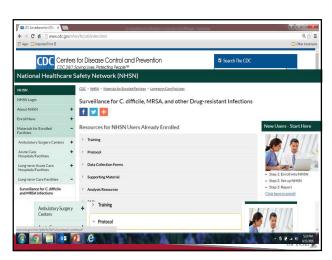
Surveillance · The facility's surveillance system must include a data collection tool and the use of nationally-recognized surveillance criteria such as but not limited to, the CDC's National Healthcare Safety Network (NHSN) Long Term Care Criteria to define infections or revised McGeer criteria **State Operations Manual** Appendix PP - Guidance to Surveyors for Long Term Care Facilities Table of Contents (Rev. 02-03-2023) SPICE

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**Minimum Criteria for Initiation** of Antibiotics in Long-Term Care Residents **Suspected Urinary Tract Infection** f Minimum Criteria for the Initiation of Antibiotics in Residents of Long-Term Care Facilities: Results of a Consensus Conference. Inf Control Hosp Epi. 2001

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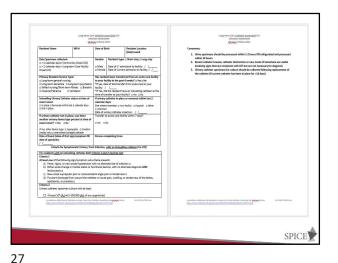


**Purposes of NHSN** SPICE

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**Attribution of infection to LTCF** 

- No evidence of an incubating infection at the time of admission to the facility
  - Basis of clinical documentation of appropriate signs and symptoms and not solely on screening microbiologic data
- Onset of clinical manifestation occurs > 2 calendar days after admission.

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# **Attribution of infection to LTCF**

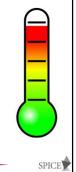
- All symptoms must be new or acutely worse
- Non-infectious causes of signs and symptoms should always be considered prior to diagnosis
- Identification of an infection should not be based on a single piece of evidence
  - Clinical, microbiologic, radiologic
- Diagnosis by physician insufficient (based on definition)

Constitutional Requirements

Fever:

• A single oral temperature >37.8°C
[100°F], OR

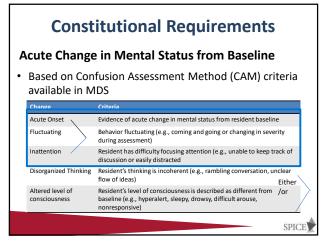
- Repeated oral temperatures >37.2°C [99°F]; rectal temperature >37.5° (99.5°F) OR
- >1.1°C [2°F] over baseline from a temperature taken at any site

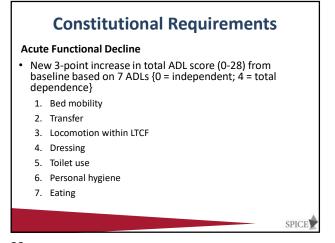


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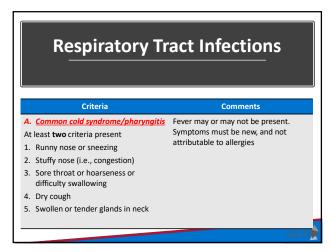


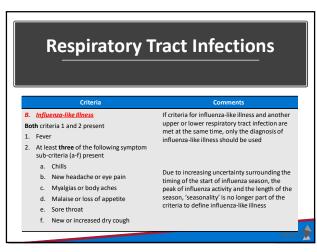


Site Specific
Definitions

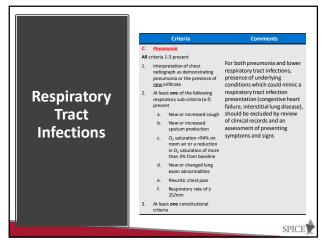
Knowledge Checks

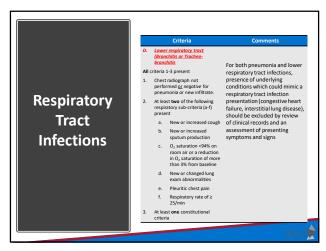
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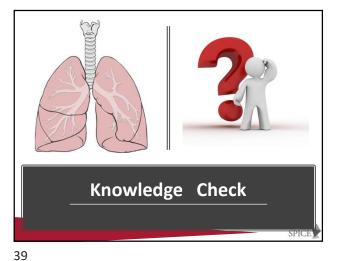




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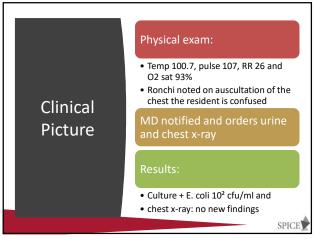






Mr. Do Little has multiple comorbidities including hypertension and acute respiratory failure. Vitals on admission WNL Knowledge On day seven after admission, the daughter tells the nurse "dad is not responding like he used to. He can not hold a conversation, tires easily and is not able to brush his teeth, eat or dress without assistance." I think he has a UTI. He needs an antibiotic. Check #1 SPICE

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Does Mr. Do Little have an infection? Yes No · Have no idea SPICE

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## What surveillance criteria are met?

- A. Common Cold
- B. Pneumonia
- C. Urinary tract infection
- D. Lower respiratory track

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### **Respiratory Tract Infections** D. Lower respiratory tract (Bronchitis or Tracheo-bronchitis All criteria 1-3 present For both pneumonia and lower respiratory tract infections, presence of underlying 1. Chest radiograph not performed or negative for pneumonia or new infiltrate. conditions which could mimic a respiratory tract infection presentation (congestive heart 2. At least two of the following respiratory subfailure, interstitial lung disease), should be criteria (a-f) present excluded by review of clinical records and an a. New or increased cough assessment of presenting symptoms and b. New or increased sputum production c. O<sub>2</sub> saturation <94% on room air or a reduction in O2 saturation of more than d. New or changed lung exam abnormalities f. Respiratory rate of ≥ 25/min At least one constitutional criteria

oneumonia and lower respiratory
tions, presence of underlying
swhich could mimic a respiratory
tion presentation (congestive heart
terstitial lung disease), should be
by review of clinical records and an
nt of presenting symptoms and

balloon port
volume of hat recommended to inflate bulloon marked

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Facility

Community

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# What do the Guidelines Say?

- Insert catheters only for appropriate indications
- Avoid use of urinary catheters in patients and nursing home residents for management of incontinence
- Keep the catheter and collecting tube free from kinking
- Empty the drainage bag regularly using a separate, clean collecting container for each resident (even in semi-private rooms)
- Changing indwelling catheters or drainage bags at routine, fixed intervals is not recommended. It is suggested to change catheters and drainage bags based on clinical indications such as infection, obstruction, or when the closed system has been compromised

https://www.cdc.gov/infectioncontrol/guidelines/cauti/index.html

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# Urinary Specimens: What do the Guidelines Say?

If Yes, is it facility or community

associated?

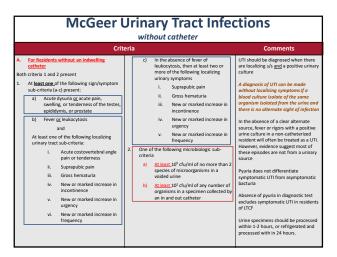
WHAT ABOUT URINARY TRACT

- Specimens collected through the catheter present for more than a few days reflect biofilm microbiology.
- For residents with <u>chronic indwelling catheters (greater than 14 days)</u> and symptomatic infection, changing the catheter immediately prior to instituting antimicrobial therapy allows collection of a bladder specimen, which is a more accurate reflection of infecting organisms.
- Urinary catheters coated with antimicrobial materials have the potential to decrease UTIs but have not been studied in the LTCF setting.

SHEA/APIC Guideline: Infection prevention and control in the long-term care facility Philip W. Smith, MD, Gail Bennett, RN, MSN, CICb Suzanne Bradley, MD, Paul Drinka, MD, Ebbing Lautenbach, MD, James Marx, RN, MS, CIC, Lona Mody, MD, Lindsay Nicolle, MD and Kurt Stevenson, MD July 2008

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McGeer
Urinary
Tract
Infections

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6. Ear the resident with an indewelling catheter
Both criteria 1 and 2 present
1. At least one of the following sign/symptom sub-criteria (a-d) present:
2) Fewer, rigors, or new onest hypotension, with no alternate site of infection
b) Either acute change in mental status or a cute plan, or a cute functional decline with no alternate diagnosis and lewkorytosis
c) New onest suprapible pain or costowertehral angle pain or trenders of the treatment of a cute pain, welling, or cacter pain, welling, or tenderses of the tracter has been in place for >14 days)

Urinary catheter expecimens for a cute pain, welling, or replacement of the catheter (if or year cacter pain, welling, or tenderses of the tracter has been in place for >14 days)

Urinary catheter expecimens for such caches and the catheter for current catheter has been in place for >14 days)

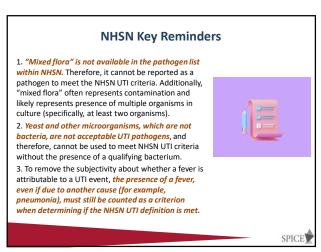
Urinary catheter cutture with at least 10° cfu/ml of any organism(s)

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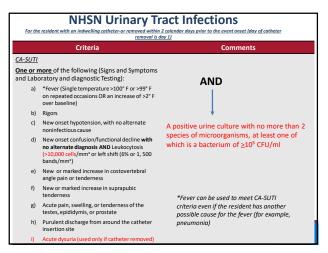
NHSN Notes
 Indwelling urinary catheter should be in place for a minimum of 2 calendar days before infection onset (day 1 = day of insertion)
 Indwelling urinary catheter: a drainage tube that is inserted into the urinary bladder through the urethra, is left in place and is connected to a closed collection system, also called a foley catheter. Indwelling urinary catheters do not include straight in-and-out catheters or suprapubic catheters (these would be captures as SUTIs, not CA-SUTIs)
 Indwelling catheters which have been in place for > 14 days should be changed prior to specimen collection but failure to change catheter does not exclude a UTI for surveillance purposes

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Criteria 2 Criteria 3 ne of the following Acute dysuria Acute pain, swell or tenderness of the testes, epididymis or prostate **NHSN Urinary** than once) OR an increase >2° F over baseline **Tract** cells/mm³ or left shift (6% o 1, 500 bands/mm³ Incontinence Infections AND Urinary frequ For Residents Suprapubic tenderness Costovertebral angle pain tenderness Visible (gross) hematuria without an Suprapubic tenderness indwelling AND Visible (Gross) hematuri <u>catheter</u> Incontinence Urgency er can be used to meet SUTI criteria even if the resident has anothe

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**Asymptomatic Bacteremic Urinary Tract Infection (ABUTI)** 

Resident with or without an indwelling urinary catheter:

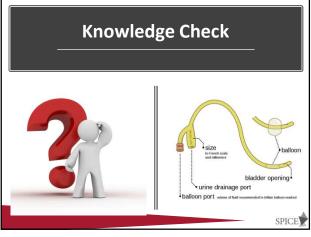
1. No qualifying fever or signs or symptoms (specifically no urinary urgency, urinary frequency, acute dysuria, suprapubic tenderness, or costovertebral angle pain or tenderness). If no catheter is in place, fever alone would not exclude ABUTI if other criteria are met

## <u>AND</u>

2. A positive urine culture with no more than 2-species of microorganisms, at least one of which is a bacterium of > 105

3. A positive blood culture with at least 1 matching bacteria to the urine culture

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**Knowledge Check #1** 





## 5 Mar.

On March 5, the nurse practitioner documented that Mrs. Ross complained of suprapubic pain.

The following day, on March 6, a specimen collected from the Foley catheter was sent to the lab and subsequently tested positive for greater than 100,000 CFU/ml of E. coli and 100,000 CFU/ml of Candida

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# Does Mr. Ross have a UTI?

- A. Yes
- B. No

If Yes, is it catheter associate?

A. No

1 Mar.

Mrs. Ross is a resident in your facility, admitted on February 1st. An

indwelling urinary catheter was inserted on March 1st.

B. Yes

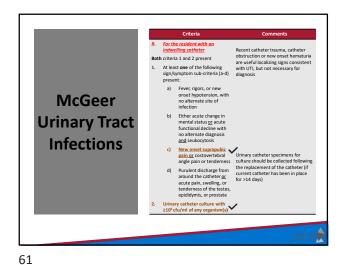
What criteria are met?

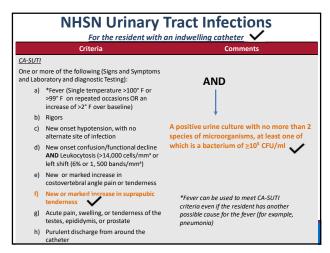
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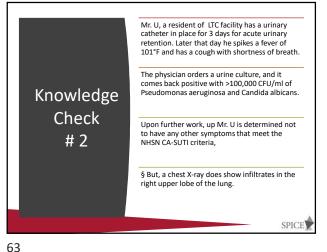
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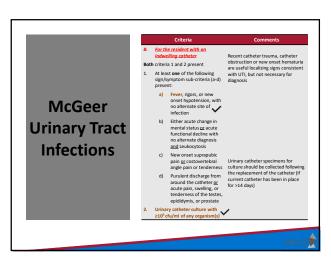




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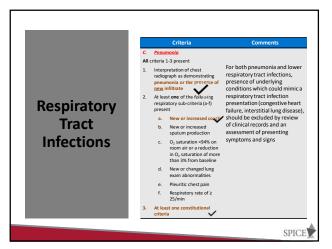
Does Mr. U have an infection? A. Yes B. No What type of infection does Mr. U have? 1. Pneumonia 2. Catheter Associated UTI 3. UTI but no catheter SPICE



**NHSN Urinary Tract Infections** For the resident with an indwelling catheter > 2 days CA-SUTI One or more of the following (Signs and Symptoms and Laboratory and diagnostic Testing): **AND** Laboratory and diagnostic Testing):

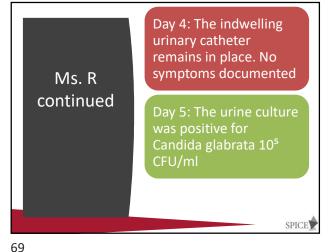
a) \*Fever (Single temperature >100° F or >99° F on repeated occasions OR an increase of >2° F over baseline) c) New onset hypotension, with no A positive urine culture with no more than 2 species of microorganisms, at least one of which is a bacterium of ≥10<sup>s</sup> CFU/ml alternate site of infection d) New onset confusion/functional decline AND Leukocytosis (>14,000 cells/mm³ or left shift (6% or 1, 500 bands/mm³) costovertebral angle pain or tenderness f) New or marked increase in suprapubic \*Fever can be used to meet CA-SUTI criteria even if the resident has another g) Acute pain, swelling, or tenderness of the possible cause for the fever (for example, testes, epididymis, or prostate pneumonia) h) Purulent discharge from around the catheter

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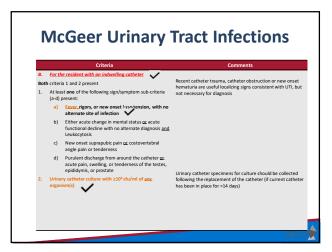
**Knowledge Check #3** Day 1: Ms. R had an indwelling urinary catheter inserted for a bladder outlet obstruction Day 2: The indwelling urinary catheter remains in place Day 3: The resident's indwelling urinary catheter remains in place. The resident had a single oral temp of 100.2°F. A urine culture was collected from the catheter SPICE

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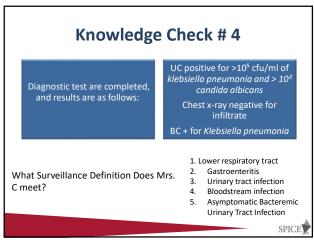
Does Ms. R have an infection? A. Yes B. No What type of infection does Ms. R have? 1. Catheter Associated UTI 2. UTI but no catheter Which definition is met? 1. NHSN 2. McGeer 3. Both SPICE

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**Knowledge Check #4** Mrs. C is an 85-year-old female This morning, March 5<sup>th</sup>, Mrs. C who is normally ambulatory, independent of ADLs and very seems confused, refuses breakfast, is incontinent of stool and does not want to get out of bed. social with staff and other residents. She has been a resident of your facility for 10 Vital Signs: Temp 99.5, RR 22, O<sup>2</sup>Sat 93% on room air and BP is 110/70. Urine is dark yellow and has a strong odor. Physician orders, UC, BC and SPICE

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**Asymptomatic Bacteremic Urinary Tract Infection (ABUTI)** Resident with or without an indwelling urinary catheter: 1. No qualifying fever or signs or symptoms (specifically no urinary urgency, urinary frequency, acute dysuria, suprapubic tenderness, or costovertebral angle pain or tenderness). If no catheter is in place, fever alone would not exclude ABUTI if other criteria are met <u>AND</u> 2. A positive urine culture with no more than 2-species of microorganisms, at least one of which is a bacterium of > 105 3. A positive blood culture with at least 1 matching bacteria to the urine culture

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**Skin, Soft Tissue and Mucosal Infections** More than one resident with streptococcal skin A. Cellulitis/soft tissue/wound infection infection from the same serogroup (e.g., A, B, C, G) in a LTCF may suggest an outbreak At least one of the following criteria is present 1. Pus present at a wound, skin, or soft tissue site New or increasing presence of at least **four** of the following sign/symptom sub-criteria For wound infections related to surgical procedures: LTCF should use the CDC's NHSN a) Heat at affected site surgical site infection criteria and report these infections back to the institution performing the b) Redness at affected site original surgery c) Swelling at affected site Presence of organisms cultured from the surface (e.g., superficial swab culture) of a wound is not d) Tenderness or pain at affected site e) Serous drainage at affected site enough evidence that the wound is infected f) One constitutional criteria

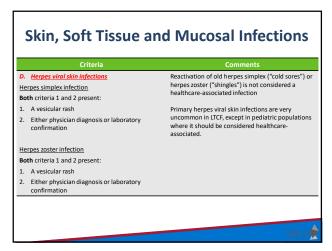
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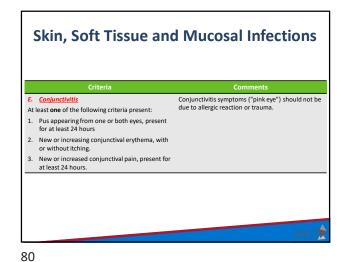
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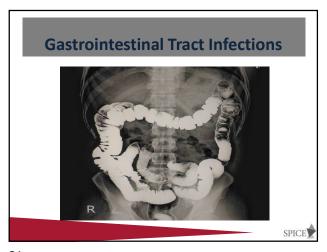
Criteria		Care must be taken to rule out rashes due to skin irritation, allergic reactions, eczema, and other non-infectious skin conditions
B. Scables Both criteria 1 and 2 present 1. A maculopapular and/or itching rash		
;	t least one of the following sub-criteria:         Physician diagnosis         Laboratory confirmation (scrapping or biopsy)         C Epidemiologic linkage to a case of scabies with laboratory confirmation	An epidemiologic linkage to a case can be considered if there is evidence of geographic proximity in the facility, temporal relationship to the onset of symptoms, or evidence of a common source of exposure (i.e., shared caregiver).

Skin, Soft Tissue and Mucosal **Infections** C. Fungal oral/perioral and skin infections Mucocutaneous candida infections are usually due to underlying clinical conditions such as poorly Oral candidiasis: controlled diabetes or severe immunosuppression. Both criteria 1 and 2 present: Although not transmissible infections in the healthcare setting, they can be a marker for 1. Presence of raised white patches on inflamed mucosa, or plaques on oral mucosa increased antibiotic exposure 2. Medical or dental provider diagnosis Fungal skin Infection: Dermatophytes have been known to cause Both criteria 1 and 2 present: occasional infections, and rare outbreaks, in the LTC 1. Characteristic rash or lesion Either a medical provider diagnosis or laboratory confirmed fungal pathogen from scrapping or biopsy

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Criteria

A. Gastroenteriti

A. Bast one of the following criteria present:

1. Diarrhea, three or more liquid or winderly stools above what is normal for the resident within a 2-bit prefer of the following criteria present:

2. Vomiting, two or more episodesin 2 2-bit opered of specimen positive for a pathogen (such as Salmonella, Stigella, E. coll 0157-87, Campylobacter species, tourismus)

b) At least one of the following criteria present:

1. Nausea

ii. Vomiting iii. Abdominal pain iv. Diarrhea

Comments

Care must be taken to exclude non-infectious causes of symptoms. For instruct, new medication may cause diarrhea, nauses /vomiting; installor associated with diarrhea, nauses or ovoiding may be associated with gallibaded efficience.

Presence of new GI symptoms in a single resident may prompt; enhanced surveillance for additional cases.

1. Comments

A. Gastroenteriti

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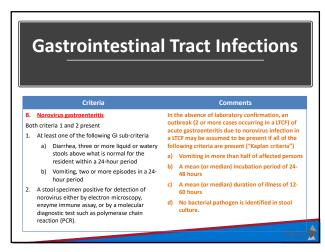
Instruct, new medication may cause diarrhea, nauses /vomiting; may be associated with gallibaded efficience.

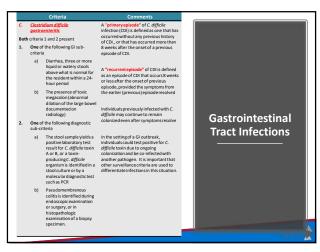
Presence of new GI symptoms in a single resident may prompt; enhanced surveillance for additional cases.

Coll 0157-H7,

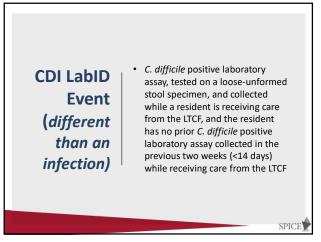
Campylobacter speeds, tourismus, bit is a presence of an outbreak, stool from specimens should be sent to confirm the presence of an outbreak, stool from specimens should be sent to confirm the presence of an outbreak, stool from specimens should be sent to confirm the presence of an outbreak, stool from specimens should be sent to confirm the presence of an outbreak, stool from specimens should be sent to confirm the presence of an outbreak, stool from specimens should be sent to confirm the presence of an outbreak, stool from specimens should be sent to confirm the presence of an outbreak, stool from specimens should be sent to confirm the presence of an outbreak, stool from specimens should be sent to confirm the presence of an outbreak, stool from specimens should be sent to confirm the presence of an outbreak, stool

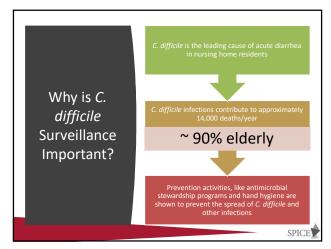
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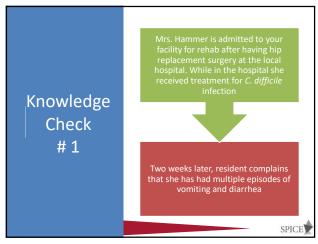


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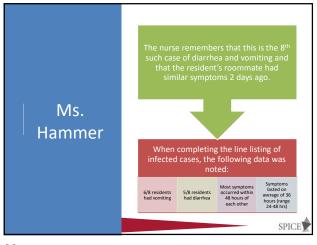


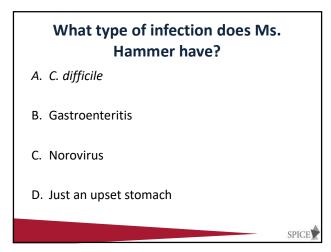




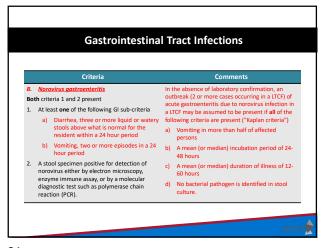


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**Gastrointestinal Tract Infections** Care must be taken to exclude non-infectious causes of symptoms. For instance, new medication At least **one** of the following criteria present may cause diarrhea, nausea/vomiting; initiation of Diarrhea, three or more liquid or watery stools above what is normal for the resident within a 24-hour period new enteral feeding may be associated with diarrhea, nausea or vomiting may be associated with gallbladder disease. Vomiting, two or more episodes in a 24-hour period Presence of new GI symptoms in a single resident 3. Both of the following sign/symptom sub-criteria may prompt enhanced surveillance for additional a) A stool specimen positive for a pathogen (such as Salmonella, Shigella, E. coli 0157:H7, Campylobacter species, rotavirus) In the presence of an outbreak, stool from specimens should be sent to confirm the presence of norovirus, or other pathogens (such as rotavirus b) At least **one** of the following GI sub-criteria and E. coli 0157:H7). i. Nausea ii. Vomiting iii. Abdominal pain iv. Diarrhea

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