Virtual Tour of Central Processing William A. Rutala, Ph.D., M.P.H., C.I.C. Director, Statewide Program for Infection Control and Epidemiology and Professor of Medicine, University of North Carolina at Chapel Hill, NC, USA Former Director, Hospital Epidemiology, Occupational Health and Safety, UNC Health Care, Chapel Hill, NC



Reprocessing Reusable Medical Devices

Learning objective-understand the key steps in the sterilization process

- Point-of-use treatment
- Preparing dirty instruments for transport
- Cleaning and decontamination
- Inspection
- Packaging
- Sterilization

CDC Guideline for Disinfection and Sterilization

Rutala, Weber, HICPAC. November 2008. www.cdc.gov

Accessible version: https://www.cdc.gov/infection-control/hcp/disinfection-and-sterilization/index.html



Guideline for Disinfection and Sterilization in Healthcare Facilities, 2008

Update: June 2024

William A. Rutala, Ph.D., M.P.H.^{1,2}, David J. Weber, M.D., M.P.H.^{1,2}, and the Healthcare Infection Control Practices Advisory Committee (HICPAC)³

¹Hospital Epidemiology University of North Carolina Health Care System Chapel Hill, NC 27514

²Division of Infectious Diseases University of North Carolina School of Medicine Chapel Hill, NC 27599-7030

Medical/Surgical Devices

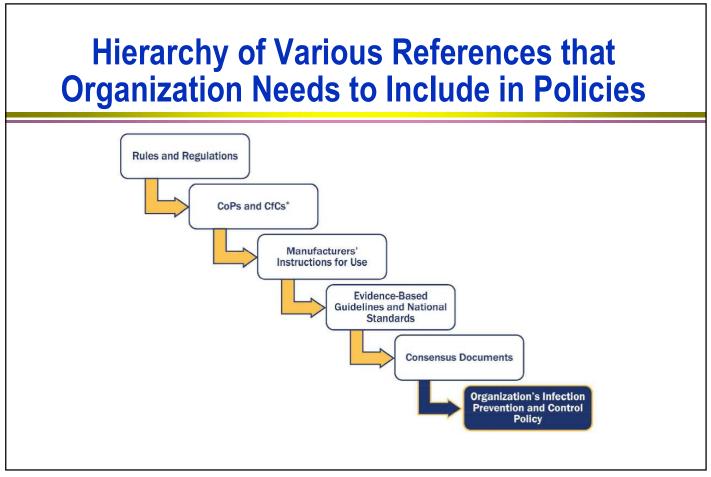
WA Rutala, DJ Weber, and HICPAC, www.cdc.gov

- EH Spaulding believed that how an object will be disinfected depended on the object's intended use (developed 1968).
- CRITICAL-medical/surgical devices which enter normally sterile tissue or the vascular system or through which blood flows should be sterile.
- SEMICRITICAL-medical devices that touch mucous membranes or skin that is not intact require a disinfection process (high-level disinfection [HLD]) that kills all microorganisms but high numbers of bacterial spores.
- NONCRITICAL-medical devices that touch only intact skin require low-level disinfection.

Clarifying Infection Control Policy Requirements-The Joint Commission

https://www.jointcommission.org/-/media/tjc/documents/resources/patient-safety-topics/infection-prevention-and-hai/ichierarchical-approach-to-scoring-standards-april-2019-perspectives.pdf

- JC standards and elements of performance written to allow each HCF determine methods and best practices
- TJC finding many HCF build policies on evidence-based guidelines alone
- TJC recommends HCF apply a hierarchical method to address the IC requirements
- The following graphic illustrates the hierarchy of various references organizations should use as they draft and/or revise their IC-related policies



Clarifying IC Policy Requirements (must comply with first three groups in illustration to comply with JC)

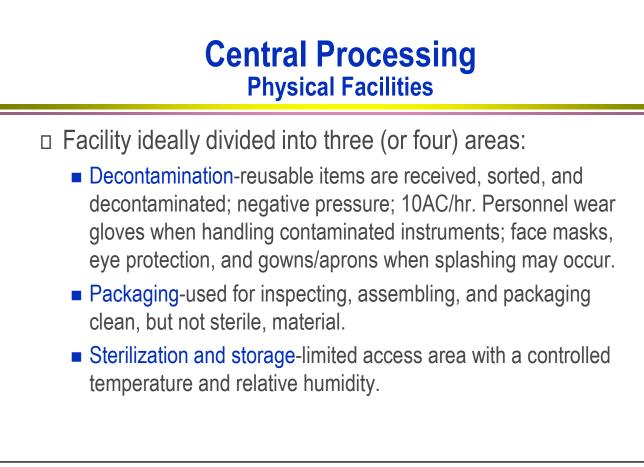
- Rules and regulations (e.g., BBP)
- CoPs and CfCs-CMS conditions of participation or conditions of coverage (CMS)
- Manufacturers Instructions for Use-deviation may result on biological, chemical or functional incompatibility
- Evidence-based guidelines (EBG) and National Standards. Organizations may choose to follow a variety of EBG (IDSA)
- **Consensus Documents (AAMI)**



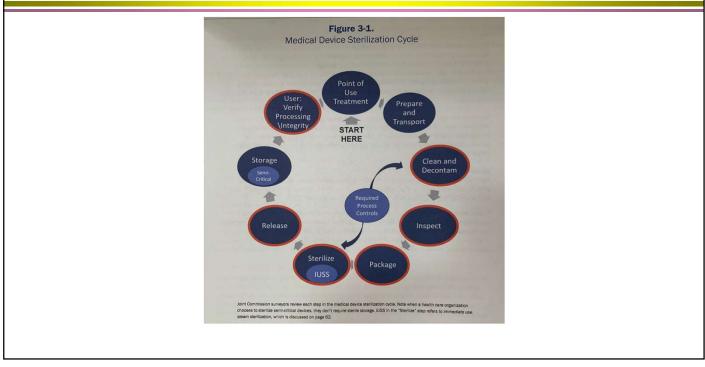
Central Processing

Goal

- Orderly processing of medical and surgical instruments to protect patients from infections while minimizing risks to staff and preserving the value of the items being reprocessed
- Ensure consistency of sterilization practices requires a comprehensive program that ensures operator competence and proper methods of cleaning and packaging instruments, loading the sterilizer, operating the sterilizer, and monitoring the entire process



Medical Device Reprocessing Cycle Garcia-Houchins, Olmsted. TJC Guide. 2023





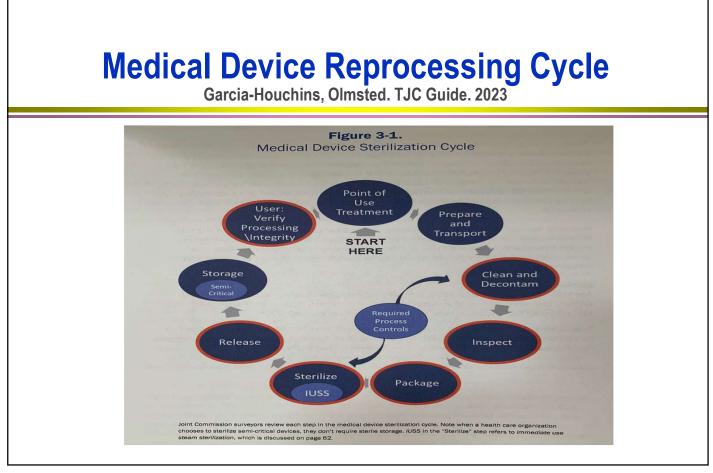
Point-of-Use (takes place at the procedure site)

□ May include (per MIFU)

- Flushing lumens with sterile water or wiping tissue and blood from instruments during the procedure to remove gross soil
- Placing items in transport product to prevent drying or start the breakdown of soil
- Options for keeping used instruments and devices moist
 - Applying or soaking devices in compatible spray, gel, foam or liquid detergent
 - Placing instruments in a container or bag designed to maintain moisture
 - Covering the devices with a cloth moistened with water

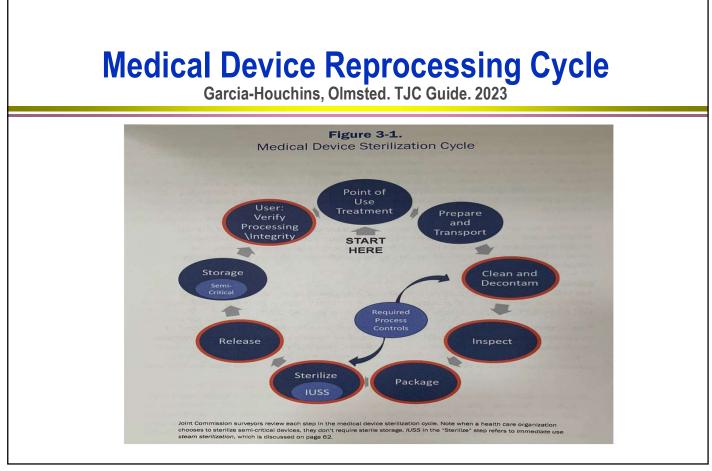
Pre-Cleaning

- Surgical instruments are pre-cleaned in the OR. Ideally, instruments should arrive in Central Processing free on visible contamination
- To keep instruments wet from OR to CP, many hospitals spray instruments with an enzymatic solution
- Wipe instruments clean and keep lumens flushed throughout surgery. Soiled instruments that will not be reused should be allowed to soak in a basin of sterile water for the remainder of the procedures
- Keep instruments moist (e.g., damp towel, foam) as it prevents hardening of soil



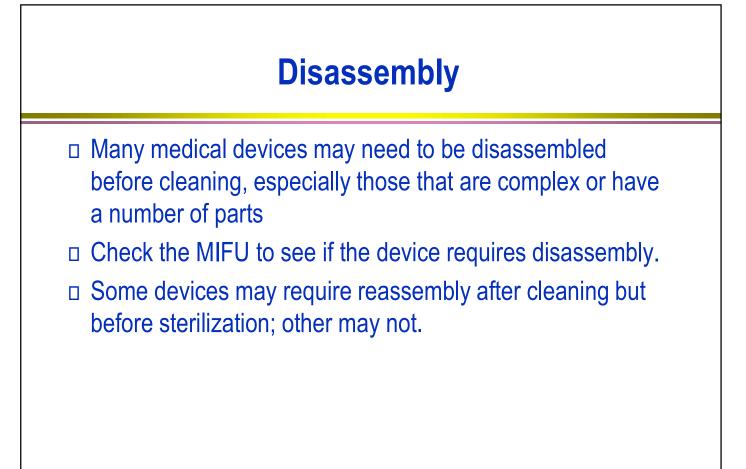


- □ Consider presence of sharps, distance, route, PPE
- Transport ASAP to the decontamination area
- Additional steps may be necessary if transporting the devices off-site for reprocessing



Cleaning/Decontamination

- Cleaning is defined as the process to physically remove organic material, such as blood, secretions, excretions, and microorganisms to prepare the medical device for decontamination
- Decontamination refers to the use of physical or chemical means to remove, inactivate or destroy BBP on a surface or item so it is no longer capable of transmitting disease and rendered safe for handling. Decontamination area allows work to flow from dirty to clean, sinks, countertops, airflow, temp, RH





Cleaning

- Items must be cleaned using water with detergents or enzymatic cleaners before processing.
- Cleaning reduces the bioburden and removes foreign material (organic residue and inorganic salts) that interferes with the sterilization process.
- Cleaning and decontamination should be done as soon as possible after the items have been used as soiled materials become dried onto the instruments.

Efficacy of Disinfection/Sterilization Influencing Factors

Cleaning of the object

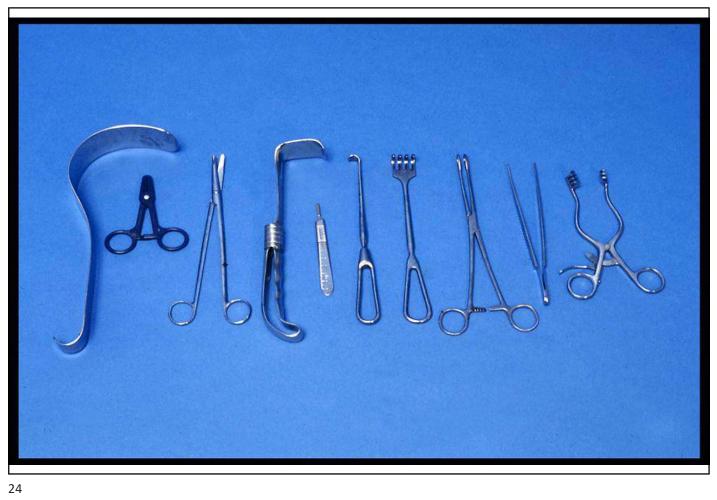
Organic and inorganic load present

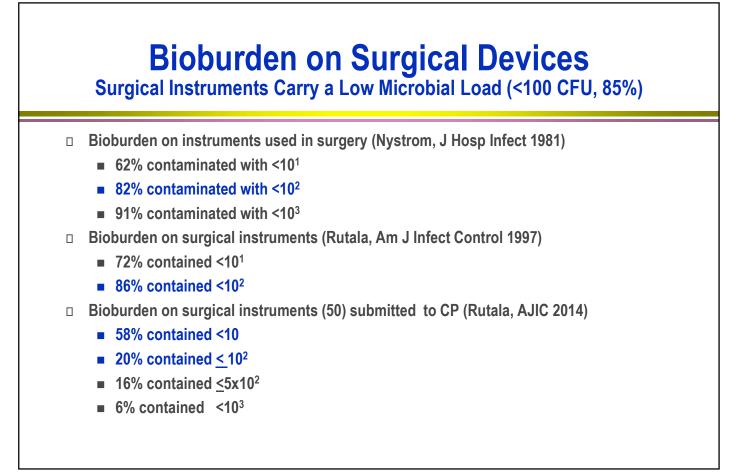
Type and level of microbial contamination

Concentration of and exposure time to disinfectant/sterilant

Nature of the object

Temperature and relative humidity





Cleaning

- Mechanical cleaning machines-automated equipment may increase productivity, improve cleaning effectiveness, and decrease worker exposure
 - Utensil washer-sanitizer
 - Ultrasonic cleaner
 - Washer sterilizer
 - Dishwasher
 - Washer disinfector

□ Manual





Washer-Disinfector

Rutala WA, Gergen MF, Weber DJ. ICHE 2014;35:883-885

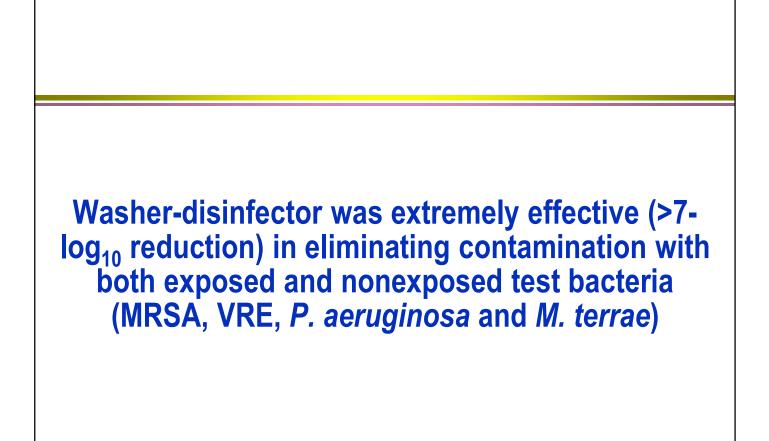
- Five Chambers (Reliance 777 automated multichamber washerdisinfector was used). The programmed cycle included 5 phases.
 - Pre-wash: water/enzymatic is circulated over the load for 1 min
 - Wash: detergent wash solution (150°F) is sprayed over load for 4 min
 - Ultrasonic cleaning: basket is lowered into ultrasonic cleaning tank with detergent for 4 min
 - Thermal and lubricant rinse: hot water (180-200°F) is sprayed over load for 1 min; instrument milk lubricant is added to the water and is sprayed over the load
 - Drying: blower starts for 4 min and temperature in drying chamber at 180-240°F



Washer-Disinfector

Physical Removal/Thermal Inactivation of Inoculum (Exposed) on Instruments Rutala WA, Gergen MF, Weber DJ. ICHE 2014;35:883-885

WD Conditions	Organism	Inoculum	Log Reduction	Positives
Routine	MRSA	2.6x10 ⁷	Complete	0/8
Routine	VRE	2.6x10 ⁷	Complete	0/8
Routine	P aeruginosa	2.1x10 ⁷	Complete	0/8
Routine	M terrae	1.4x10 ⁸ (8.1)	7.8	2/8
Routine	GS spores	5.3x10 ⁶ (6.7)	4.8	11/14
No Enz/Det	VRE	2.5x10 ⁷	Complete	0/10
No Enz/Det	GS spores	8.3x10 ⁶ (6.9)	5.5	8/10

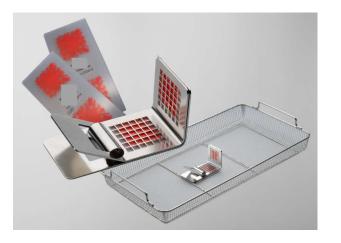




- AAMI recommends incorporating test methods that verify the functionality of the automated washer
- Washer indicators have been in use in Europe and Canada and some US hospitals
- Washer indicators are chemical indicators imprinted with a dried test soil formula and a dye.

Cleaning Indicators for Washer-Disinfectors

- Verify cleaning process
- Monitor the automated washer and instrument cleaning chemistry functionality at least weekly (preferably daily)
- Complete soil removal of the dried test soil pattern is a "pass"
- Indicator includes proteins, lipids, and polysaccharides to mimic common challenging test soils

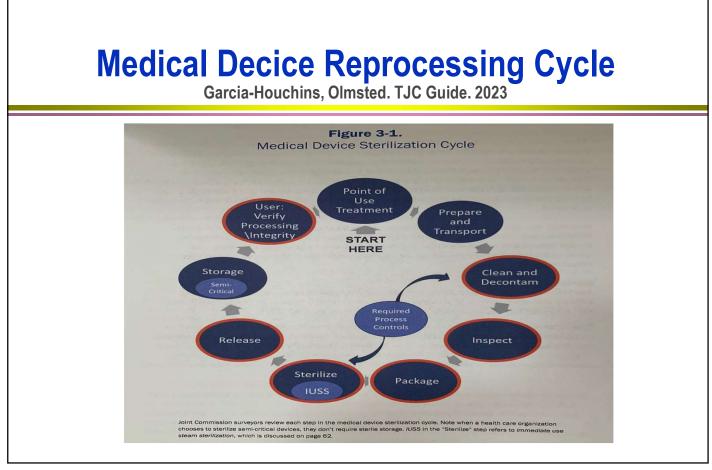


IS THERE A STANDARD TO DEFINE WHEN A DEVICE IS CLEAN?

- There is currently no standard to define when a device is "clean", cleanliness controlled by visual
- Potential methods: level of detectable bacteria; protein (6µg/cm²) based on Bradford's reagent or ninhydrin; endotoxin; ATP; lipid; carbohydrate; hemoglobin
- This is due in part to the fact that no universally accepted test soils to evaluate cleaning efficiency and no standard procedure for measuring cleaning efficiency
- At a minimum, a cleaning process should: reduce the natural bioburden; remove organic/inorganic contaminants; provide devices that when sterilized have a SAL 10⁻⁶

Cleaning Verification Tests AAMI Summit September 2023

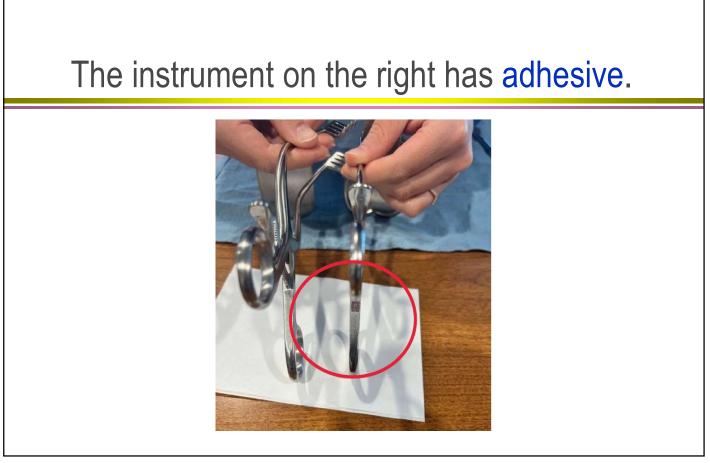
- □ No cleaning verification products cleared by FDA
- □ Tests criteria-easy-to-perform (e.g., go-no go color change), rapid, sensitive, accurate, robust, relevant, not damaging
- □ Options:
 - Protein <6.4µg/cm²
 - Carbohydrate <1.8µg/cm²
 - Hemoglobin <2.2µg/cm²





- The identification and naming of defects on surgical instruments is not consistent among healthcare providers
- This can lead to varied assessment on when an instrument or tray is safe for use
- A multi-disciplinary group from surgical services, OR, infection control should create a uniform instrument defect glossary
- A specialty group should identify representative defects (if present) and what subsequent actions would be with regards to the instrument and the tray if the defect were seen in the OR



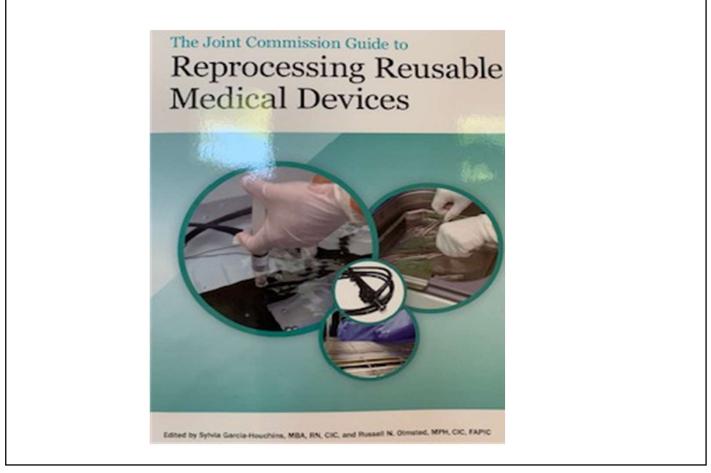


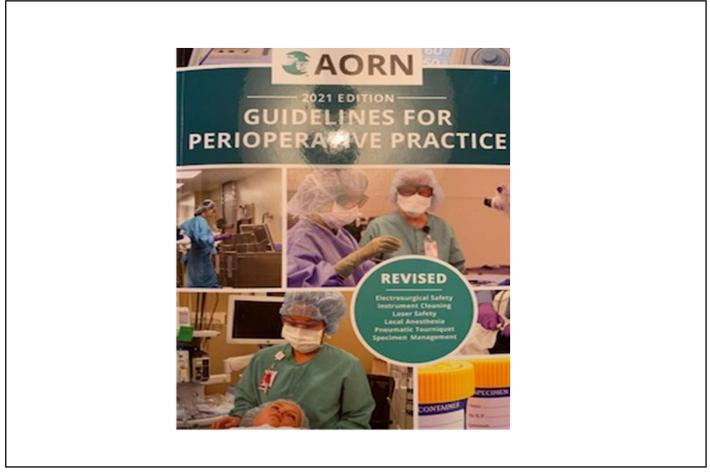
This is bioburden.



Inspection Recommendation-A multi-disciplinary group from surgical services, OR, infection control will create a uniform instrument defect glossary using organization guidance (TJC, AORN)

Name (what was identified)	Action staff member will take	Example	Standard
Water spots that are clear and cannot be removed with a	Instrument and tray can be used	B22.84	No AORN or AAMI standard.
gloved hand		This is warry by here of the second s	Consensus of group (1)





- Instruments and devices in disrepair or with compromised surfaces such as oxidation, pitting, rusting, cracking or damage from instrument marking, may not be able to be effectively sterilized
- Damaged, flaking or chipped instrument identification tape may be left behind in a wound as a foreign body
- In addition, instruments with structural damage may not function as intended

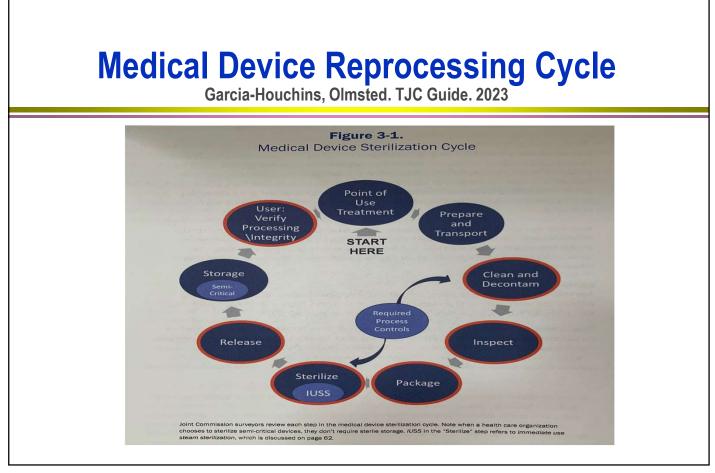
- Scissor blades or forceps tips or teeth may be misaligned or ratchet performance affected
- Adequate lighting and magnification equipment can aid the inspection process
- Should be process for accessing maintenance/refurbishing resources so staff is empowered to take instruments out of circulation
- Stained instruments may indicate a problem with process such as detergent residues, salts and other elements, poor water or steam quality or lack of sterilizer/washer maintenance

- Scissor blades or forceps tips or teeth may be misaligned or ratchet performance affected
- Adequate lighting and magnification equipment can aid the inspection process
- Should be process for accessing maintenance/refurbishing resources so staff is empowered to take instruments out of circulation
- Stained instruments may indicate a problem with process such as detergent residues, salts and other elements, poor water or steam quality or lack of sterilizer/washer maintenance

AORN Guidance

AORN Guideline for Perioperative Practice. 2021

- □ **6.2.4.** When an item or items are found to be contaminated, take the following corrective actions, at a minimum:
 - remove the contaminated item(s),
 - remove any other items that may have come in contact with the contaminated item(s),
 - change the gloves of any team member who may have touched the contaminated item(s), and
 - take any additional corrective actions required after thoughtful assessment and informed decision making based on the specific factors associated with the individual event.



Packaging

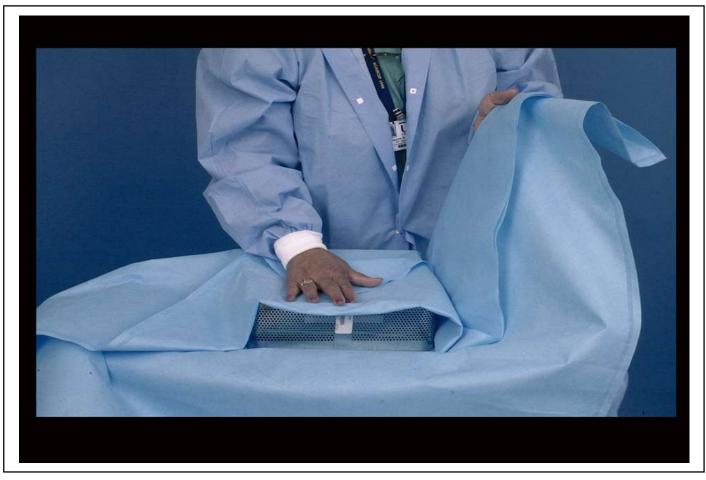
- Once items are cleaned, dried, and inspected, items are wrapped or placed in a rigid container
- Arranged in tray/basket according to guidelines
 - Hinged instruments opened
 - Items with removable parts should be disassembled
 - Heavy items positioned not to damage delicate items
- Several choices to maintain sterility of instruments: rigid containers, peel pouched; sterilization wraps

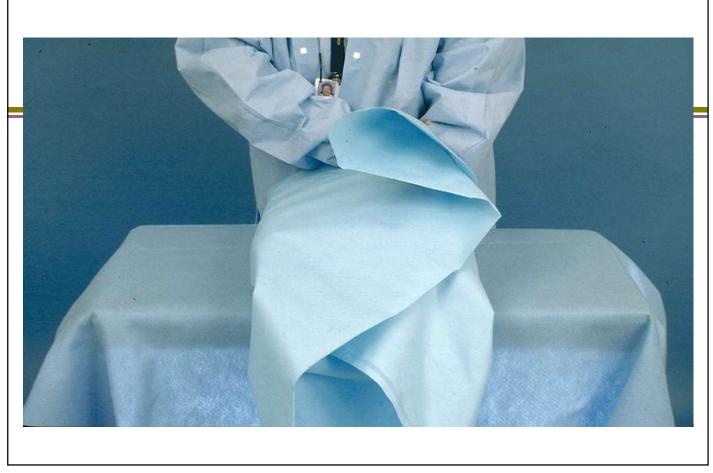
Packaging Sterilization Wraps

□ An effective sterilization wrap would:

- Allow penetration of the sterilant
- Provide an effective barrier to microbial penetration
- Maintain the sterility of the processed item after sterilization
- Puncture resistant and flexible
- Drapeable and easy to use

Multiple layers are still common practice due to the rigors of handling







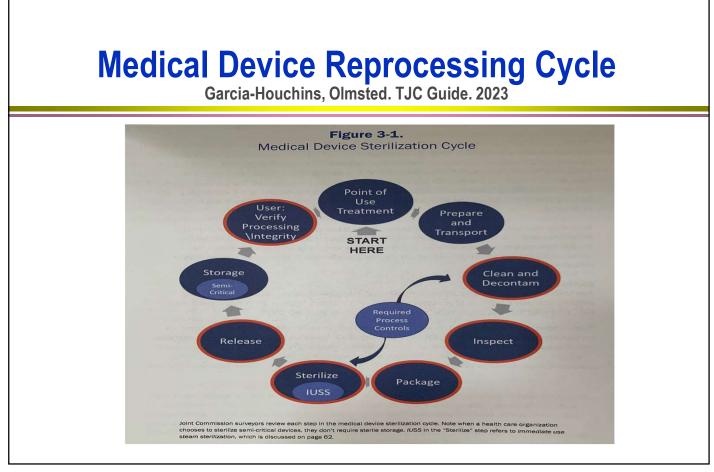


Loading

- All items to be sterilized should be arranged so all surfaces will be directly exposed to the sterilizing agent
- □ Other basic principles:
 - Allow for proper steam circulation
 - Nonperforated containers should be placed on their edge
 - Peel packs should be placed on edge

Packaging/Load Configuration

- Packaging materials should be compatible with the sterilization process
- Packaging (rigid containers, peel pouches, wraps) should provide a barrier to microorganisms and moisture and should be sufficiently strong to resist punctures and tears.
- Items should be placed loosely into the basket, shelf, or cart so as not to impede contact between the sterilant and the microorganism.





Sterilization Complete elimination or destruction of all forms of microbial life. It is accomplished by physical or chemical processes.



Heat resistant

Steam sterilization

Heat sensitive

- Ethylene oxide
- Hydrogen peroxide gas plasma
- Ozone and hydrogen peroxide
- Vaporized hydrogen peroxide

Steam Sterilization

Rutala, Weber AJIC 2019;47:A3-A9

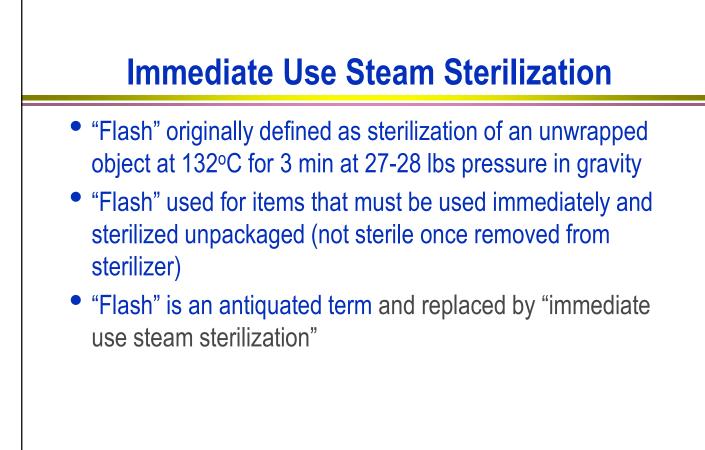
- Advantages
 - Non-toxic
 - Cycle easy to control and monitor
 - Inexpensive
 - Rapidly microbicidal
 - Least affected by organic/inorganic soils
 - Rapid cycle time
 - Penetrates medical packing, device lumens
- Disadvantages
 - Deleterious for heat labile instruments
 - Potential for burns

Minimum Steam Sterilization Times

Time at 132°C in Prevacuum Sterilizer

Rutala, Weber, HICPAC. November 2008. www.cdc.gov

Item	Minimum exposure	Minimum drying time
Wrapped instruments	4 min	30 min
Textile packs	4 min	5 min



Immediate Use Steam Sterilization

- "Immediate Use" is defined as the shortest possible time between a sterilized item's removal from sterilizer and aseptic transfer to sterile field; now same time-temp (132°C, 4min)
- The same critical reprocessing steps (such as cleaning, decontaminating, and transporting) must be followed
- A sterilized item intended for immediate use is not stored for future use.
- Sterilization process monitoring is essential
- Instruments inventories should be adequate to meet surgical volumes and permit the time to complete all critical elements of reprocessing



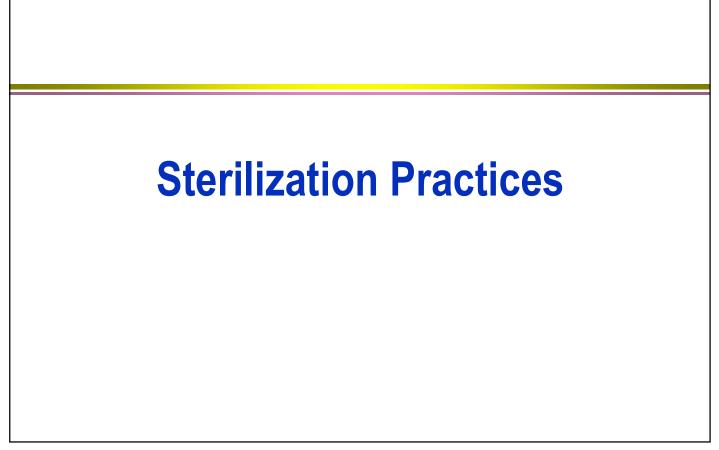


Heat resistant

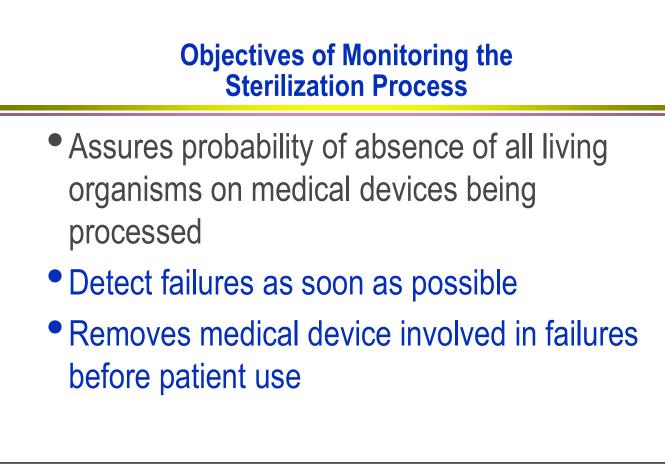
- Steam sterilization
- Heat sensitive
- Ethylene oxide
- Hydrogen peroxide gas plasma
- Ozone and hydrogen peroxide
- Vaporized hydrogen peroxide

Conclusions

- All sterilization processes effective in killing spores
- Cleaning removes salts and proteins and must precede sterilization
- Failure to clean or ensure exposure of microorganisms to sterilant (e.g. connectors) could affect effectiveness of sterilization process

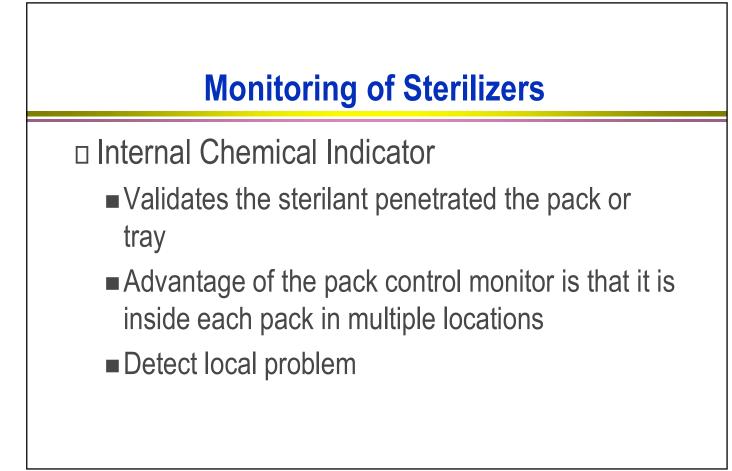


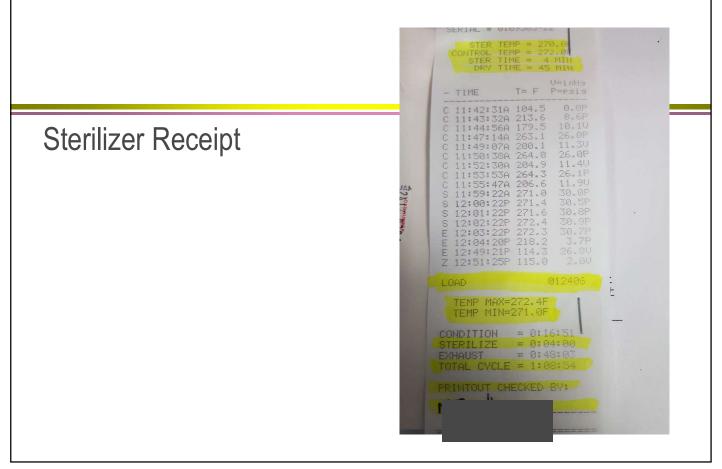
Sterilization Monitored Provideline 2008. www.cdc.gov Sterilization monitored routinely by combination of physical, chemical, and biological parameters Physical - cycle time, temperature, pressure Chemical - heat or chemical sensitive inks that change color when germicidal-related parameters present Biological - Bacillus spores that directly measure sterilization



Monitoring of Sterilizers

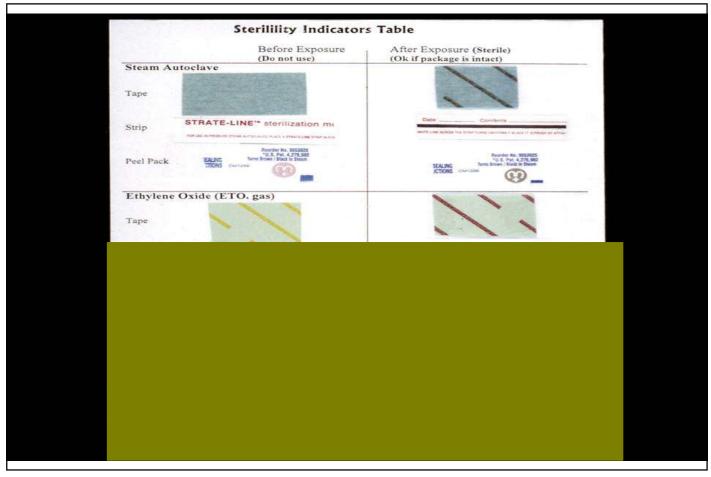
- Use physical, chemical and biological monitors to ensure the effectiveness of the sterilization process
- Each load should be monitored with physical and chemical indicators
- If the physical, chemical or biological indicators suggest inadequate processing, the items should not be used
- Biological indicators should be used at least weekly with spores intended specifically for the type of sterilizer





Six Classes of Indicators Are Recognized by International Organization of Standards (ISO)

Table 2. Che	emical Indicator Classifications
Class I Process indicators	Process indicators are attached to or printed on the outside of all packs to discern which packages have been processed from those that have not been processed in a sterilizer.
Class 2 Bowie-Dick test	The Bowie-Dick test is used to reveal the pass/fail rate in dynamic air removal steam sterilizers. This Class 2 chemical indicator should be used in an empty chamber daily, preferably before any loads are processed at the beginning of the day.
Class 3 Single parameter indicator	The single parameter chemical indicator is placed inside each pack- age and provides data on time or temperature, revealing if one of these sterilization parameters has been met during a cycle.
Class 4 Multi-parameter indicators	Multiparameter indicators react to two or more sterilization parameters, such as time and temperature or time and pressure.
Class 5 Integrating indicators	React to all critical parameters of sterilization cycle over a range of temperatures; performance must equal that of the biological indicators.
Class 6 Emulating indicators	Cycle specific; react to all critical parameters for a specified steril- ization level; used at the pack/tray level.

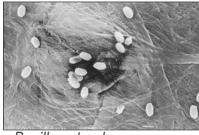






Biological Indicators

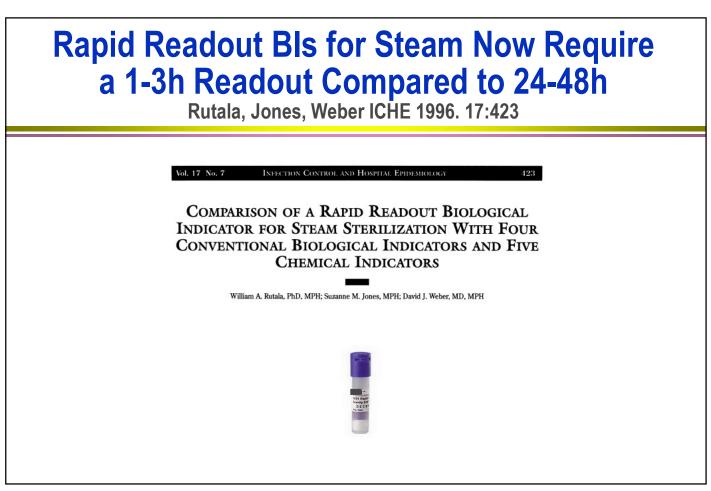
- Select BIs that contain spores of Bacillus atrophaeus
 - Rationale: BIs are the only sterilization process monitoring device that provides a direct measure of the lethality of the process



Bacillus atrophaeus

Biological Monitors Rutala, Weber, CDC Guideline 2008. www.cdc.gov

- Steam Geobacillus stearothermophilus
- Dry heat B. atrophaeus (formerly B. subtilis)
- ETO B. atrophaeus
- New low temperature sterilization technologies
 - HP gas plasma *G. stearothermophilus*
 - HP/Ozone -G. stearothermophilus
 - VHP- G. stearothermophilus



Super Rapid Readout Biological Indicators Commercially available

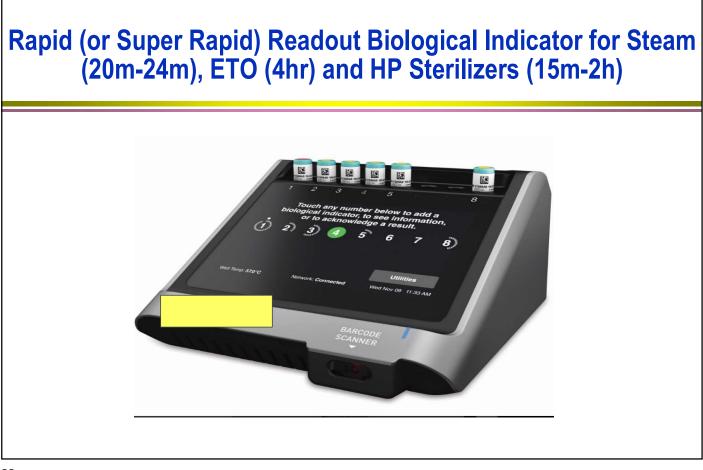


1491 BI (blue cap)
Monitors 270°F and 275°F gravity –displacement steam sterilization cycles

• 24-minute result



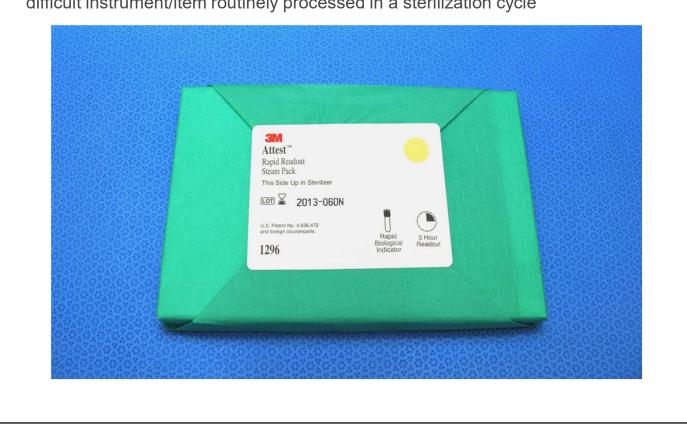
1492V BI (brown cap)
Monitors 270°F and 275°F
dynamic-air-removal (pre-vacuum, SFPP-steam flush pressure pulse)
steam sterilization cycles
24-minute result



Vaporized Hydrogen Peroxide (VHP) Biological Indicator Options (all G. stearothermophilus) Refer to BI manufacturer's IFU for cycles the BI is cleared for

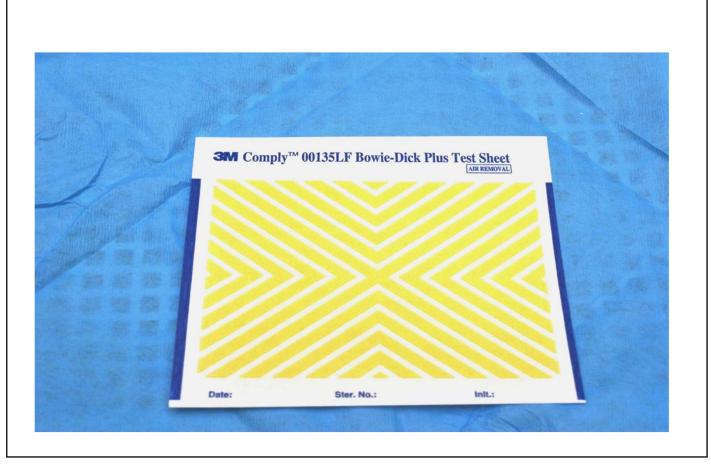
VHP read out time	Number of cleared biological indicators
2 hours	1
30 minutes	2
24 minutes	1
20 minutes	1
15 minutes	1





Process challenge device simulates an equal or greater challenge than the most difficult instrument/item routinely processed in a sterilization cycle







Recommendations Monitoring of Sterilizers Rutala, Weber, CDC Guideline 2008. www.cdc.gov

- Monitor each load with physical and chemical (internal and external) indicators.
- Use biological indicators to monitor effectiveness of sterilizers at least weekly with spores intended for the type of sterilizer.
- Use biological indicators for every load containing implantable items

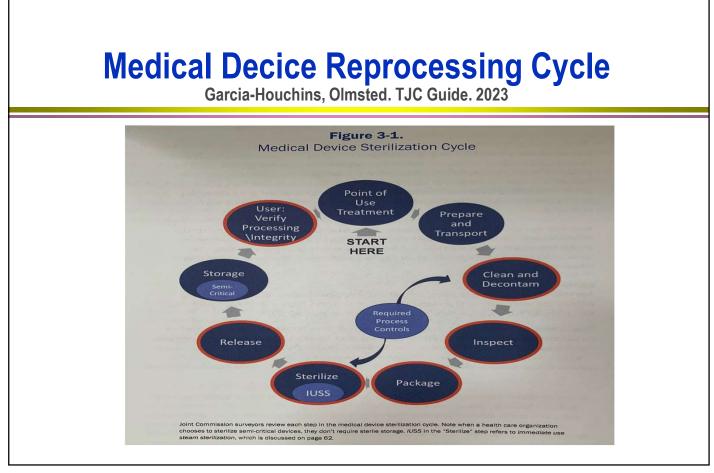
Recommendations Monitoring of Sterilizers Rutala, Weber, CDC Guideline 2008. www.cdc.gov

- Following a single positive biological indicator used with a method other than steam, treat as non-sterile all items that have been processed in that sterilizer, dating back to last negative biological indicator.
- Following a positive biological indicator with steam sterilization, objects, other than implantable objects, do not need to be recalled because of a single positive spore test unless the sterilizer or procedure is defective or inappropriate cycle settings. If additional spore tests remain positive, consider the items nonsterile and recall and reprocess the items from the suspect load.

Recommendations Methods of Sterilization

Rutala, Weber, CDC Guideline 2008. www.cdc.gov

- Steam is preferred for critical items not damaged by heat
- Follow the operating parameters recommended by the manufacturer
- Use low temperature sterilization technologies for reprocessing critical items damaged by heat
- Use immediately critical items that have been sterilized by peracetic acid immersion process (no long term storage)







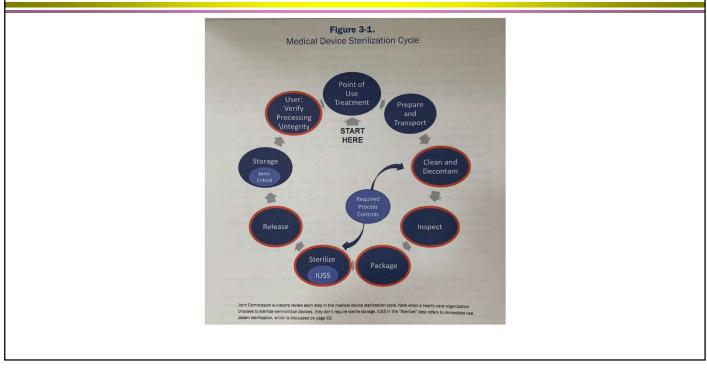
Storage

- Time-related shelf life-safe storage times for sterile packs vary with the porosity of the wrapper and storage conditions (e.g., 1 year, plastic wrapped pack)
- Event-related shelf life-product remains sterile until some event causes the item to become contaminated (e.g., tear in packaging, packaging become wet)
- Closed or covered cabinets are ideal but open shelving may be used for storage

Storage of Sterile Items

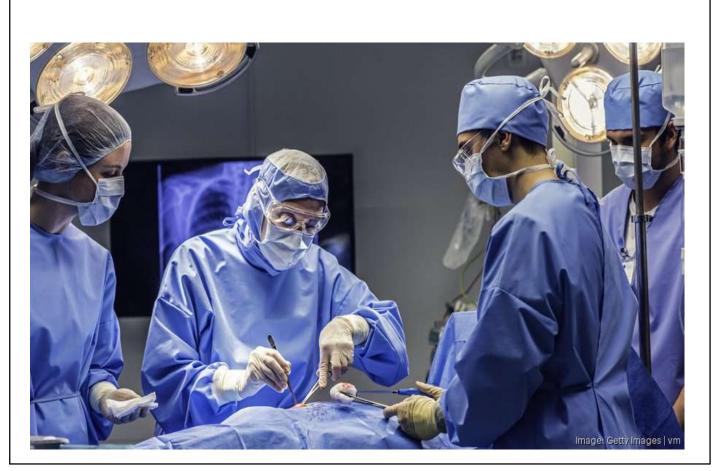
- Event-related shelf life recognizes that the product remains sterile until an event causes it to become contaminated (e.g., tear, wetness). Packages should be evaluated before use for lose of integrity.
- Time-related shelf life (less common) considers items remain sterile for varying periods depending on the type of material used to wrap the item/tray. Once the expiration date is exceeded the pack should be reprocessed.

Medical Decice Reprocessing Cycle Garcia-Houchins, Olmsted. TJC Guide. 2023



Storage of Sterile Items

- Sterile storage area should be well-ventilated area that provides protection against dust, moisture, and temperature and humidity extremes.
- Sterile items should be stored so that packaging is not compromised
- Sterilized items should be labeled with a load number that indicates the sterilizer used, the cycle or load number, the date of sterilization, and the expiration date (if applicable)





Detection of Surgical Wrap Defects in the OR Kelly et al. Orthopedics. doi: 10.3928/01477447-20211001-15



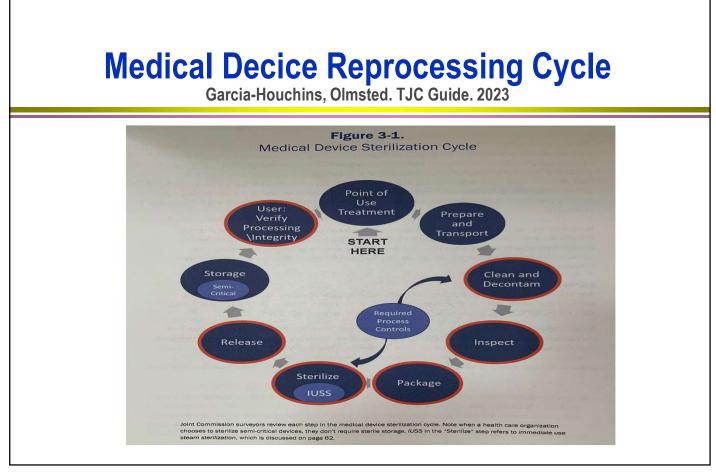
Figure 2: Photograph of a demonstration of the screening process for wrap perforations as performed by operating room staff.

Defects in wraps missed at very high rate, which could lead to contamination.

Detection of Surgical Wrap Defects Based on Years of Experience of Operating Room Staff					
Detection rate	Operating room staff >1 y experience	Operating room staff <1 y experience	Р		
Overall score correct	67%	60.5%	.025		
Detection rate for all holes	56%	48%	.039		
Detection rate for small holes (1.2 mm)	2%	4%	.355		
Detection rate for medium holes (3.7 mm)	81%	65%	.045		
Detection rate for large holes (6.8 mm)	85%	75%	.074		
Detection rate for wraps without defects	100%	98%	.168		

Quality Control

- Provide comprehensive and intensive training for all staff assigned to reprocess medical/surgical instruments
- □ To achieve and maintain competency, staff should:
 - hands-on training
 - all work supervised until competency is documented
 - competency testing should be conducted at commencement of employment and regularly
 - review written reprocessing instructions to ensure compliance



Conclusions

- □ All sterilization processes effective in killing spores
- Cleaning removes salts and proteins and must precede sterilization
- Delivery of sterile products for use in patient care depends not only on the effectiveness of the sterilization process but also on cleaning, disassembling and packaging of the device, loading the sterilizer, and monitoring



References

- Rutala WA, Weber DJ. Disinfection and sterilization in health care facilities: An overview and current issues. Infect Dis Clin North Am 2016;30:609-637.
- Rutala WA and DJ Weber. CDC Guideline for disinfection and sterilization in healthcare facilities. 2008. www.cdc.gov
- Rutala WA, Weber DJ. 2016. Disinfection, sterilization and antisepsis: an overview. Am J Infect Control. 44:e1-e6.
- Rutala WA, Gergen MF, Weber DJ. Efficacy of a washer-disinfector in eliminating healthcare-associated pathogens from surgical instruments. Infect Control Hosp Epidemiol. 2014;35:883-885.

References

- □ Garcia-Houchins, Olmsted. The Joint Commission guide to reprocessing reusable medical devices. 2023
- □ AORN Guideline for Perioperative Practice. 2021
- Rutala, Weber. Disinfection, sterilization and antisepsis: An overview. AJIC. 2023;51:A3-A12
- Rutala, Weber, HIPAC. Disinfection and sterilization in health care facilities.
- <u>https://www.cdc.gov/infection-control/hcp/disinfection-and-sterilization/index.htm</u>