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SHARPPS Program
North Carolina Division of Public Health

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### **Objectives**

- Describe legal framework for disease surveillance, investigation, and response
- Review outbreak surveillance data and trends over time
- Discuss emerging infections & specific healthcare-associated pathogens
- Discuss role of Public Health in infection prevention and outbreak response
- Describe the 10 steps of an outbreak investigation



### **Public Health: Legal Framework**

- Public Health Laws and Rules:
  - General Statutes
  - NC Administrative Code rules
- Health Director's Authority (State & Local)
  - Surveillance
  - Investigation
  - Control Measures



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### **Public Health Law**

### General Statutes §130A-144: Investigation and Control Measures

- (a) The **local health director shall investigate**... cases of communicable diseases and communicable conditions reported to the local health director
- (b) Physicians, persons in charge of medical facilities or laboratories, and other persons shall... permit a local health director or the State Health Director to examine, review, and obtain a copy of medical or other records...
- (d) The **attending physician shall give control measures**... to a patient with a communicable disease or communicable condition and to patients reasonably suspected of being infected or exposed to such a disease or condition.
- (e) The local health director shall ensure that control measures... have been given to prevent the spread of all reportable communicable diseases or communicable conditions and any other communicable disease or communicable condition that represents a significant threat to the public health.
- (f) All **persons shall comply with control measures**, including submission to examinations and tests...

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### **Public Health Law**

### 10A NCAC 41A .0103: Duties of local health director: report communicable diseases

- (a) Upon receipt of a report of a communicable disease or condition... the **local health director** shall:
  - (1) immediately **investigate** the circumstances... [to] include the collection and submission for laboratory examination of specimens necessary to assist in the diagnosis and indicate the duration of control measures;
  - (2) determine what **control measures** have been given and ensure that proper control measures... have been given and are being complied with;
- (c) Whenever an **outbreak of a disease or condition** occurs which is not required to be reported... but **which represents a significant threat to the public health**, the local health director shall give appropriate control measures... and **inform the Division of Public Health**



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### **Public Health Law**

10A NCAC 41A .0101: Reportable diseases and conditions

- 80+ reportable diseases and conditions
  - Timeline of reporting varies between immediately and within 7 days
- Laboratory reporting requirements



### **Public Health Law**

- · 10A NCAC 41A .0106
  - Infection Prevention Reporting of Healthcare Associated Infections
- 10A NCAC 41A .0206
  - Infection Prevention Health Care Settings, 1992
- · 10A NCAC 41A .0201
  - General Control Measures
- 10A NCAC 41A .0202 .0205
  - Control Measures for HIV, Hepatitis B, STDs, TB



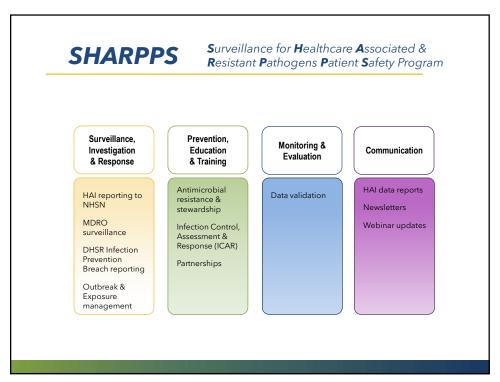
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### **SHARPPS**

**S**urveillance for **H**ealthcare **A**ssociated & **R**esistant **P**athogens **P**atient **S**afety Program

### **Mission**

To work in partnerships to prevent, detect, and respond to events and outbreaks of healthcare-associated and antimicrobial resistant infections in North Carolina.



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### When Should Public Health Be Called?

- HAI reporting questions (i.e., NHSN)
- Reportable diseases / conditions (10A NCAC 41A .0101)
  - <a href="https://epi.dph.ncdhhs.gov/cd/report.html">https://epi.dph.ncdhhs.gov/cd/report.html</a> (Form 2124)
- When <u>any</u> disease is above normal baseline (i.e., an "outbreak")
- Report suspected infection prevention breach

# What Happens When Public Health is Called?

- Data Review
- Clinical Investigation
- Environmental Investigation
- Control Measures
- Communication (Resident/Family/Public)
- Laboratory Support



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### **Outbreak Assistance**

We can assist with:

- Determining if it is an outbreak
- Guidance, tools and onsite support
- Facilitating and coordinate calls with partners
- Written recommendations



### **Examples of Responses**

- Multidrug Resistant Acinetobacter (CRAB) in a nursing home
- · Scabies in long-term care facilities
- Acute Hepatitis B among shared glucometer patients
- Potential C. auris transmission in dialysis facility
- Post-op endocarditis among patients receiving same surgical device
- Legionellosis associated with healthcare facilities
- National responses:
  - Non-tuberculosis mycobacterium (NTM) and heater-cooler units
  - · Resistant Pseudomonas and artificial tears
  - Botulism-like illness following cosmetic surgery



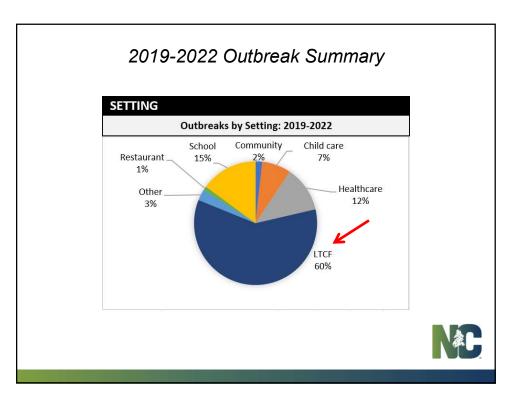
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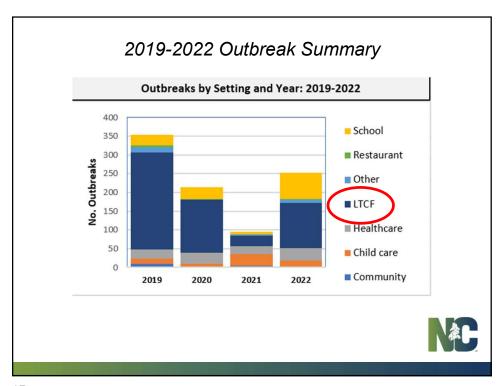
# **Partnerships**











# Outbreak Response & Emerging Infections





### **Significance of MDROs**

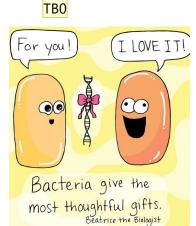
- Affects vulnerable patient populations
- Are easily transmitted in and between healthcare / congregate care settings
- Difficult to treat
  - Require more toxic antimicrobials to treat
- Improper treatment
  - Some organisms may produce another enzyme that makes it easier to transmit resistance
- · Cause increase in:
  - Mortality
  - Healthcare costs
  - Length of stays
- Estimates of economic costs vary, up to 20 BILLION dollars in direct healthcare costs



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# Significance of Carbapenemase-producing Organisms (CPO)

- Carbapenemase-producing organisms
  - Mobile genetic elements, such as plasmids
  - Highly resistant
- Urgent public health threat
- Over 9,000 healthcare-associated infections each year
- Up to 50% mortality





### Update slide content for CPO specific info Breeyear, Taylor L, 2024-09-17T19:16:36.630 TB0





Highly drug-resistant



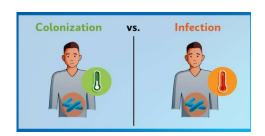


Spreads in healthcare settings



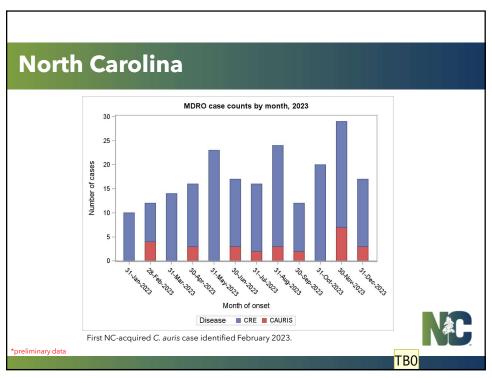
### **MDRO Colonization**

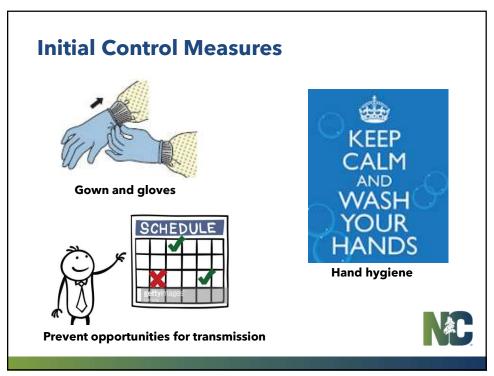
- Colonization means that a person is carrying a MDRO but does not have symptoms of an infection.
- Colonized people play a large role in the spread of MDROs to other people in healthcare settings (require infection control action).





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### Slide 25

### TB0 Update

**Update**Breeyear, Taylor L, 2024-09-17T19:18:10.873

### **Targeted MDRO Specific Infection Prevention Measures** TB0

- Laboratory Notification
- Private room
  - Indefinite contact precautions for colonized and infected patients.
  - Enhanced barrier precautions in long-term care
    - For C.auris, with approval by DPH.
  - If necessary, cohort infected residents.
- Adherence to hand hygiene and transmission-based precautions.
- Clean with <u>List P</u> disinfectant for *C. auris*.
- Conduct screening.
- Educate staff about organism and reasons for precautions.
  - Including non-clinical staff like EVS
- Review infection prevention policies and procedures.
- Communicate diagnosis with other facilities on transfer or discharge.
- Antimicrobial Stewardship

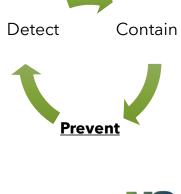


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TB0

### **DPH Response to MDROs**

- Detect
  - C. auris and CPO: 1 case=outbreak
  - Nationally notifiable
  - Antimicrobial Resistance Laboratory Network (ARLN)
- - Ensure rapid response & containment
    - Prevent transmission through:
      - Point-prevalence survey (PPS)
      - Infection control assessment and response (ICAR)
- Prevent
  - Stewardship efforts
    - Antimicrobial resistance workgroup
    - Get Smart Campaign
    - STAR Partners
  - Education
    - Collaborative effort (SPICE, DPH, LHD)





### Slide 27

### See comment on Major Findings slide Breeyear, Taylor L, 2024-09-17T19:35:24.716 TB0

### Slide 28

See comment on Major Findings slide. Talk more about screening collaboration with DPH Breeyear, Taylor L, 2024-09-17T19:35:51.669 TB0

### Legionellosis

- Caused by inhalation Legionella pneumophilia
- Transmission: Inhalation of aerosolized water
- Risk factors
  - >50 years old, smokers, compromised immune systems
- Two manifestations:

	Legionnaires' disease	Pontiac Fever
Incubation period	2-14 days	5-72 hours
Symptoms	Non-productive cough and <b>pneumonia</b>	Self-limited febrile illness; no pneumonia
Resolution	Typically requires antibiotics; ~15% case-fatality rate	Spontaneous recovery in 2-5 days

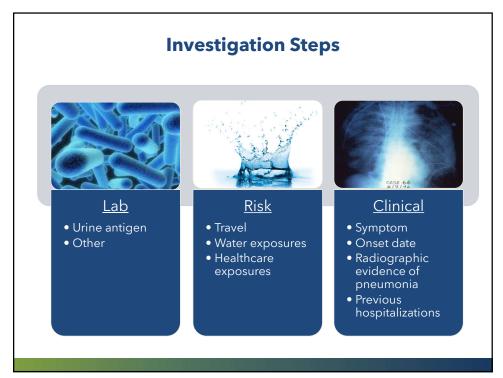


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# Incidence of Legionellosis in North Carolina Legionellosis Reported Cases in North Carolina from 2015 - 2023 300 200 178 211 100 167 180 180

### TB0

Updated numbers?
Breeyear, Taylor L, 2024-09-17T19:19:04.770



### The most important question...

Was the patient in the healthcare facility during the 14 days before symptom onset?

### Create a timeline:

- When was the patient admitted to the facility?
- When did symptoms start?
- Where did the patient go during the 14 days before symptom onset?



### **Healthcare-associated Legionellosis**

- Definite healthcare-associated case
  - Confirmed case of legionellosis in a person who has spent ≥14 days <u>continuously</u> in a healthcare facility before illness onset
- Possible healthcare-associated case
  - Confirmed case of legionellosis in a person who has spent part but not all of the 14 days before illness onset in a healthcare facility



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### Avian Influenza (H5)

- Type A influenza that is widespread in wild birds worldwide but can cause outbreaks in some mammals
- Recent outbreaks in poultry and dairy cows, including NC
- Current public health risk is low but there have been cases in humans in the U.S.
  - Mild symptoms
  - Almost all cases were poultry and dairy farm workers, exposed to animals
- NCDHHS is collaborating with CDC and NCDA&CS
  - Surveillance
  - Infection prevention resources for poultry and dairy farm workers
- Suspected or confirmed novel avian influenza
  - AIIR
  - N95 or higher, gown, gloves, eye protection
  - May require transfer



### TB0

### Tis the (respiratory virus) season!

- Encourage vaccine uptake
- Provide face masks, tissues and hands-free trash can, hand sanitizer
- Post signs with respiratory hygiene/cough etiquette reminders
- Ensure staff do not work while sick
- Ongoing outbreak?
  - Contact our RIPS team at <u>infectionprevention@dhhs.nc.gov</u> for assistance.





CDC: Preventing Transmission of Viral Respiratory Pathogens in Healthcare Settings

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### **Group A Streptococcus (GAS)**

- · A group of gram-positive bacteria
- Commonly found in the throat and on the skin
- Illness varies depending on site of infection
- Invasive GAS (iGAS): severe infection when bacteria invade areas of the body that are normally sterile
  - Invasive GAS is reportable



**TB0** My thought was to somehow use this as plug for RIPS doing respiratory outbreak visits Breeyear, Taylor L, 2024-09-17T21:19:03.423

### LTCF residents at higher risk

- Elderly at higher risk
  - $\, \bullet \, \sim 15\%$  of people aged 65 years or older die from their invasive GAS infection  $^1$
- Older adults in LTCFs have a 6x greater risk of disease and 1.5x greater risk of death than older adults in the community<sup>2</sup>
  - · Age, comorbidities, breaks in skin, indwelling devices
  - Wound care
    - Careful attention to IP practices essential to prevent transmission







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### **Public Health Response to iGAS**

(LTCF, postpartum, postsurgical)

- LHD and DPH will provide guidance on response steps:
- Identify additional symptomatic cases
- Identify potential asymptomatic carriers
- Assess and re-emphasize infection prevention practices
- Retrospective chart review
- Survey staff for GAS symptoms
- Culture residents, possibly epilinked staff (if an outbreak)
- Prospective active surveillance
- Site visit to assess IP, educate staff



# Possible Site Visit Findings: Infection Risk Factors

- Gaps in hand hygiene
- Staff working while sick
- Opportunities for cross-contamination during wound care:
  - Glove use
  - Dedicated wound care supplies
  - Scissor use- in GAS outbreak, dedicate scissors to individual residents
  - Wound care carts- uncluttered, remain outside pt rooms, perform HH before accessing items





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### **Summary: Example GAS Outbreak**

Over the course of a year:

- 30+ symptomatic cases, 7 invasive cases
- 30+ asymptomatic carriers
- 8 hospitalizations, 3 deaths
- Epi, laboratory, site assessments:
  - All invasive cases had wounds
  - Whole genome sequencing from 21 positives showed all but 3 were related, many months apart
  - Wound care observations identified opportunities for cross contamination



## 10 Steps of an Outbreak Investigation



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### **Reasons to Investigate an Outbreak**

- Identify, describe the source
- Describe new diseases / learn more about known diseases
- Identify populations at risk
- Evaluate existing prevention strategies
  - e.g., immunization requirement
- Opportunity to educate public about disease prevention
- Address public concern
- Develop strategies to prevent future outbreaks
- Fulfill legal obligation and duty to care for the public
- End the outbreak!

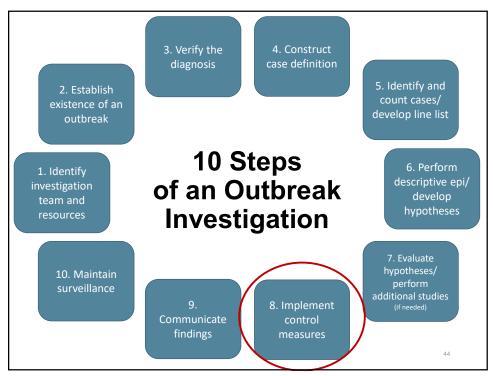


### **Principles of Outbreak Investigations**

- Be systematic
  - Follow the same steps for every type of outbreak
  - Write down case definitions
  - Ask the same questions of everybody
- Stop often to re-assess what you know
  - Line list and epidemic curve provide valuable information
  - Consider control measures to be applied
- Coordinate with partners



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### 2. Establish existence of outbreak

### What is an Outbreak?

- Anything above what is normally seen for any given time period
- If you aren't sure, call us!
- <u>In a facility setting</u>, an outbreak is generally defined as two or more individuals with the same illness
- Caveat to this rule:
  - One case of certain diseases = Outbreak
    - C. auris, MDROs with novel carbapenemases
    - Disease not normally seen (Avian Flu, SARS, Ebola)



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### **GAS Outbreak Summary**

This example outbreak opened with:

- Invasive resident case followed by six resident and staff non-invasive cases
- Two additional invasive cases
- Within one month timeframe



### 3. Verify the diagnosis

- Review medical records, laboratory reports
- Talk with patients
- Request additional testing if needed
- Consult with local health department, communicable disease branch, state public health lab



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### 4. Construct a case definition

### What is a Case Definition?

- Allows a simple, uniform way to identify cases
- "Standardizes" the investigation
- Is specific to the outbreak

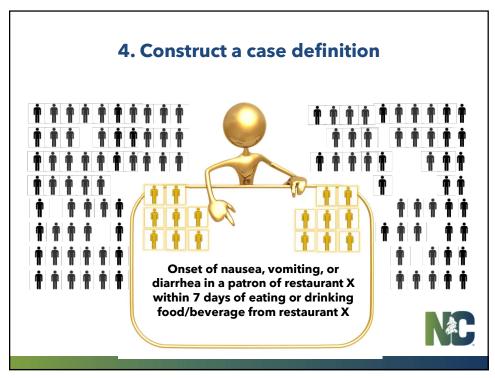
### 3 components:

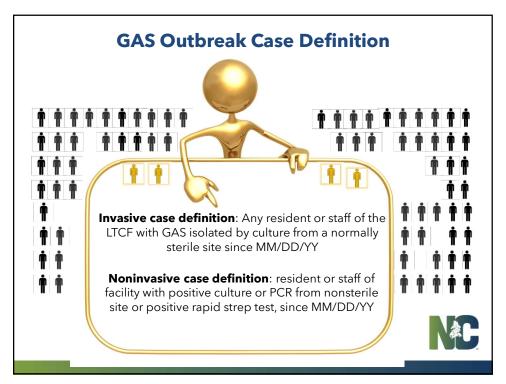
Person..... Type of illness, characteristics (e.g., "a person with...")

Place...... Location of suspected exposure

Time....... When exposure or illness occurred







# 5. Identify and count cases/develop line list6. Perform descriptive epi and hypothesize

- Identify additional cases through data and records
- Descriptive Epidemiology
  - -Provides systematic method
  - -Characterize, or describe what has occurred
  - -Person, place, time
- Components
  - -Line list
  - -Epi curve



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### **Descriptive Epidemiology**

 Person • Place

Line List

• Time

Epidemic curve ('Epi curve')



### **Line List**

- Method to systematically record information
- Simple to review, update, summarize
- Each row represents data for a single 'case'
- Information to include:
  - Identifying information
  - Demographics
  - Clinical- symptoms, specimen date and source, outcome
  - Exposure/risk factor



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# Example - GAS template line list | Demographics |

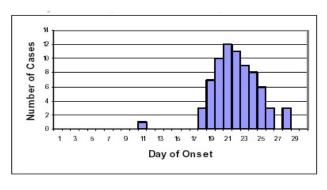
### **Epidemic 'Epi' Curve**

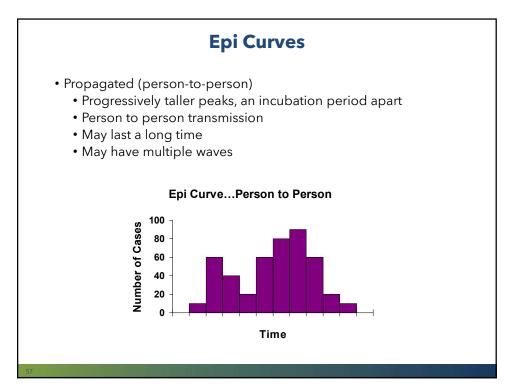
- A graphical representation of the number of outbreak cases by date of illness onset
- Visual representation of
  - Ill persons (cases) over time
  - Magnitude of outbreak
  - Number of cases on the vertical (y) axis
  - Time period (or date of illness onset) on the horizontal (x) axis
  - Type of outbreak
    - Point source
    - Propagated (person-to-person)

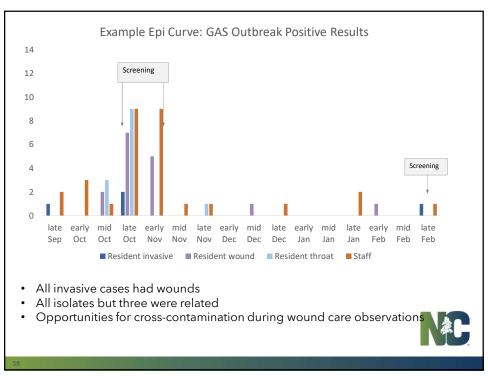
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### **Epi Curves**

- Point source
  - Common source outbreak
  - Sharp upward slope and a gradual downward slope
  - Period of exposure is brief
  - Cases occur within one incubation period







### 6. Develop hypotheses

- Statements which help us describe why and how the outbreak occurred (i.e., educated guess)
- · How do you generate hypotheses?
  - Review the existing body of knowledge
  - Examine line list, epi-curve
  - Conduct open-ended interviews with few case-patients



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### 7. Evaluating the Hypotheses

- Two methods:
  - Compare hypothesis with established facts
  - Perform additional studies (e.g., analytic)
    - Cohort or case-control
    - Assess exposures equally among ill and non-ill persons



### 8. Control Measures

- When should control measures be implemented immediately
  - Source is known
  - Continued risk of either exposing others or being exposed (e.g., HCW diverting injectable drugs)
- Control measures:
  - Are applied as soon as possible
  - May change during investigation
- Example GAS outbreak control measures
  - Screen residents and staff via cultures, treat all positives
  - III staff stay home from work
  - Appropriate precautions for ill residents
  - Refresher education for staff
  - IP observations and support
  - Masking during wound care; universal masking at onset
  - Halted admissions with wounds for ltd time period (d/t transmission not controlled)



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### 9. Communicate Findings

- Oral
  - · Internally with team
  - Externally to public, media, health care providers
- Written
  - Daily updates (e.g., Situation Reports)
  - Final outbreak report



### 10. Maintain Surveillance

- Evaluate / document effectiveness of control measures
- To ensure outbreak is over
- To ensure secondary outbreak is not occurring
- For many outbreaks, maintain surveillance for 2 average incubation periods following the last date of illness onset
- GAS outbreak surveillance- continues for 4 months following the last date of illness onset



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### **Conclusions**

- Epidemiologic investigations essential component of public health, present opportunities to:
  - Characterize diseases
  - Identify populations at risk
  - Evaluate programs, policies, or existing prevention strategies
  - Train public health staff
  - Educate the public
  - Fulfill legal obligations and duty of care for the public
- 10 steps provide systematic framework necessary to investigate any outbreak

### Resources

### MDROs

• CDC Strategies to Prevent and Contain MDROs https://www.cdc.gov/healthcare-associated-infections/php/preventing-mdros/index.html
• NCDHHS Healthcare-Associated Infections (HAIs)

https://epi.dph.ncdhhs.gov/cd/diseases/hai.html

### · Injection Safety

- CDC Preventing Unsafe Inject Practices <a href="https://www.cdc.gov/injection-safety/hcp/infection-control/index.html">https://www.cdc.gov/injection-safety/hcp/infection-control/index.html</a>
   CDC Project Firstline <a href="https://www.cdc.gov/project-firstline/index.html">https://www.cdc.gov/project-firstline/index.html</a>

### Antimicrobial Stewardship

- Be Antibiotics Aware Campaign
   https://epi.publichealth.nc.gov/cd/antibiotics/campaign.html
   NC DPH Antimicrobial Stewardship
   https://epi.publichealth.nc.gov/cd/antibiotics/stewardship.html
- NC DPH STAR Partners https://epi.publichealth.nc.gov/cd/antibiotics/star\_partners.html

### • Group A Strep in LTC (CDC resources)

- https://www.cdc.gov/group-a-strep/php/ltcf-toolkit/increased-risk.html
- https://www.cdc.gov/group-a-strep/php/ltcf-toolkit/transmission.html



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### Thank you!

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919-733-3419 (24/7 Epidemiologist On-Call)



