

Definitions and Surveillance for Healthcare Associated Infections (HAIs) in Long-term Care

Evelyn Cook, RN, CIC Associate Director SPICE



Τ

How confident are you that your facility has a strong infection prevention program that includes all the necessary elements?

- A. Completely confident
- B. Somewhat confident
- C. Not confident
- D. Have NO idea

SPICE

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Do you believe you have the skills and the qualifications to oversee the infection prevention program?

- A. Yes
- B. No
- C. No way; No how

SPICE

If you wanted to compare your IP surveillance data to another NH in your community that cared for a similar resident population, how confident are you that events will be tracked the same way?

- A. Very confident
- B. Slightly confident
- C. Not confident at all
- D. Not sure if I can compare my own data from one year to the next

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What standardized definition does your facility use for surveillance?

- A. National Healthcare Safety Network (NHSN)
- B. Revised McGeer Definitions
- C. Loeb Criteria
- D. When the physician documents an infection
- E. No standardized criteria
- F. A and B

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DISCUSS

The importance of surveillance definitions

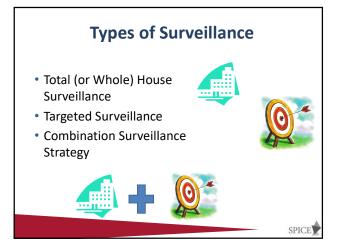
Standardized surveillance definitions

Ways to implement and apply surveillance definitions

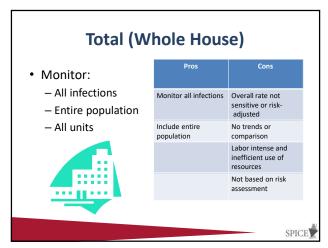
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- "Surveillance is a comprehensive method of measuring outcomes and related processes of care, analyzing the data, and pro to assist in improving those outcomes and processes (APIC Text)

· One of the most information to members of the healthcare team important aspects of an IP's responsibilities "Surveillance system must include "routine, ongoing, and systematic collection, analysis, interpretation, and dissemination of surveillance data to identify infections (i.e., HAI and communicable-acquired), infection risks, communicable disease outbreaks and to maintain or improve resident health status:" (CMS 8/24) · Should cover residents, staff, contractors (in the facility) and visitors · Include process and outcome measures Required as a Component of SPICE



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Rationale for Conducting

Surveillance

Establish Baseline Data Reduce Infection Rates

Detection of Outbreaks

Monitor Effectiveness of

Interventions

Education of HCP

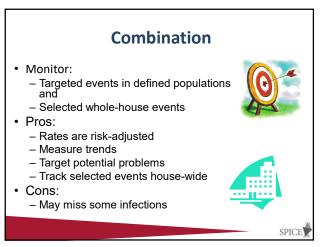
Plan

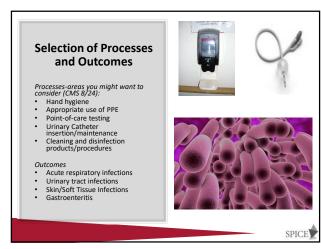
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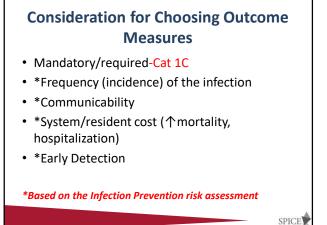
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| • Focus on: | |
|---|---------------|
| - Care units | |
| Infections related to devices | |
| Invasive procedures | W again and A |
| Significant organisms – epidemiologically important | |
| High-risk, high-volume procedul | res |
| Infections having known risk reduction methods | |

Targeted Surveillance Risk-adjusted rates May miss some infections Can measure trends and make Limited information on endemic rates comparisons More efficient use of resources Can target potential problems Identify performance improvement opportunities Can evaluate effectiveness of prevention SPICE)







Should be included in routine surveillance

Surveillance

Points to Consider

Evidence of transmissibility in a healthcare setting conjunctivitis

Processes available to prevent acquisition of infection, I.e., HH compliance

Clinically significant cause of morbidity or mortality
or mortality

Specific pathogens causing serious outbreaks under the processes available to prevent acquisition of infection, I.e., HH compliance

Clinically significant cause of morbidity or mortality
or mortality

Specific pathogens causing serious outbreaks under the prevent of the prevent of

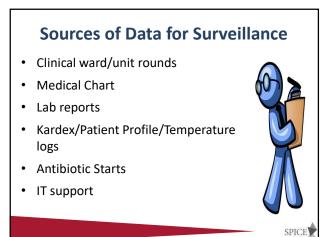
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| Points to Consider | Infections | Comments |
|---|---|--|
| Infections with limited transmissibility in a healthcare settings | Ear and sinus infections, fungal oral and skin infections and herpetic skin infections | Associated with underlying comorbid conditions and reactivation of endogenou infection |
| Infections with limited preventability | | |

| Points to Consider | Infections | Comments |
|--|---|---|
| Infections with other accepted definitions (may apply to only specific at-risk residents) | Surgical site infections, central-line- associated bloodstream infections and ventilator-associated pneumonia | LTCF-specific definitions were not developed. Refe to the National Healthcar Safety Network's criteria |

17 18



Surveillance · The facility's surveillance system must include a data collection tool and the use of nationally-recognized surveillance criteria such as but not limited to, the CDC's National Healthcare Safety Network (NHSN) Long Term Care Criteria to define infections or revised McGeer criteria

> **State Operations Manual** Appendix PP - Guidance to Surveyors for Long Term Care Facilities Table of Contents (Rev. 08-2024)

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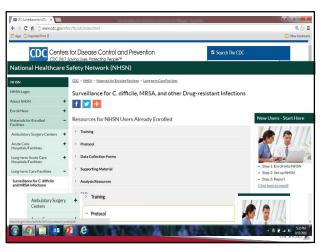
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SPICE

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Minimum Criteria for Initiation of Antibiotics in Long-Term Care Residents **Suspected Urinary Tract Infection** f Minimum Criteria for the Initiation of Antibiotics in Residents of Long-Term Care Facilities: Results of a Consensus Conference. Inf Control Hosp Epi. 2001



Purposes of NHSN SPICE

23 24



Attribution of infection to LTCF

- No evidence of an incubating infection at the time of admission to the facility
 - Basis of clinical documentation of appropriate signs and symptoms and not solely on screening microbiologic data
- Onset of clinical manifestation occurs > 2 calendar days after admission.

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Attribution of infection to LTCF

- All symptoms must be new or acutely worse
- Non-infectious causes of signs and symptoms should always be considered prior to diagnosis
- Identification of an infection should not be based on a single piece of evidence
 - Clinical, microbiologic, radiologic
- · Diagnosis by physician insufficient (based on definition)

SPICE

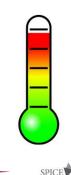
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Constitutional Requirements

Fever:

- A single oral temperature >37.8°C [100°F], OR
- Repeated oral temperatures >37.2°C [99°F]; rectal temperature >37.5° (99.5°F) OR
- >1.1°C [2°F] over baseline from a temperature taken at any site



Constitutional Requirements

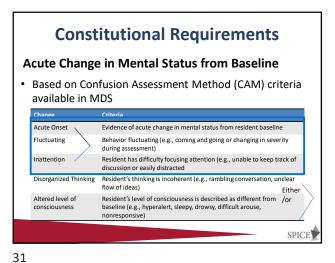
Leukocytosis

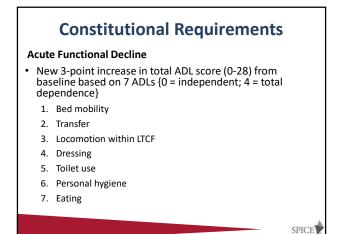
• Neutrophilia > 14000 WBC/mm³

OR

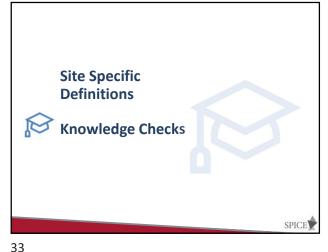
· Left shift (>6% bands or ≥1500 bands/mm³)



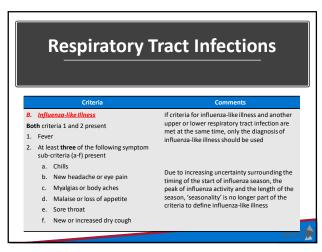




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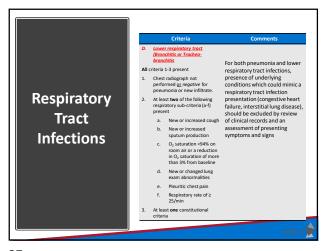


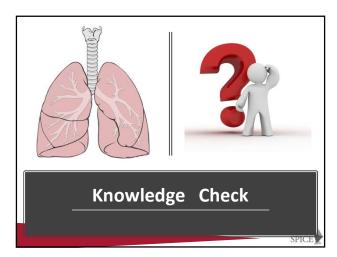
Respiratory Tract Infections A. Common cold syndrome/pharyngitis Fever may or may not be present. Symptoms must be new, and not At least **two** criteria present attributable to allergies 1. Runny nose or sneezing 2. Stuffy nose (i.e., congestion) 3. Sore throat or hoarseness or difficulty swallowing 4. Dry cough 5. Swollen or tender glands in neck

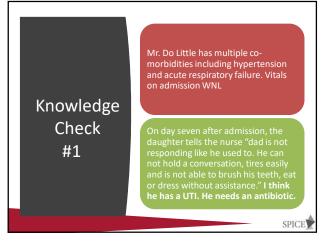


All criteria 1-3 present For both pneumonia and lower Interpretation of chest radiograph as demonstr pneumonia or the prese new infiltrate For both pneumonia and lower respiratory tract infections, presence of underlying conditions which could mimic a respiratory tract infection presentation (congestive heart failure, interstital lung disease), should be excluded by review of clinical records and assessment of presenting symptoms and signs Respiratory **Tract** New or increased sputum production **Infections** O₂ saturation <94% on room air or a reduction in O₂ saturation of more than 3% from baseline New or changed lung exam abnormalities Pleuritic chest pain Respiratory rate of ≥ 25/min At least one constitutional SPICE

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Physical exam:

• Temp 100.7, pulse 107, RR 26 and 02 sat 93%

• Ronchi noted on auscultation of the chest the resident is confused

MD notified and orders urine and chest x-ray

Results:

• Culture + E. coli 10² cfu/ml and
• chest x-ray: no new findings

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Does Mr. Do Little have an infection?
Yes
No
Have no idea

What surveillance criteria are met?

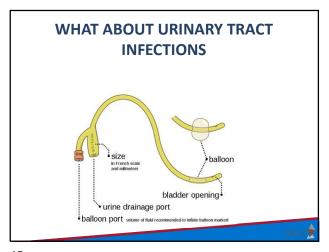
A. Common Cold
B. Pneumonia
C. Urinary tract infection
D. Lower respiratory track

41 42



Respiratory Tract Infections D. Lower respiratory tract (Bronchitis or Tracheo-bronchitis For both pneumonia and lower respiratory All criteria 1-3 present 1. Chest radiograph not performed <u>or negative</u> tract infections, presence of underlying for pneumonia or new infiltrate. conditions which could mimic a respiratory tract infection presentation (congestive heart 2. At least two of the following respiratory subcriteria (a-f) present failure, interstitial lung disease), should be a. New or increased cough excluded by review of clinical records and an assessment of presenting symptoms and b. New or increased sputum production c. O₂ saturation <94% on room air or a reduction in O2 saturation of more than 3% from baseline d. New or changed lung exam abnormalities e. Pleuritic chest pain f. Respiratory rate of ≥ 25/min At least one constitutional criteria

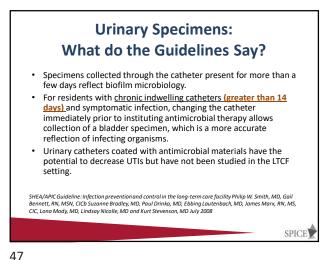
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What do the Guidelines Say? Insert catheters only for appropriate indications Avoid use of urinary catheters in patients and nursing home residents for management of incontinence Keep the catheter and collecting tube free from kinking Empty the drainage bag regularly using a separate, clean collecting container for each resident (even in semi-private Changing indwelling catheters or drainage bags at routine, fixed intervals is not recommended. It is suggested to change catheters and drainage bags based on clinical indications such as infection, obstruction, or when the closed system has been compromised https://www.cdc.gov/infectioncontrol/quidelines/cauti/index.html SPICE

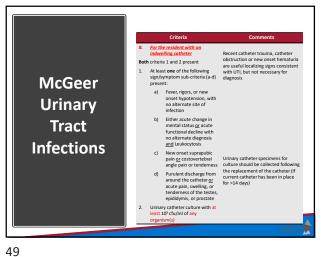
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McGeer Urinary Tract Infections without catheter leukocytosis, then at least two or more of the following localizing urinary symptoms are localizing s/s and a positive urinar culture criteria 1 and 2 present A diagnosis of UTI can be made without localizing symptoms if a blood culture isolate of the same organism isolated from the urine there is no alternate sight of infec At <u>least one</u> of the following sign/symptom sub-criteria (a-c) present: Suprapubic pain Gross hematuria
New or marked increase Acute dysuria <u>or</u> acute pain swelling, or tenderness of ti epididymis, or prostate In the absence of a clear alternate source, fever or rigors with a positive urine culture in a non-catheterized resident will often be treated as a UTI. However, evidence suggest most of these episodes are not from a urinary New or marked increase i New or marked increase i frequency At least one of the following localizing urinary tract sub-criteria: Acute costovertebra pain or tenderness <u>At least 10</u>5 cfu/ml of no more than species of microorganisms in a voided urine Gross hematuria At least 10² cfu/ml of any number of organisms in a specimen collected b an in and out catheter New or marked increase in urgency vi. New or marked increase in frequency

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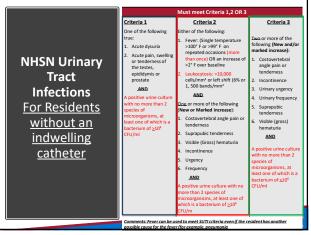




NHSN Notes

- Indwelling urinary catheter should be in place for a minimum of 2 calendar days before infection onset (day 1 = day of
- Indwelling urinary catheter: a drainage tube that is inserted into the urinary bladder through the urethra, is left in place and is connected to a closed collection system, also called a foley catheter. Indwelling urinary catheters do not include straight in-and-out catheters or suprapubic catheters (these would be captures as SUTIs, not CA-SUTIs)
- Indwelling catheters which have been in place for > 14 days should be changed prior to specimen collection but failure to change catheter does not exclude a UTI for surveillance purposes

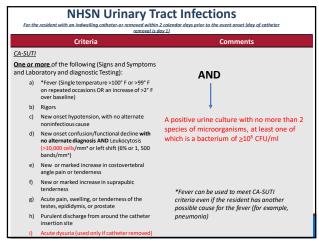
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NHSN Key Reminders 1. "Mixed flora" is not available in the pathogen list within NHSN. Therefore, it cannot be reported as a pathogen to meet the NHSN UTI criteria. Additionally, 'mixed flora" often represents contamination and likely represents presence of multiple organisms in culture (specifically, at least two organisms). 2. Yeast and other microorganisms, which are not bacteria, are not acceptable UTI pathogens, and therefore, cannot be used to meet NHSN UTI criteria without the presence of a qualifying bacterium. 3. To remove the subjectivity about whether a fever is attributable to a UTI event, the presence of a fever, even if due to another cause (for example, pneumonia), must still be counted as a criterion when determining if the NHSN UTI definition is met. SPICE

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SPICE



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Asymptomatic Bacteremic Urinary Tract Infection (ABUTI)

Resident with or without an indwelling urinary catheter:

1. No qualifying fever or signs or symptoms (specifically no urinary urgency, urinary frequency, acute dysuria, suprapubic tenderness, or costovertebral angle pain or tenderness). If no catheter is in place, fever alone would not exclude ABUTI if other criteria are met

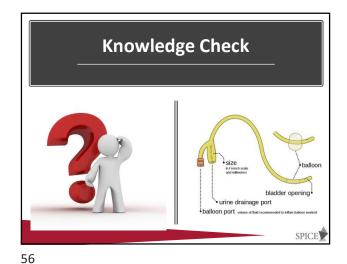
<u>AND</u>

2. A positive urine culture with no more than 2-species of microorganisms, at least one of which is a bacterium of $\geq 10^5$

AND

3. A positive blood culture with at least 1 matching bacteria to the urine culture

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Does Mr. Ross have a UTI?

A. Yes

B. No

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Knowledge Check #1

Mrs. Ross is a resident in your facility, admitted on February 1st. An indwelling urinary catheter was inserted on March 1st.



5 Mar.

On March 5, the nurse practitioner documented that Mrs. Ross complained of suprapubic pain.



6 Mar.

The following day, on March 6, a specimen collected from the Foley catheter was sent to the lab and subsequently tested positive for greater than 100,000 CFU/ml of E. coli and 100,000 CFU/ml of Candida

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If Yes, is it catheter associate?

A. No

B. Yes

What criteria are met?

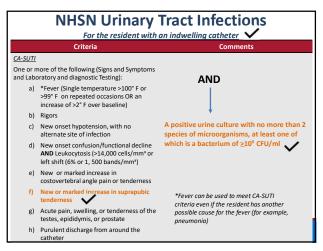
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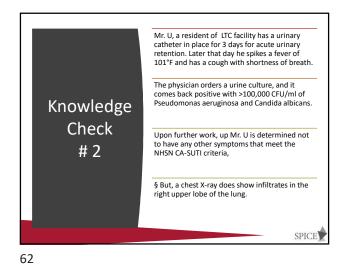
Recent catheter trauma, catheter obstruction or new onset hematuria are useful localizing signs consistent with UTI, but not necessary for Soth criteria 1 and 2 present At least **one** of the following sign/symptom sub-criteria (a-d) McGeer **Urinary Tract Infections** Purulent discharge from around the catheter or acute pain, swelling, or tenderness of the testes, epididymis, or prostate

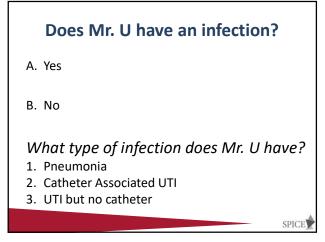
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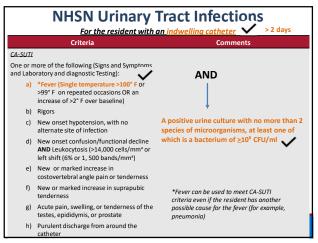
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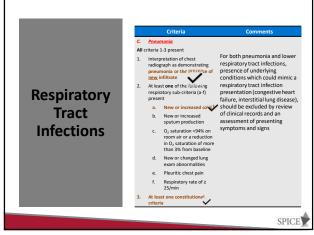
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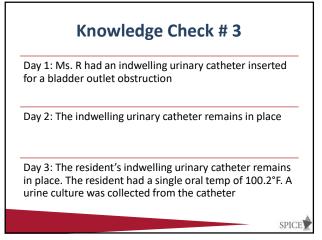








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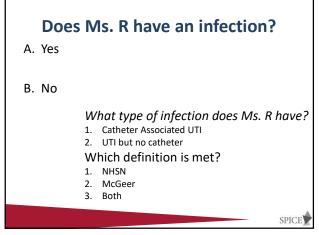


Ms. R
continued

Day 4: The indwelling urinary catheter remains in place. No symptoms documented

Day 5: The urine culture was positive for Candida glabrata 10⁵
CFU/ml

67 68



Citeria

Comments

8. For the resident with an indwelling cotheter

Both criteria 1 and 2 present

1. At least one of the following sign/symptom sub-criteria
(a-d) present:

a) | Except flows, or new onset /nyspension, with no
alternate site of infection

b) | Either acute change in mental status or acute
functional decline with no alternate diagnosis and
Leukocytoss

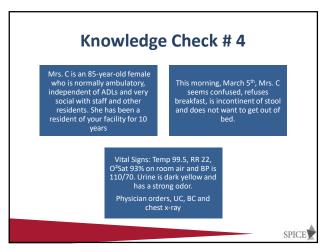
c) | New onset suprapublic pain or costovertebral
angle pain or tenderness

d) | Purulent discharge from around the catheter or
acute pain, swelling, or prostate

2. | Urinary catheter culture with ≥10° clu/ml of any.
organism(s) | Very continued to the catheter or
the proposed proposed for the catheter or
the proposed proposed for the catheter or
the proposed proposed proposed proposed proposed for the catheter of the catheter (if current catheter
has been in place for >14 days)

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Knowledge Check #4 UC positive for >10⁵ cfu/ml of klebsiella pneumonia and > 10² Diagnostic test are completed, candida albicans and results are as follows: Chest x-ray negative for infiltrate BC + for Klebsiella pneumonia 1. Lower respiratory tract 2. Gastroenteritis What Surveillance Definition Does Mrs. Urinary tract infection C meet? Bloodstream infection Asymptomatic Bacteremic **Urinary Tract Infection**

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Asymptomatic Bacteremic Urinary Tract Infection (ABUTI)

Resident with or without an indwelling urinary catheter:

 No qualifying fever or signs or symptoms (specifically no urinary urgency, urinary frequency, acute dysuria, suprapubic tenderness, or costovertebral angle pain or tenderness). If no catheter is in place, fever alone would not exclude ABUTI if other criteria are met

<u>AND</u>

 A positive urine culture with no more than 2-species of microorganisms, at least one of which is a bacterium of ≥ 10⁵ CFU/ml

AND

3. A positive blood culture with at least 1 matching bacteria to the urine culture

h.

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Skin, Soft Tissue and Mucosal Infections More than one resident with streptococcal skin A. Cellulitis/soft tissue/wound infection infection from the same serogroup (e.g., A, B, C, G) in a LTCF may suggest an outbreak At least one of the following criteria is present 1. Pus present at a wound, skin, or soft tissue site For wound infections related to surgical procedures: LTCF should use the CDC's NHSN 2. New or increasing presence of at least four of the following sign/symptom sub-criteria a) Heat at affected site surgical site infection criteria and report these infections back to the institution performing the b) Redness at affected site original surgery c) Swelling at affected site Presence of organisms cultured from the surface (e.g., superficial swab culture) of a wound is not d) Tenderness or pain at affected site e) Serous drainage at affected site enough evidence that the wound is infected f) One constitutional criteria

Skin, Soft Tissue and Mucosal Infections

Criteria

Scables

Both criteria 1 and 2 present

1. A maculopapular and/or itching rash
2. At least one of the following sub-criteria:

a) Physician diagnosis
b) Laboratory confirmation (scrapping or biopsy)

c) Epidemiologic linkage to a case of scabies with laboratory confirmation

Care must be taken to rule out rashes due to skin irritation, allergic reactions, eczema, and other non-infectious skin conditions

An epidemiologic linkage to a case can be considered if there is evidence of a common source of exposure (i.e., shared caregiver).

Skin, Soft Tissue and Mucosal

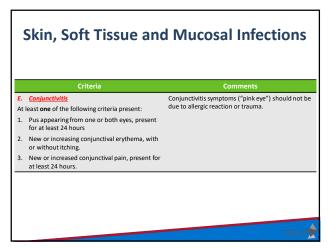
Infections

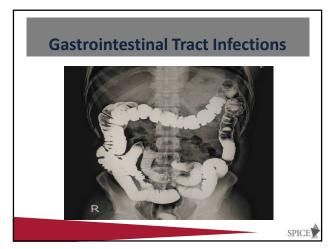
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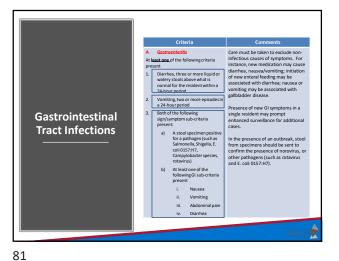
| Skin, Soft Tissue and Mucosal Infections | | |
|---|--|--|
| Criteria | Comments | |
| C. Eungal oral/perioral and skin infections Oral candidiasis: Both criteria 1 and 2 present: 1. Presence of raised white patches on inflamed mucosa, or plaques on oral mucosa 2. Medical or dental provider diagnosis | Mucocutaneous candida infections are usually due to underlying clinical conditions such as poorly controlled diabetes or severe immunosuppression. Although not transmissible infections in the healthcare setting, they can be a marker for increased antibiotic exposure | |
| Eungal skin Infection: Both criteria 1 and 2 present: Characteristic rash or lesion Either a medical provider diagnosis or laboratory confirmed fungal pathogen from scrapping or biopsy | Dermatophytes have been known to cause occasional infections, and rare outbreaks, in the LTC setting. | |
| | Santo 🕏 | |

Skin, Soft Tissue and Mucosal Infections D. Herpes viral skin infection Reactivation of old herpes simplex ("cold sores") or herpes zoster ("shingles") is not considered a Herpes simplex infection healthcare-associated infection Both criteria 1 and 2 present: 1. A vesicular rash Primary herpes viral skin infections are very uncommon in LTCF, except in pediatric populations where it should be considered healthcare-2. Either physician diagnosis or laboratory confirmation Herpes zoster infection Both criteria 1 and 2 present: 1. A vesicular rash 2. Either physician diagnosis or laboratory confirmation

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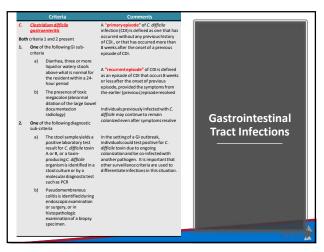






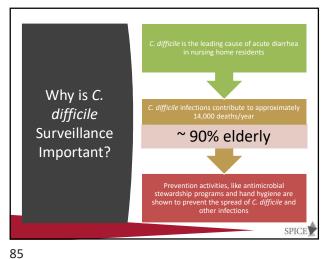
Gastrointestinal Tract Infections In the absence of laboratory confirmation, an B. Norovirus gastroenteritis outbreak (2 or more cases occurring in a LTCF) of acute gastroenteritis due to norovirus infection in a LTCF may be assumed to be present if all of the following criteria are present ("Kaplan criteria") Both criteria 1 and 2 present 1. At least one of the following GI sub-criteria a) Diarrhea, three or more liquid or watery stools above what is normal for the a) Vomiting in more than half of affected persons resident within a 24-hour period b) A mean (or median) incubation period of 24b) Vomiting, two or more episodes in a 24-48 hours c) A mean (or median) duration of illness of 12-60 hours A stool specimen positive for detection of norovirus either by electron microscopy, enzyme immune assay, or by a molecular d) No bacterial pathogen is identified in stool diagnostic test such as polymerase chain reaction (PCR).

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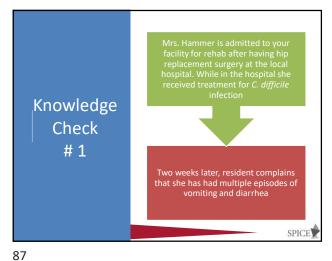


• C. difficile positive laboratory **CDI LabID** assay, tested on a loose-unformed stool specimen, and collected **Event** while a resident is receiving care from the LTCF, and the resident (different has no prior *C. difficile* positive than an laboratory assay collected in the previous two weeks (<14 days) infection) while receiving care from the LTCF SPICE

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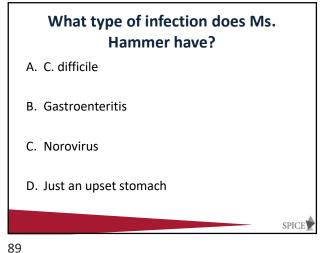






Ms. Hammer When completing the line listing of infected cases, the following data was SPICE

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Gastrointestinal Tract Infections Comments

In the absence of laboratory confirmation, an outbreak (2 or more cases occurring in a LTCF) of acute gastroenteritis due to norovirus infection in a LTCF may be assumed to be present if all of the following criteria are present ("Kaplan criteria") a) Vomiting, two or more episodae in the present if all of the following criteria are present ("Kaplan criteria") a) Vomiting in more than half of affected persons. Both criteria 1 and 2 present 1. At least **one** of the following GI sub-criteria b) Vomiting, two or more episodes in a 24 hour period b) A mean (or median) incubation period of 24- A stool specimen positive for detection of norovirus either by electron microscopy, c) A mean (or median) duration of illness of 12-60 hours enzyme immune assay, or by a molecular d) No bacterial pathogen is identified in stool culture. diagnostic test such as polymerase chain

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