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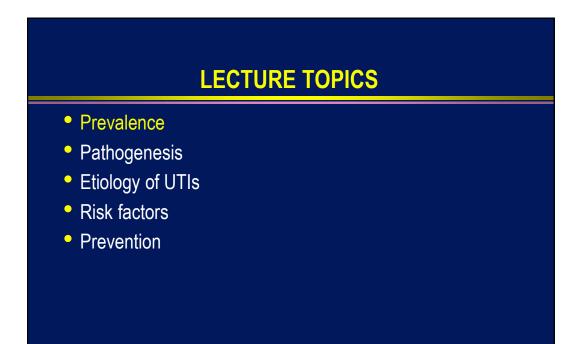


- Prevalence
- Pathogenesis
- Etiology of UTIs
- Risk factors
- Prevention

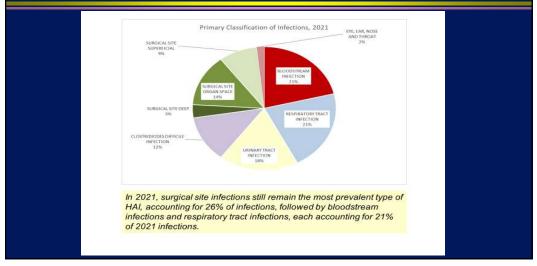


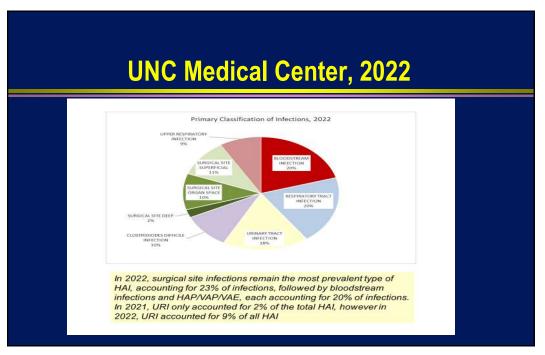
- Endogenous flora (SSI, UTI, CLABSI): 40-60%
- Exogenous: 20-40% (e.g., cross-infection via contaminated hands [staff, visitors])
- Other (environment): 20%
  - Medical devices
  - Contact with environmental surfaces (direct and indirect contact)



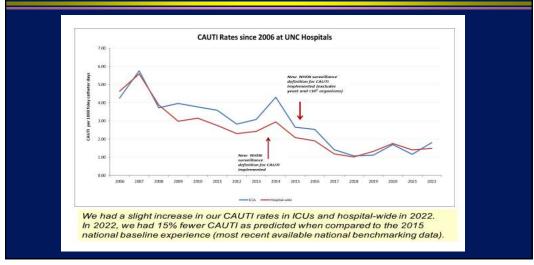


# **UNC Hospitals**

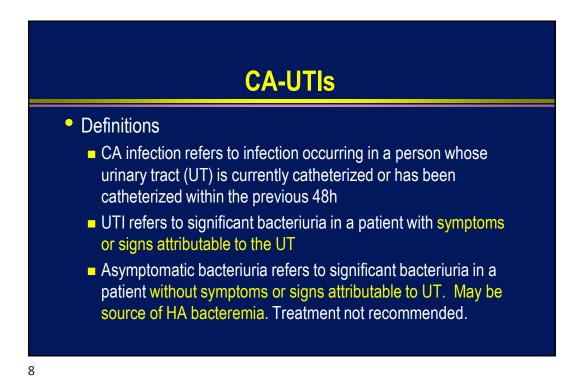


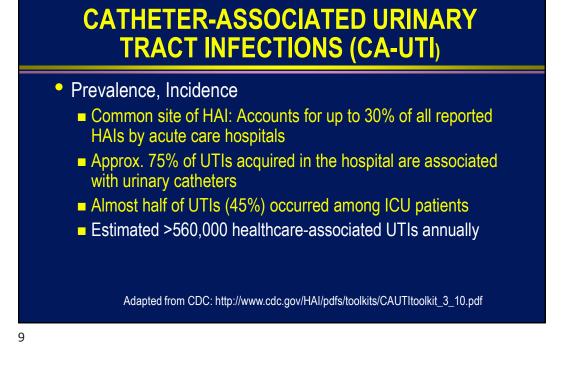


# **UNC Medical Center**











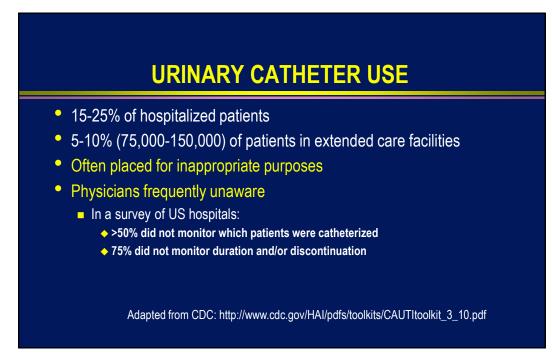
- Most hospitalized patients are catheterized for only 2-4 days but many longer
- Incidence of bacteriuria's associated with indwelling catheter is 3-8% per day
- Almost all persons catheterized for a month or more will have catheter-associated bacteriuria

Adapted from CDC: http://www.cdc.gov/HAI/pdfs/toolkits/CAUTItoolkit\_3\_10.pdf; Trautner, Hooten, PPID 2020

# CATHETER-ASSOCIATED URINARY TRACT INFECTIONS (CA-UTI)

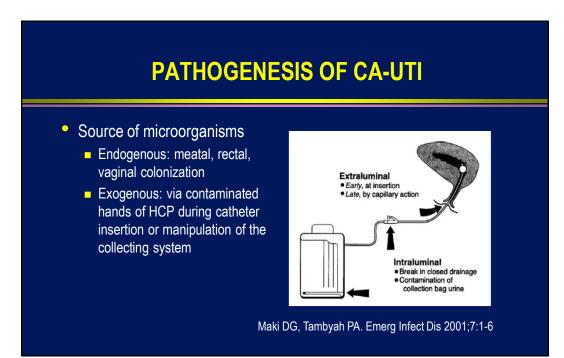
Impact

- About 15% of cases of nosocomial bacteremia are attributable to the UT
- Bacteriuria is the most common source of gram-negative bacteremia among hospitalized patients
- Bacteremia complicates CA-bacteriuria in 1-4% of cases
- Estimated 13,000 attributable deaths annually
- Leading cause of secondary BSI with ~10% mortality
- Excess length of stay: 2-4 days
- Increased cost: \$0.4-0.5 billion per year nationally



# **LECTURE TOPICS**

- Prevalence
- Pathogenesis
- Etiology of UTIs
- Risk factors
- Prevention



# **CA-UTIs**

- Introduction of bacteria into the bladder at the time of catheter insertion
- Extraluminal migration of bacteria or perianal bacteria into the bladder along the outer surface of the catheter
- Intraluminal retrograde migration of bacteria into the bladder from the drainage bag along the inner surface of the catheter following a catheter care violation

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### **SOURCE OF CA-UTI PATHOGENS** Table 2. Mechanisms of catheter-associated urinary tract infection, based on a prospective study of 1,497 newly catheterized patients who had 235 new-onset infections (16) Organisms causing CAUTI<sup>a</sup> Gram-Grampositive negative Mechanism cocci Yeasts bacilli Overall of CAUTI (n=44) (n=34) (n=37) (n=115) 54% 79% 69% 66% Extraluminal Intraluminal 21% 31% 46% 34% <sup>a</sup>Percentages refer to organisms in which the mechanism of infection could be determined. For comparison of gram-positive cocci and yeasts vs. gram-negative bacilli, p = 0.007. CAUTI = catheter-associated urinary tract infection. Maki DG, Tambyah PA. Emerg Infect Dis 2001;7:1-6

# **PATHOGENESIS OF CA-UTI**

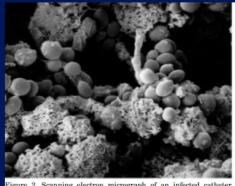


Figure 2. Scanning electron micrograph of an infected catheter showing dense and complex biofilm on the extraluminal surface. Urine culture at catheter removal yielded *Candida albicans* 10<sup>4</sup> CFU/ mL and *C. glabrata* 10<sup>4</sup> CFU/mL (X 5000).

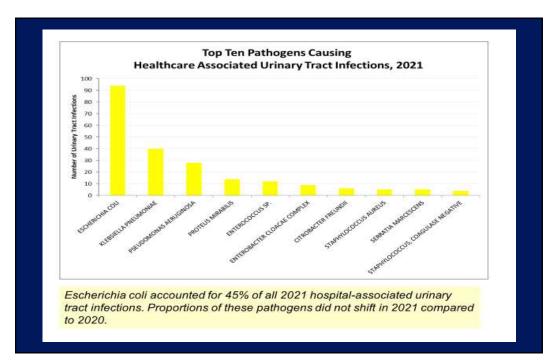
- Formation of biofilms by urinary pathogens common on surfaces of catheters and collecting systems
- Bacteria within biofilms resistant to antimicrobials and host defenses
- Some novel strategies in CA-UTI prevention have targeted biofilms

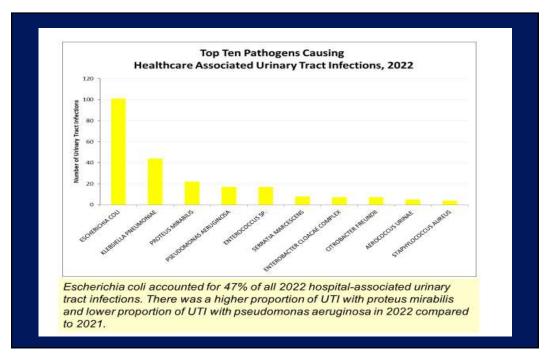


- NHSN
  - If the patient has a urinary catheter and a positive urine culture that meets NHSN criteria for a CAUTI on the same day as the fever, a CAUTI reported

# **LECTURE TOPICS**

- Prevalence
- Pathogenesis
- Etiology of UTIs
- Risk factors
- Prevention





### HAI PATHOGENS, NHSN, 2011-2014 Distribution and Rank Order of Pathogens Frequently Reported to the National Healthcare Safety Network (NHSN), by Type of Healthcare-Associated Infection (HAI), TABLE 4. 2011-2014 Overall CLABSI CAUTI VAP SSI No. (%) of Rank<sup>b</sup> Rank Rank<sup>b</sup> Pathogen pathogens pathogens pathogens Rank pathogens Rank pathogens Escherichia coli 62,904 (15,4) 1 5,193 (5.4) 7 36,806 (23.9) 1 476 (5.4) 6 20,429 (13,7) Stathylococcus aureus 48,302 (11.8) 12,706 (13.2) 2 2,515 (1.6) 14 2,179 (24.7) 1 30,902 (20.7) Klebsiella (pneumoniae/oxytoca) 31,498 (7.7) 15,471 (10.1) 7,067 (4.7) 8,062 (8.4) 898 (10.2) 4 4 3 Coagulase-negative staphylococci<sup>c</sup> 31,361 (7.7) 15,794 (16.4) 3,696 (2.4) 13 72 (0.8) 13 11,799 (7.9) Enterococcus faecalis<sup>d</sup> 30,034 (7.4) 8,118 (8,4) 10,728 (7.0) 5 32 (0.4) 21 11,156 (7.5) Pseudomonas aeruginosa Candida albicans<sup>d</sup> 29.636 (7.3) 3,881 (4.0) 10 15,848 (10.3) 3 1,449 (16.5) 2 8,458 (5.7) 27,231 (6.7) 5,761 (6.0) 17,926 (11.7) 2 193 (2.2) 10 3,351 (2.2) 12 6 Enterobacter spp 17,235 (4.2) 4,204 (4.4) 5,689 (3.7) 9 727 (8.3) 6,615 (4.4) 4 Enterococcus faecium<sup>d</sup> 14,942 (3.7) 6,567 (6.8) 4,212 (2.7) 11 23 (0.3) 24 27 4,140 (2.8) 11 9 5 1,974 (2.0) Other Enterococcus spp.d 14.694 (3.6) 14 6.291 (4.1) 19 (0.2) 6,410 (4.3) 10 7 6,108 (4.0) 12 4,196 (2.8) Proteus spp. 11,249 (2.8) 11 820 (0.8) 17 8 125 (1.4) Yeast NOS 10,811 (2.6) 12 763 (0.8) 18 9,443 (6.1) 54 (0.6) 16 551 (0.4) 6 37 (0.4) 12 (0.1) 19 20 7 Other Candida spp.<sup>d</sup> 10,641 (2.6) 13 4,730 (4.9) 8 5,178 (3,4) 10 19 33 696 (0.5) Candida glabrata 3,314 (3.4) 4,121 (2.7) 12 674 (0.5) 11 8,121 (2.0) 14 Bacteroides spp. 7,560 (1.9) 15 515 (0.5) 19 2 (<0.1) 130 2 (<0.1) 72 7,041 (4.7) Other pathogen 51,932 (12.7) 14,130 (14.6) 9,771 (6.4) 2,507 (28.5) 25,524 (17.1)

%MRSA by site: CLABSI, 50.7% (2014); VAP, 42.4% (2012); SSI, 42.6% (2014); CAUTI, 52.0% (2014) Weiner LM, et al. ICHE 2016;37:1288-1301

96,532 (100)

153,805 (100)

8,805 (100)

Total

408,151 (100)

2

6

9

10

25

149,009 (100)

# HAI Pathogens, NHSH, 2011-2014 Weiner LM, et al. ICHE 2016;37:1288-1301

Pathogen (UTI)	Number (%)
E. coli	36,806 (23.9)
Candida albicans	17,926 (11.7)
Pseudomonas aeruginosa	15,848 (10.3)
K. pneumoniae/oxytoca	15,471 (10.1)
Enterococcus faecalis	10,728 (7.0)
Yeast	9,443 (6.1)
Other Enterococcus spp	6,291 (4.1)
Proteus spp	6,108 (4.0)
Enterobacter spp.	5,680 (3.7)

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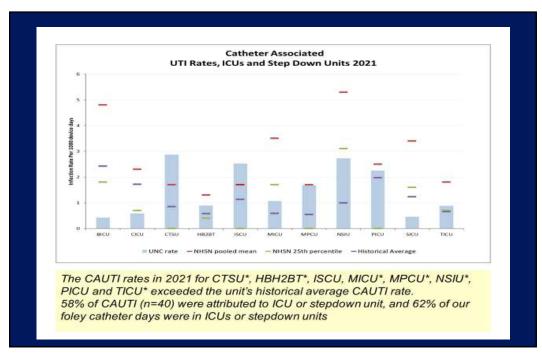
# HEALTHCARE-ASSOCIATED UTIS, UNC HOSPITALS, 2006-2009

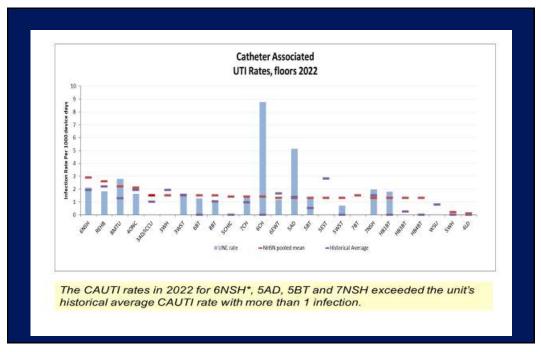
	CA-UTI (N=1218)	UTI (N=459)
E. coli	23.6%	29.0%
Enterococcus spp.	15.4%	16.3%
Candida spp.	14.9%	6.1%
P. aeruginosa	9.2%	7.2%
Klebsiella spp.	9.1%	12.6%
Proteus spp.	6.2%	4.1%
Enterobacter spp.	4.7%	4.6%
Coag neg staph	2.9%	4.6%
Torulopsis glabrata	2.1%	0.7%
Acinetobacter	1.8%	0.0%
S. aureus	1.6%	2.4%
Serratia marcescens	1.3%	1.3%
Citrobacter spp.	1.2%	2.2%
Other	6.0%	8.9%

CA-UTI: NHSN, 2006-2008 Edwards JR, et al. Am J Infect Control 2009;37:783-85				
Unit	Infection Rate (pooled mean)	Infection Rate (10% - 90%)	Urinary Cath Utilization Ratio	
Burn ICU	7.4	2.6 - 12.3	0.61	
Medical cardiac ICU	4.8	0.0 - 9.4	0.56	
Medical, major teaching ICU	4.7	1.0 – 8.9	0.72	
Neurology ICU	7.4	NA	0.77	
Neurosurgical ICU	6.9	1.6 – 10.8	0.76	
Pediatric medical ICU	4.0	NA	0.21	
Pediatric, med/surg ICU	4.2	0.0 – 7.2	0.29	
Surgical ICU	4.3	0.7 – 9.1	0.81	
Cardiothoracic ICU	3.6	0.7 – 7.0	0.77	
Trauma ICU	5.4	0.2 – 8.1	0.89	
Medicine floor	6.7	1.2 – 14.4	0.20	
Surgical floor	6.5	0.0 – 11.8	0.26	

HEALTHCARE-ASSOCIATED UTIS, UNCHC, 2006-2009 Weber, Sickbert-Bennett, Gould, Brown, Huslage, Rutala. ICHE. 2011;32:822

	CA-UTI	CA-UTI	UTI	
	Number	Rate*	Number	Rate <sup>^</sup>
Medicine ICU	133	3.75	6	0.16
Medicine Step Down	27	2.65	7	0.42
Medicine Ward	101	3.35	77	0.43
Surgery ICU	300	4.81	9	0.13
Surgery Step Down	31	2.90	3	0.30
Surgery Ward	358	4.59	108	0.42
Pediatric ICU	50	4.52	77	0.92
Pediatric Ward	25	4.83	38	0.32
Rehabilitation Ward	43	10.12	60	1.68
Psychiatric Ward			26	0.30
TOTAL	1068	4.32	411	0.48





# **LECTURE TOPICS**

- Prevalence
- Pathogenesis
- Etiology of UTIs
- Risk factors
- Prevention

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# RISK FACTORS FOR CA-UTI Hooton, et al. IDSA Guidelines CID 2010:50:625 Indwelling catheterization Not receiving systemic antimicrobial therapy Female sex Positive urethral meatal culture results Microbial colonization of the drainage bag Catheter insertion outside the OR Catheter care violations Older age Diabetes mellitus (high blood sugar, weakens immune response) Rapidly fatal underlying illness Elevated serum creatinine (poor kidney function) at the time of catheterization

<b>RISK FACTORS FOR CA-UTI</b>

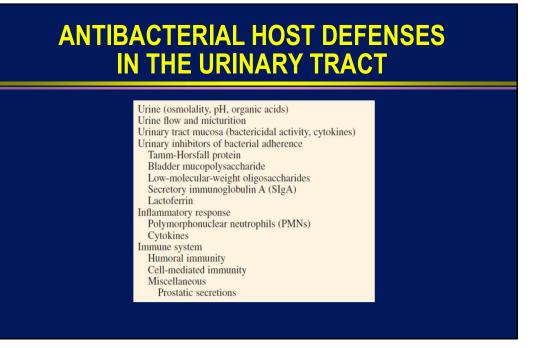
Maki DG Emerg Infect Dis 2001;7:1-6

based on prospective studies and use of m modeling (27-30)	ultivariable statis
Factor	Relative risk
Prolonged catheterization >6 days	5.1-6.8
Female gender	2.5-3.7
Catheter insertion outside operating room	2.0-5.3
Urology service	2.0-4.0
Other active sites of infection	2.3-2.4
Diabetes	2.2-2.3
Malnutrition	2.4
Azotemia (creatinine >2.0 mg/dL	2.1-2.6
Ureteral stent	2.5
Monitoring of urine output	2.0
Drainage tube below level of bladder and above collection bag	1.9
Antimicrobial-drug therapy	0.1-0.4

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# EVIDENCE-BASED RISK FACTORS FOR CA-UTI

Symptomatic UTI	Bacteriuria
Prolonged catheterization*	Disconnection of drainage system*
Female gender <sup>^</sup>	Lower professional training of inserter*
Older age^	Placement of catheter outside of OR^
Impaired immunity <sup>A</sup>	Incontinence^
	Diabetes
	Meatal colonization
	Renal dysfunction
	Orthopaedic/neurology services
* Main modifiable risk factors	^ Also inform recommendations



# **CA-UTI DEFINITIONS**

- UTI that occurs in a patient who has had an indwelling urethral catheter in place within the 48-hour period before the onset of the UTI
- Does not include in and out catheters or urinary catheters that are not placed in the urethra (e.g., suprapubic catheter)
- Do not include patients with asymptomatic bacteriuria

Urinary Tract Infection Criteria January 2023				
Criterion	Urinary Tract Infection (UTI)			
	Symptomatic UTI (SUTI)			
	Must meet at least <u>one</u> of the following criteria:			
SUTI 1a	Patient must meet 1, 2, and 3 below:			
Catheter- associated Urinary Tract Infection (CAUTI) in any age patient	<ol> <li>Patient had an indwelling urinary catheter that had been in place for more than 2 consecutive days in an inpatient location on the date of event AND was either:</li> <li>Present for any portion of the calendar day on the date of event<sup>*</sup>, OR</li> <li>Removed the day before the date of event<sup>*</sup></li> </ol>			
	<ol> <li>Patient has at least <u>one</u> of the following signs or symptoms:         <ul> <li>fever (&gt;38.0°C)</li> <li>suprapubit tenderness*</li> <li>costovertebral angle pain or tenderness*</li> <li>urinary urgency ^</li> <li>urinary frequency ^</li> <li>dysuria ^</li> </ul> </li> </ol>			
	<ol> <li>Patient has a urine culture with no more than two species of organisms identified, at least one of which is a bacterium of 210° CFU/ml (See <u>Comments</u>). All elements of the SUTI criterion must occur during the IWP (See IWP Definition <u>Chapter 2</u> <u>Identifying HAIs in NHSN</u>).</li> </ol>			

# COMPLICATIONS OF SHORT-TERM CATHETERIZATION

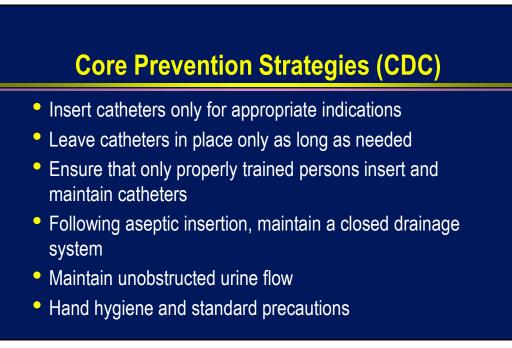
- Less than 25% of hospitalized patients with CA-bacteriuria develop UTI symptoms
- ~15% of cases of nosocomial bacteremia are attributable to the urinary tract
- Bacteriuria is the most common source of Gram-negative bacteremia among hospitalized patients
- However, bacteremia complicates CA-bacteriuria in only <1% to 4% of cases
- The mortality of nosocomial bacteremic UTI is ~13%, but <1% of hospital deaths are due to bacteremic UTI

Hooton TM, et al. Clin Infect Dis 2010;50:625-663

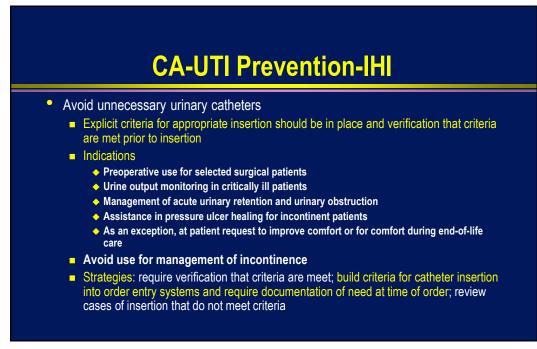
# **LECTURE TOPICS**

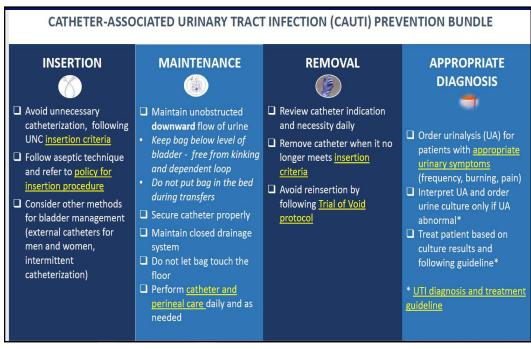
- Prevalence
- Pathogenesis
- Etiology of UTIs
- Risk factors
- Prevention

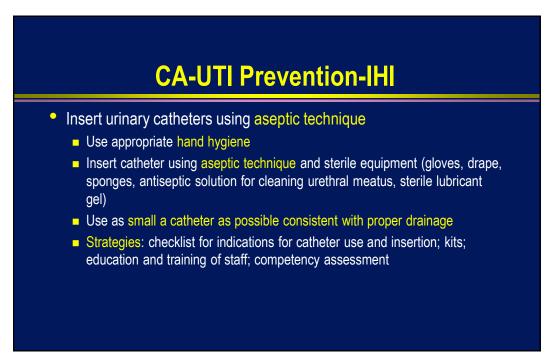




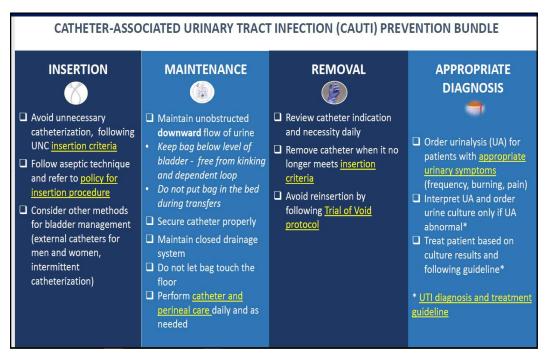
- Avoid unnecessary catheters
- Insert urinary catheters using aseptic technique
- Maintain urinary catheters based on recommended guidelines
- Review urinary catheters necessity daily and remove promptly when not needed



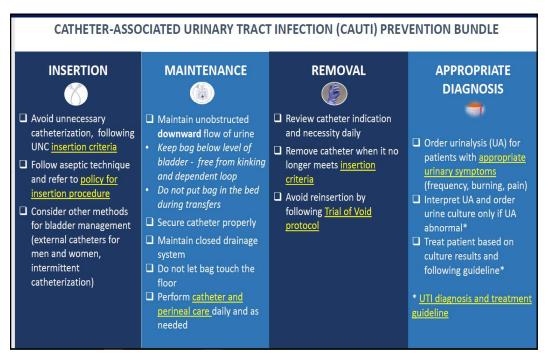




- Maintain catheters based on recommended guidelines
  - Maintain sterile, continuously closed drainage system
  - Keep catheter properly secured to prevent movement and urethral traction
  - Keep collection bag below the level of the bladder
  - Maintain unobstructed urine flow (keep catheter and collecting tube free from kinking)
  - Empty collection bag regularly using a separate clean container for each patient. Ensure drainage spigot does not contact nonsterile container.
- Strategies: verify and document five items at least once per shift; avoid irrigating catheters, disconnecting the catheter from the drainage bag, and replacing catheters routinely

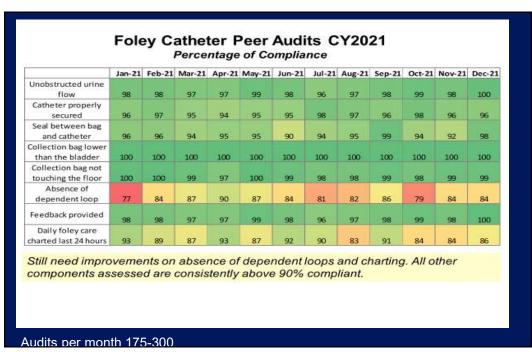


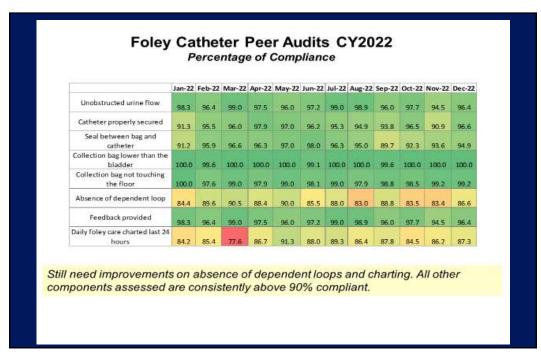
- Implement quality improvement programs
- Review urinary catheter necessity daily and remove promptly (duration of catheterization is the most important risk factor for development of infection)
  - Daily review of catheter necessity is recommended
- Strategies: automatic stop orders; daily reminders by nurses to physicians; alerts in computerized ordering systems; daily assessment at the start of every shift with the requirement to contact physician if criteria are not meet

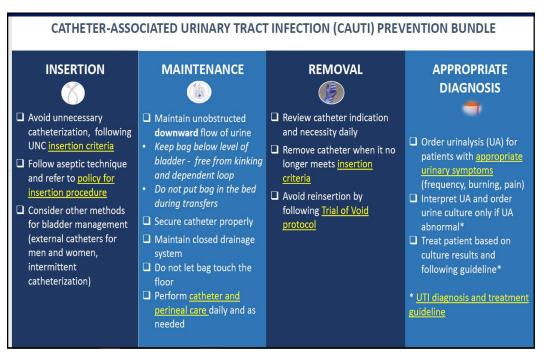


# Process Measures-what a provider does to improve infection prevention

- Unnecessary urinary catheters (inserted without appropriate indication documented at the time of insertion)
- Number of patient records or forms with documentation of aseptic technique
- Urinary catheters maintained according to recommended practices (sterile, closed drainage; bag below bladder, etc.)
- Unnecessary urinary catheter days (number of days with no documentation of indication for continued necessity)





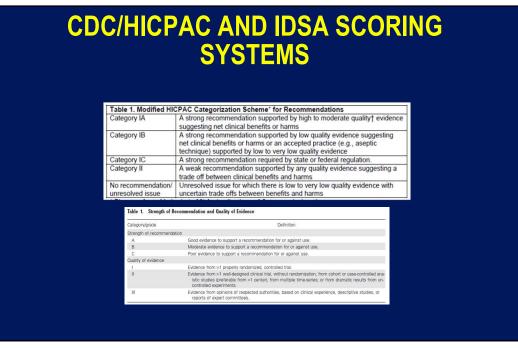


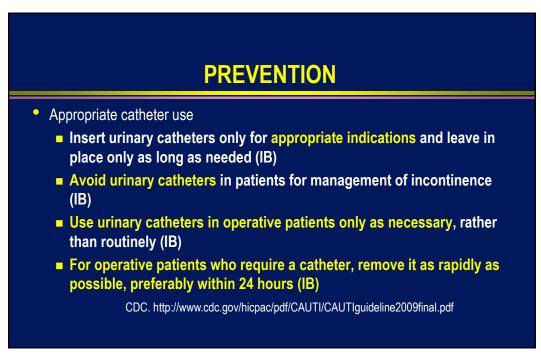
- Forming the Team
- Champions-person supports a cause
- Setting Aims
  - Decrease the rate of CA-UTI by 5% within one year by achieving high levels of performance in the preventive measures
- Assess where we stand presently

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# CA-UTI Prevention-IHI Questions

- Do we require verification that catheter indications are met
- Do we conduct a daily review of catheter necessity
- Are we measuring unnecessary catheters
- · Is there a process in place for daily review of inserted catheters
- Are we using an insertion checklist
- Do we follow daily maintenance procedures
- Are all supplies for compliance with appropriate insertion available at point of care
- Do we teach core principles of prevention of CA-UTI
- Where are urinary catheters used most frequently
- What is our CA-UTI rate





# **PREVENTION:** APPROPRIATE INDICATIONS FOR A CATHETER

- Patient has acute urinary retention or bladder obstruction
- Need for accurate measurement of urinary output in critically ill patients
- Perioperative use for selected surgical patients
  - Urological surgery or other surgery on contiguous structures of GU tract
  - Anticipated prolonged duration of surgery (remove in PACU)
  - Patient anticipated to receive large volume infusions or diuretics during surgery
  - Need for intraoperative monitoring of urinary output
- To assist in healing of open sacral or perineal wounds in incontinent patients

Adapted from CDC: http://www.cdc.gov/HAI/pdfs/toolkits/CAUTItoolkit\_3\_10.pdf



# **PREVENTION:** APPROPRIATE INDICATIONS FOR A CATHETER

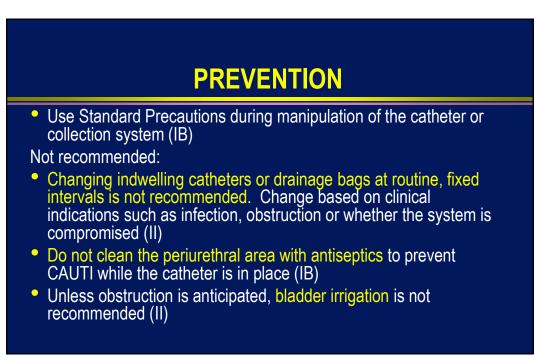
# Table 2. Acceptable Indications for Indwelling Urinary Catheter Use

Indication	Comment(s)	
Clinically significant urinary retention	Temporary relief or longer-term drainage if medical therapy is not effective and surgical cor- rection is not indicated.	
Urinary incontinence	For comfort in a terminally ill patient; if less invasive measures (eg, behavioral and pharmaco- logical interventions or incontinence pads) fail and external collecting devices are not an acceptable alternative.	
Accurate urine output monitoring required	Frequent or urgent monitoring needed, such as with critically ill patients.	
Patient unable or unwilling to collect urine	During prolonged surgical procedures with general or spinal anesthesia; selected urological and gynecological procedures in the perioperative period.	

## Hooton TM, et al. Clin Infect Dis 2010;50:626-663

# ALTERNATIVES TO INDWELLING URETHRAL CATHETERIZATION IN SELECTED PATIENTS

- Consider an external catheter in cooperative male patients without urinary retention or bladder outlet obstruction (II)
- Consider intermittent catheterization in spinal cord injury patients (II)
- Consider intermittent catheterization in children with myelomeningocele and neurogenic bladder (II)
- Intermittent catheterization is preferable to an indwelling urethral or suprapubic catheter in patients with bladder or emptying dysfunction (II)

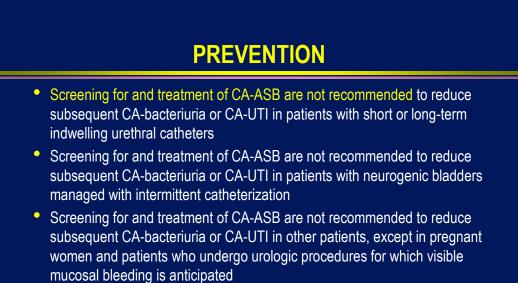


# PREVENTION

- Urinary catheter insertion
  - Perform hand hygiene before and after insertion (IB)
  - Insert catheters using aseptic technique and sterile equipment (IB)
    - Use sterile gloves, drape, sponges, an appropriate antiseptic for periurethral cleaning, and a single-use packet of lubricant jelly for insertion
    - Routine use of antiseptic lubricants is not necessary
  - Properly secure indwelling catheters after insertion (IB)
  - If intermittent catheterization is used, perform it at regular intervals (IB)
- Catheter maintenance
  - Following aseptic insertion, maintain a closed drainage system (IB)
  - Maintain unobstructed urine flow (IB)

Adapted from CDC: http://www.cdc.gov/HAI/pdfs/toolkits/CAUTItoolkit\_3\_10.pdf





Hooton TM, et al. Clin Infect Dis 2010;50:626-663

# PREVENTION

- Routine instillation of antiseptic or antimicrobial solutions into the urinary drainage bags is not recommended (II)
- Further research is needed on the effect of antimicrobial/antiseptic-impregnated catheters in reducing the risk of symptomatic UTI (no recommendation)
- Further research is needed on the benefit of spatial separation of patients with urinary catheters (no recommendation)

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# PREVENTION

- Quality Improvement Programs
  - Assure appropriate utilization of catheters
  - Remove catheters when no longer needed
  - Education and performance feedback regarding appropriate use, hand hygiene, and catheter care
  - Algorithms for perioperative management (removal, urinary retention)

# SUMMARY OF PREVENTION MEASURES

# **CORE MEASURES**

- Insert catheters only for appropriate indications
- Leave catheters in place only as long as needed
- Only properly trained persons insert and maintain catheters
- Maintain a closed drainage system
- Maintain unobstructed urine flow
- Hand hygiene and standard (or appropriate isolation precautions)

## SUPPLEMENTAL MEASURES

- Alternatives to indwelling urinary catheterization
- Portable ultrasound devices to reduce unnecessary catheterizations
- Antimicrobial/antiseptic impregnated catheters (consider if CAUTI rates not decreasing after implementing comprehensive strategy)

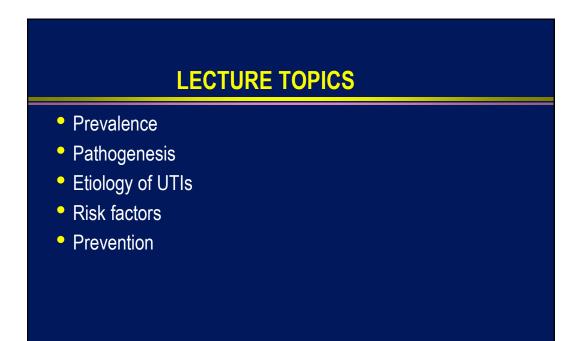
Adapted from CDC: http://www.cdc.gov/HAI/pdfs/toolkits/CAUTItoolkit\_3\_10.pdf

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# PREVENTION STRATEGIES WITH POSSIBLE BENEFIT

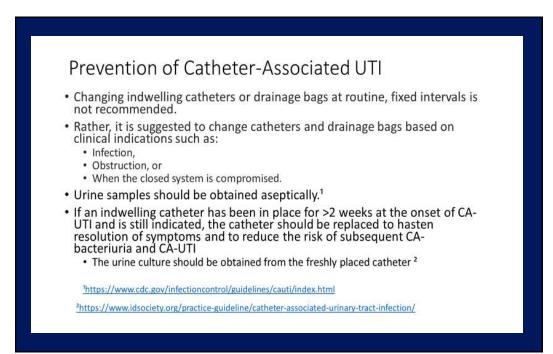
- Antiseptic and antimicrobial-coated catheters-available data do not support routine use
- Prophylaxis with antimicrobial agents-routine use discouraged
- Methenamine salts-overall, the data are unconvincing in reducing risks in patients managed with long-term indwelling catheterization

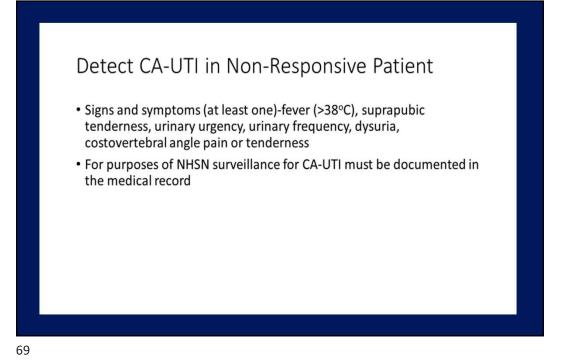


# REFERENCES

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